	06-0	1 - 2006 Attorney Docket No. 612/2
	Form PTO-1595 (Rev. 07/05)	U.S. DEPARTMENT OF COMMERCE
ſ	OMB No. 0651-0027 (exp. 6/30/2008)	U.S. DEPARTMENT OF COMMERCE nited States Patent and Trademark Office
	RE 103	248565
ŀ		
9	To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.	
	1. Name of conveying party(ies)	2. Name and address of receiving party(ies)
\mathfrak{A}	Charles Fefferman XBOND Corporation	Name: Wall Corporation
~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~		Internal Address:
20	Additional name(s) of conveying party(ies) attached? Yes 🗸 No	
_	3. Nature of conveying party(les) attached? Yes V No	Street Address: 280 Railroad Avenue, Suite 200
	Execution Date(s) 5/13/06; 09/29/04; 10/06/04	
I	Assignment Merger	
	Security Agreement Change of Name	City: Greenwich
I	Joint Research Agreement	
I	Government Interest Assignment	State: Connecticut
I		Country: USA Zip: 06830
I	Executive Order 9424, Confirmatory License	
ł	Other	Additional name(s) & address(es) attacheor Ves K No
		document is being filed together with a new application.
I	A. Patent Application No.(s) 09/706.678	B. Patent No.(s)
1	09/700,078	51 F 15
	1	SECT PR
1	ا Additional numbers atta	B. Patent No.(s)
	5. Name and address to whom correspondence	6. Total number of applications and patents
	concerning document should be mailed:	involved:_1
	Name: Kaplan Gilman Gibson & Dernier LLP	7. Total fee (37 CFR 1.21(h) & 3.41) \$_40-
	Internal Address:	Authorized to be charged by credit card
		Authorized to be charged to deposit account
1	Street Address: 900 Route 9 North	None required (government interest not affecting title)
1	City: _Woodbridge	8. Payment Information
	State: <u>New Jersey</u> Zip: <u>07095</u>	a. Credit Card Last 4 Numbers <u>1015</u> Expiration Date <u>03/08</u>
1	Phone Number: (732) 634-7634	
	Fax Number: (732) 634-6887	b. Deposit Account Number
	Email Address:mdernier@kg <b>g</b> d.com	Authorized User Name
	9. Signature:	
	Signatura	May 25, 2006 Date
05/31/2006	Matthew B. Deroiar	Total number of pages including cover
01 FC:6021	Matthew B. Dernier Name of Person Signing	sheet, attachments, and documents:

· '

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

> PATENT REEL: 017938 FRAME: 0927

## Assignment of Patent Rights

The parties to this assignment are listed below and if there is more than one Assignor or Assignee, the words "Assignor" and "Assignee" shall include them:

Assignor: Charles Fefferman having an address at 234 Clover Lane, Princeton, NJ 08540; and

Assignee: Wall Corporation having an address at 280 Railroad Avenue, Suite 200, Greenwich, CT 06830.

This assignment relates to the following patent application and/or issued patent, which is hereinafter referred to as "the Patent":

[ ] a United States patent application signed by Assignor on ______, 2006, and entitled ______; or

[ X ] a United States patent application filed in the U.S. Patent and Trademark Office on November 6, 2000, Serial No. 09/706,678 entitled METHOD AND SYSTEM FOR REBROKERING ORDERS IN A TRADING SYSTEM; or

] an issued United States patent, issued on _____, 2006, under United States Patent No. _____ ſ

Assignor has received Ten Dollars (\$10.00) and other good and valuable consideration for this assignment. Assignor assigns to Assignee all of Assignor's right, title and interest in the Patent, the invention(s) described and claimed in the Patent, and all patents that may issue based on the invention(s) and on the Patent including any patent that issues from a divisional and/or continuing application claiming benefit of the Patent, in the United States and in every foreign country, including the right to enforce the Patent for infringement accrued prior to the effective date of this assignment Assignor also assigns to Assignee all priority rights in the Patent.* In those countries where permitted, the Assignor authorizes the Assignee to apply for patents for the invention directly in Assignee's name.* Assignor authorizes the Commissioner of Patents and Trademarks or other governmental authority to issue all patents for the invention directly to Assignee. Assignor states that Assignor has the right to grant to Assignee the rights which are assigned by this assignment. Assignor will sign any additional documents as may be needed to carry out the purpose of this assignment. This assignment is binding on all parties who lawfully succeed to the rights of or take the place of Assignor or Assignee.

The undersigned hereby grant(s) to the firm of Kaplan Gilman Gibson & Dernier LLP, 900 Route 9 North, Woodbridge, New Jersey 07095, the power to insert on this assignment any further identification of the Patent which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office concerning recordation of this document.

The effective date of this assignment is ____

SIGNATURE(S)

DATE

**TYPED OR PRINTED NAME(S)** 

Charles Fefferman

Witnes Witness

Date <u>May 13</u>, 06 Date <u>May 13</u>, 06

* Strike italicized sections only if foreign rights are not intended to be assigned.

PATENT REEL: 017938 FRAME: 0928

## **ASSIGNMENT**

WHEREAS, XBOND Corporation, a corporation organized under and pursuant to the laws of Delaware having its principal place of business at 4807 Pellyn Farm Court, Charlotte, North Carolina 28226 (hereinafter referred to as Assignor), is the sole and exclusive owner of the U.S. Patent Application No. 09/706,678, Filed on November 6, 2000 with the Title: "Method and Systems for Rebrokering Orders in a Trading System" and invented by Webster Hughes;

WHEREAS, Wall Corporation, a corporation organized under and pursuant to the laws of Delaware having its principal place of business at 420 Round Hill Road, Greenwich, Connecticut 06831 (hereinafter referred to as Assignee), is desirous of acquiring the right, title and interest in, to and under said Patent Application and the inventions covered thereby:

NOW, THEREFORE, in consideration of and in exchange for the sum of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, the said Assignor has sold, assigned, transferred and set over, and does hereby sell, assign, transfer and set over to the said Assignee, the entire right, title and interest for the United States of America and all other countries in and to said application for all original divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefore in the United States of America and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue the patent from U.S. Application No. 09/706,678 to said assignee herein, as assignee of the entire interest therein; and the undersigned for itself and its legal representatives, heirs and assigns does hereby agree and covenant without further remuneration, to execute and deliver all divisional continuation, continuation-in-part, reissue and other applications for Letters Patent and all assignments thereof to said assignce or its assigns, to communicate to said assignce or its representatives all facts known to the undersigned respecting said invention covered by U.S. Application No. 09/706,678 whenever requested, to testify in any interferences or other legal proceedings in which any of said application or patent may become involved, to sign all lawful papers, make all rightful oaths, and do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said invention covered by U.S. Patent Application No. 09/706,678 in the United States of America, the expenses incident to said application to be borne and paid by said assignce.

EXECUTED on the date indicated below opposite my signature.

DATE: 9/20104

THE STATE OF NORTH CAROLINA

COUNTY OF MECKLENBERG

September, 2004.

My Commission Expires: Feb 22, 2009

Webster Hughes **XBOND** Corporation

This instrument was acknowledged by Britan Kost ... on the 29 day of

Notary Public in and for the State of North Carolina

10/6/04 DATE:_

Lee H. Miller President Wall Corporation

THE STATE OF CONNECTICUT COUNTY OF

en. 2004.

trument was acknowledged by SHEICA CORVIND, on the 6 day of

My Commission Expires:  $\frac{3}{3}$ 

Notary Public in and for the State of Connecticut