

05-24-2006

U.S. DEPARTMENT OF COMMERCE  
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RE



103243292

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

John Underwood

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) May 3, 2006

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: Alcon, Inc.

Internal Address: \_\_\_\_\_

Street Address: Bosch 69,6331

City: Hunenberg

State: \_\_\_\_\_

Country: Switzerland

Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☐ No

**4. Application or patent number(s):**

☒ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

11/435533

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Alan R. Thiele

Internal Address: \_\_\_\_\_

Street Address: 901 Main St.

Suite 4400

City: Dallas

State: Texas

Zip: 75202

Phone Number: 214-651-4300

Fax Number: 214-651-4330

Email Address: alan.thiele@srasburger.com

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40**

- ☐ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

Signature

May 17, 2006

Date

David G. Moore

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

05/19/2006 FFANAEIA 00000083 11435533

04 FC-0021

40.00 JP

PATENT  
REEL: 017910 FRAME: 0361

**ASSIGNMENT**

**WHEREAS**, I, the undersigned, have invented certain new and useful improvements in the following:

**CASTER ATTACHMENT SYSTEM**

for which I have made application for United States Letters Patent.

**WHEREAS, ALCON, INC.**, a Swiss corporation having a place of business at Bosch 69, 6331 Hunenberg, Switzerland (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring my entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

**NOW, THEREFORE**, for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I do hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives my entire right, title and interest in and to said invention and in and to said application and all patents which may be granted therefore, and all divisions, reissues, substitutions, continuations, continuations-in-part and extensions thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as my interest is concerned, to the said ASSIGNEE of my entire right, title and interest.

I also hereby sell and assign to said ASSIGNEE, its successors, assigns and legal representatives the full and exclusive rights, title and interest to the invention disclosed in said applications throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world including all rights of priority, all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and

I further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such rights, title and interest in ASSIGNEE, its successors, assigns and legal representatives.

I hereby further agree that I will communicate to said ASSIGNEE, or its successors, assigns and legal representatives, any facts known to me respecting any improvements; and, at the expense of said ASSIGNEE, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make all lawful oaths, and generally do everything possible to vest title in said ASSIGNEE and to aid said ASSIGNEE, its successors, assigns and legal representatives to obtain and enforce proper protection for said invention in all countries.

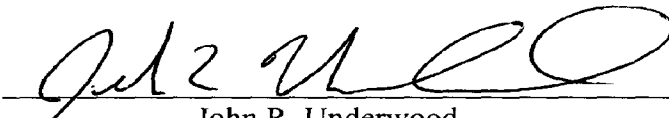
I further authorize and direct Alcon or Strasburger attorneys to insert below\* the serial number and filing date of said application now identified as Attorney Docket No. 12702.0134 as soon as the same shall have been made known to them by the United States Patent Office.

\*Serial Number:

Filing Date:

IN WITNESS WHEREOF, I have hereunto set my hand and seal this 3 day of

MAY, 2006.

  
John R. Underwood  
Address: 29331 Kensington Dr.  
Laguna Niguel, CA 92677

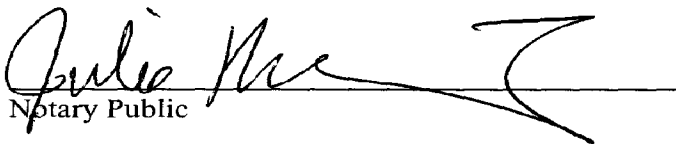
State of California §

County of Orange §

On this 3 day of MAY 2006, before me, personally appeared John R. Underwood, known to me to be the person whose name is subscribed to within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person or the entity upon behalf of which the person acted, executed the instrument.

Subscribed and sworn to this day before me.

WITNESS my hand and official seal.

  
Notary Public

