

06-07-2006

RNEY DOCKET NO: LUM05-09-04 US

U.S. DEPARTMENT OF COMMERCE

PATENT AND TRADEMARK OFFICE

COVER SHEET

ONLY

TO THE HONORABLE DIRECTOR, U.S. PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

- a. Michael D. Camras
b. Frank M. Steranka
c. John E. Epler

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Philips Lumileds Lighting Company LLC

Street Address: 370 W. Trimble Road

City: San Jose, California 95131

Country: USA

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: May 31, 2006

Name and address of receiving party(ies):

Name:

Street Address:

City: State: Zip:

Country:

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: May 31, 2006

A. Patent Application No.(s) -

Title: Color Control By Alteration of Wavelength Converting Element

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Rachel V. Leiterman

Internal Address: Patent Law Group LLP

Street Address: 2635 North First Street, Suite 223

City San Jose State CA Zip 95134

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed
☒ Charge Deposit Account 50-2263 for any additional fees required for this conveyance and credit deposit account 50-2263 any amounts overpaid

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8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael J. Halbert 40.633
Name of Person Signing

Michael J. Halbert
Signature

5-31-06
Date

Total number of pages including cover sheet, attachments, and documents: 4

PATENT
REEL: 017947 FRAME: 0513

ASSIGNMENT

For good and valuable consideration, receipt of which is hereby acknowledged, we

Steven Paolini, Michael D. Camras, Oscar Arturo Chao Pujol, Frank M. Steranka, John E. Epler

hereby sell, assign and transfer to Philips Lumileds Lighting Company LLC, a Delaware corporation, having a place of business at 370 W. Trimble Road, San Jose, CA 95131, its successors and assigns, the entire right, title and interest throughout the world in our invention in

COLOR CONTROL BY ALTERATION OF WAVELENGTH CONVERTING MEMBER

for which we have executed a United States patent application on or about the date of this assignment, and all patent applications and patents of every country for said invention, including divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said applications; we authorize the above-named assignee to apply for patents of foreign countries for said invention, and to claim all rights of priority without further authorization from us; we agree to execute all papers useful in connection with said United States and foreign applications, and generally to do everything possible to aid said assignee, their successors, assigns and nominees, at their request and expense, in obtaining and enforcing patents for said invention in all countries; and we request that the United States Patent and Trademark Office issue all patents granted for said invention to the above-named assignee, its successors and assigns.

Executed this _____ day of _____, 2006.

Steven Paolini

State of _____)
) ss.
County of _____)

On _____ before me, _____ personally appeared Steven Paolini personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

SIGNATURE OF NOTARY

Executed this 31st day of MAY, 2006.

Michael D. Camras
Michael D. Camras

State of California)
County of Santa Clara) ss.

On May 31, 2006 before me, Kelly Teixeira, Notary Public, personally appeared Michael D. Camras ~~personally known to me~~ or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



Kelly Teixeira
SIGNATURE OF NOTARY

Executed this _____ day of _____, 2006.

Oscar Arturo Chao Pujol

State of _____)
County of _____) ss.

On _____ before me, _____ personally appeared Oscar Arturo Chao Pujol personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

SIGNATURE OF NOTARY

Executed this 31st day of May, 2006.

Frank M. Steranka

Frank M. Steranka

State of California)
County of Santa Clara) ss.

On May 31, 2006 before me, Kelly Teixeira, Notary Public personally appeared Frank M. Steranka personally known to me or ~~proved to me on the basis of satisfactory evidence~~ to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Kelly Teixeira

SIGNATURE OF NOTARY



Executed this 31st day of May, 2006.

John E. Epler

John E. Epler

State of California)
County of Santa Clara) ss.

On May 31, 2006 before me, Kelly Teixeira, Notary Public personally appeared John E. Epler personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is(are) subscribed to the within instrument and acknowledged to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(ies), and that by his/~~her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Kelly Teixeira
SIGNATURE OF NOTARY

