

05-30-2006

M COVER SHEET



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5-24-06

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Shinji Yamashita2. Name and address of receiving party(ies)
Name: Japan Science and Technology Corporation
Internal Address: _____Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Street Address: 4-1-8. Hon-cho
Kawaguchi-shi. Saitama 332-0012
JAPAN

City: _____ State: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ NoExecution Date: October 1, 2003

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 10/1/03

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Neifeld IP Law, PCInternal Address: 4813-B Eisenhower Avenue
Alexandria, Virginia 22304Street Address: Same as Above

City: _____ State: _____ Zip: _____

Total number of applications and patents involved: 17. Total fee (37 CFR 3.41)..... \$ 40.00

- ☒ Enclosed
☐ Authorized to be charge to deposit account

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9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Laba Karki

Name of Person Signing

Laba Karki

Signature

5/24/2006

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to: Commissioner of Patent & Trademarks, Box Assignments, Washington, D.C. 20231

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ASSIGNMENT OF APPLICATION

WHEREAS, I/WE Shinji YAMASHITA

respectively, have invented new and useful improvements in: Variable Lightwave Functional Circuit and Variable Lightwave Functional Apparatus for which an application for Letters Patent was executed on _____
(US Application No. _____, filed _____),
(PCT Application No. PCT/JP02/02031, filed March 05, 2002) and

WHEREAS, Japan Science and Technology Corporation (hereinafter referred to as "ASSIGNEE" having a place of business at: 4-1-8, Hon-cho
Kawaguchi-shi, Saitama 332-0012, Japan

is desirous of acquiring the entire right, title and interest in and to said invention and in and to any Letters Patent that may be granted therefore in the United States and its territorial possessions and in any and all foreign countries;

NOW, THEREFORE, in consideration of the sum of FIVE DOLLARS (\$5.00), the receipt whereof is hereby acknowledged, and for other good and valuable consideration, I/WE, the applicant(s), by these presents do sell, assign and transfer unto said ASSIGNEE the full and exclusive right to the said invention in the United States and its territorial possessions and in all foreign countries and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States and its territorial possessions and in any and all foreign countries and in and to any and all divisions, reissues, continuations, substitutions and renewals thereof.

I/WE hereby authorize and request the Patent Office Officials in the United States and its territorial possessions and any and all foreign countries to issue any and all of said Letters Patent, when granted, to said ASSIGNEE as the assignee of my (our) entire right, title and interest in and to the same, for the sole use and behoof of said ASSIGNEE, its successors and assigns, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by me (us) had this Assignment and sale not been made.

Further, I/We agree that I/We will communicate to said ASSIGNEE or its representatives

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any facts known to me (us) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all, and cause any and all of said Letter Patent to be issued to said ASSIGNEE, make all rightful oaths, and, generally do everything possible to aid said ASSIGNEE, its successors and assigns, to obtain and enforce proper protection for said invention in the United States and its territorial possessions and in any and all foreign countries.

The undersigned hereby grants(s) the firm of Neifeld IP Law, P.C., 2001 Jefferson Davis Highway, Suite 1001, Arlington, Virginia 22202 the power to insert on this assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date: Oct. 01, 2003

Shinji Yamashita
(Signature of Inventor) Shinji YAMASHITA

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

Date: _____

(Signature of Inventor)

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PATENT TRADEMARK OFFICE

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