

FROM McANDREWS, HELD, & MALLOY

04-17-2006

6 13:11/ST. 13:10/NO. 4861050291 P 4

Form PTO-1595 (Rev. 07/03)
OMB No. 0651-0027 (exp. 6/30/2008)

HEET

103221140

To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Viorel Dramborean/ 12-8-2005
Andrew J. Haapapuro/ 12-8-2005
Mead C. Kilthon/ 12-8-2005Additional name of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 12-8-2005

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other Correction of Recordation Cover Sheet

2. Name and address of receiving party(ies)

Name: Etymotic Research, Inc.

Internal Address: _____

Street Address: 61 Martin Lane

City: Elk Grove Village

State: IL

Country: USA Zip: 60007

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

A. Patent Application No.(s)
20/232,457☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: _____

Internal Address: McAndrews, Held & Malloy

Street Address: 34th Floor

500 West Madison Street

City: Chicago

State: IL Zip: 60681

Phone Number: 312-775-9000

Fax Number: 312-775-8100

Email Address: mhmplo@mhmlaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 13-0017

Authorized User Name McAndrews Held & Malloy, Ltd.

9. Signature:

April 11, 2006

Signature

Date

Kevin E. Borg 51.486

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents 8

Documents to be recorded (including cover sheet) should be faxed to (571)273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

FROM McANDREWS, HELD, & MALLOY

FROM McANDREWS, HELD, & MALLOY

(TUE) 4.11'06 13:11/ST. 13:10/NO. 4861050291 P 7
 20'05 15:00/ST. 16:00/NO. 4861050043 P 2

Form PTO-1285 (Rev. 6/94)
 USPTO No. 0041-9027 (exp. 6/30/2005)

U.S. Department of Commerce
 United States Patent and Trademark Office

RECORDATION FORM COVER SHEET

Atty. Docket No. 16800US01

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

Vogel Brambreem
 Arlene L. Hespapuro
 Maud C. Kilian

Execution Date(s) December 8, 2005

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Etymotic Research, Inc.

Internal Address:

Street Address: 61 Martin Lane

City: Elk Grove Village

State: IL

Country: USA

Zip: 60007

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

4. Application number(s) or patent number(s):

A. Patent Application No.(s)
 29/232,457

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Christopher C. Winslade

Internal Address: McAndrews, Held & Malloy, Ltd.

34th Floor

Street Address: 500 W. Madison

City: Chicago

State: IL Zip: 60661

Phone Number: 312 775 8000

Fax Number: 312 775 8100

Email Address: cwinslade@mhmlaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(n) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting fee)

A. Payment Information

a. Credit Card Last 4 Numbers _____
 Expiration Date _____

b. Deposit Account Number 13-0017

Authorized User Name McAndrews Held & Malloy, Ltd.

9. Signature: Reg. No. 36,308

Signature

Christopher C. Winslade

Date

Total number of pages including cover sheet, attachments, and documents

7

Name of Person Signing

Documents to be recorded (including cover sheet) should be faxed to (703) 306-6995, or mailed to:
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ASSIGNMENT

File No.: 16800US01

Serial No.: 29/232,457Inventors: Viorel Drambarean
Andrew J. Haapapuro
Mead C. KillionFiling Date: June 20, 2005

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assign to Erymotic Research, Inc., an Illinois corporation, its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled: **HIGH-FIDELITY NOISE-EXCLUDING EARPHONES WITH ERGONOMICALLY DESIGNED CONSTRUCTION**, bearing docket number 16800US01, and in said applications and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any continuation, continuation-in-part, divisional, reexamination, reissue or extension of such applications or patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorize and requests the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, if applicable.

The undersigned warrant themselves to be the owner of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrant that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations, the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all continuation, continuation-in-part, divisional, extension and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue, re-examination or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorizes said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agree, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of

FROM McANDREWS, HELD, & MALLOY

(FRI) 7.14'06 15:18/ST. 15:15/NO. 4861050005 P 10

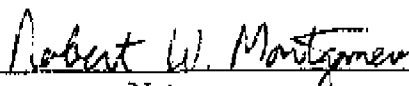
FROM McANDREWS, HELD, & MALLOY

(TUE) 4.11'06 13:12/ST. 13:10/NO. 4861050291 P 9

Attorney Docket No. 16800US01

preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain said Letters Patent, both United States and foreign, and to vest all rights hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

Attorney Docket No. 16800US01

WITNESS my hand and seal this 8th day of December 2005.
Viorel DrambareanState of ILLINOISCounty of COOKThe foregoing instrument was acknowledged before me this 8th day of
December 2005 by ROBERT W. MONTGOMERY
Notary Public

FROM McANDREWS, HELD, & MALLOY

(FRI) 7.14'06 15:18/ST. 15:15/NO. 4861050005 P 12

FROM McANDREWS, HELD, & MALLOY

(TUE) 4.11'06 13:12/ST. 13:10/NO. 4861050291 P 11

Attorney Docket No. 16800US01

WITNESS my hand and seal this 8th day of December 2005.



