

ASSIGNMENT RECORDATION FORM COVER SHEET *Patents Only*

To: Commissioner for Patents:

Date: July 11, 2006

Please record the attached original documents or copy thereof.

Attorney Docket No. 5576-194

1. Name of conveying party(ies):

- [1.] Mitsuo Narita
- [2.] Atsushi Hatayama
- [3.] Hiroshi Umezawa

Additional name(s) of conveying party(ies) attached? Yes ☒ No

2. Name and address of receiving party(ies):

Shin-Etsu Chemical Co., Ltd.  
6-1, Otemachi 2-chome  
Chiyoda-ku  
Tokyo, Japan

3. Nature of conveyance:

- ☒ Assignment
- ☐ Merger
- ☐ Security Agreement
- ☐ Change of Name
- ☐ Other

Execution Date:

[1.] May 31, 2006; [2.] May 31, 2006; [3.] May 31, 2006

Additional name(s) & address(es) attached? Yes ☒ No4. Application Serial No. 11/448,969; Filed June 7, 2006 Patent No. \_\_\_\_\_

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Additional numbers attached? Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

F. Michael Sajovec  
Myers Bigel Sibley & Sajovec  
P. O. Box 37428  
Raleigh NC 27627

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$40.00  
☐ Enclosed  
☒ Authorized to be charged to deposit account

8. Deposit account number: 50-0220

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9. Statement and signature

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

F. Michael Sajovec Reg. No. 31,793

Name of Person Signing

Signature

July 11, 2006

Date

Total number of pages including cover sheet, attachments and document: 2

## CERTIFICATION OF FACSIMILE TRANSMISSION

UNDER 37 CFR § 1.8

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office via facsimile number 571-273-0140 on July 11, 2006.

Berty-Lou Rosser

CH \$40.00 500220 11448969

## ASSIGNMENT

WHEREAS: Mitsuo NARITA of c/o Specialty Chemicals Research Center, SHIN-ETSU CHEMICAL CO., LTD., 28-1, Nishi-Fukushima, Kubiki-ku, Joetsu-shi, Niigata-ken, Japan, Atsushi HATAYAMA of c/o NAOETSU SANGYO CO., LTD., 28-1, Nishi-Fukushima, Kubiki-ku, Joetsu-shi, Niigata-ken, Japan and Hiroshi UMEZAWA of c/o Specialty Chemicals Research Center, SHIN-ETSU CHEMICAL CO., LTD., 28-1, Nishi-Fukushima, Kubiki-ku, Joetsu-shi, Niigata-ken, Japan (hereinafter referred to as ASSIGNOR), have invented and own a certain invention entitled:

Methods for Preparing Alkali Cellulose and Cellulose Ether

for which application for United States Letters Patent identified as \_\_\_\_\_  
\_\_\_\_\_ has been executed on the \_\_\_\_\_ day of \_\_\_\_\_, 2006, and,

WHEREAS: SHIN-ETSU CHEMICAL CO., LTD., a corporation duly organized under and pursuant to the laws of JAPAN and having its principal place of business at 6-1, Otemachi 2-chome, Chiyoda-ku, Tokyo, Japan (hereinafter referred to as "ASSIGNEE"), has equitable rights in and to this invention and desires to confirm such rights and to acquire all right, title and interest in and to this invention, including any and all United States Letters Patent or similar legal protection to be obtained therefor.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby sells, assigns, and transfers to said ASSIGNEE, its successors and assigns, all right, title and interest in and to said invention, including any and all United States Letters Patent which may be granted therefor and any and all extensions, continuations, divisions, renewals, substitutes or reissues thereof, and the right to all benefits under the International Convention for the Protection of Industrial Property.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

ASSIGNOR further covenants and agrees, upon request, to execute any instrument which said ASSIGNEE desires to carry this assignment in effect, and perfect the title transferred hereby, or to file or prosecute any of the above-mentioned United States applications.

And the Commissioner of Patents of the United States is hereby authorized to issue Letters Patent on said above-named application and on any continuation, substitute or division thereof to said ASSIGNEE.

IN TESTIMONY WHEREOF, I/We have hereunto set hand and seal this 31st day of May, 2006

ASSIGNOR:

Witnesses:

Ken Park

J. Nagamiz

Mitsuo Narita

Atsushi Hatayama Mitsuo NARITA

Hiroshi Umezawa Atsushi HATAYAMA

Hiroshi UMEZAWA

PATENT

RECORDED: 07/11/2006

REEL: 017926 FRAME: 0081