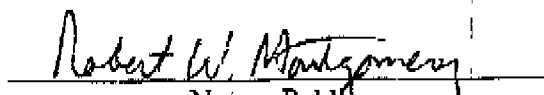
Andrew J. Haapapuro

State of ILLINOIS

County of COOK

The foregoing instrument was acknowledged before me this 8th day of
December 2005 by ROBERT W. MONTGOMERY





Notary Public

Attorney Docket No. 16800US01

WITNESS my hand and seal this 8th day of December 2005.

Mead C. Killion
Mead C. Killion

State of ILLINOISCounty of COOK

The foregoing instrument was acknowledged before me this 8th day of
December 2005 by ROBERT W. MONTGOMERY



Robert W. Montgomery
Notary Public

TELECOPIER TRANSMITTAL SHEET

DATE: APRIL 11, 2006

PLEASE DELIVER THE FOLLOWING 2 PAGE(S) (INCLUDING THIS PAGE)

TO: U. S. PATENT AND TRADEMARK OFFICE
ATTENTION: CERTIFICATION BRANCH

Fax Number: 571-273-3250

From: Julianne Decker

TELEPHONE NO.: (973) 660-5821

If there is a problem with this transmission, please call (973) 660-5821

WYETH
MADISON, NEW JERSEY 07940
Telecopier No. (973) 660-7973**COMMENTS:**

Re: CASE NO. AM102072

PLEASE REFER TO THE ATTACHED ORDER FORM.

Thank you for your assistance in this matter.

Very truly yours,

Julianne Decker
Sr. International Filing Associate

CONFIDENTIALITY NOTE: This sheet and/or the document(s) accompanying it contains information belonging to Wyeth Corporation that is confidential and/or legally privileged. The information is intended only for the use of the individual or entity named above. If you have received this telecopy in error, please immediately notify us by telephone.

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McANDREWS, HELD & MALLOY
34TH FLOOR
600 WEST MADISON STREET
CHICAGO, ILLINOIS 60661

ARO PLEASE DELIVER RETURN RECEIPT
TO D. ZLATOPER

TELEPHONE: (312) 775-8000

FACSIMILE: (312) 775-8100

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TO: U.S. Patent & Trademark Office Automated Assignment Division FAX NO.: (571) 273-3250

FROM: McAndrews, Held & Malloy USER ID: 8165

CLIENT: 0600 MATTER: 16800US01

Number of Pages This Transmission (Including Cover Page):

I hereby certify that the attached Correction for Assignment Recordation Form Cover Sheet document is being facsimile transmitted to the United States Patent and Trademark Office on 4-11-06

A handwritten signature in cursive script, appearing to read 'Diane R. Zlatoper', written over a horizontal line.
Diane R. Zlatoper
U.S. Prosecution Paralegal

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

VIA FACSIMILE

Viorel Drambarean et al.

Fax No.: (571) 273-3250

Serial No.: 29/232,457

Filed: June 20, 2005

For: High-Fidelity Noise-Excluding
Earphones With Ergonomically
Designed Construction

Group Art Unit: 2913

Confirmation No. 6305

**REQUEST FOR CORRECTED
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**U.S. Patent and Trademark Office
Assignment Division,
1213 Jefferson Davis Highway
Suite 320
Washington, D.C. 20231

Sir:

Applicant hereby requests correction of the following information set forth in the enclosed Notice Of Recordation Of Assignment Document (copy) issued by Assignment Branch of the U.S. Patent and Trademark Office on February 8, 2006, in connection with the above application:

ASSIGNORS

Please change assignor name from "Viorel Drambarean" to

--Viorel Drambarean--.

FROM McANDREWS, HELD, & MALLOY

(FRI) 7.14'06 15:16/ST. 15:15/NO. 4861050005 P 4

FROM McANDREWS, HELD, & MALLOY

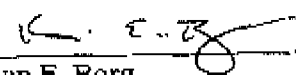
(TUE) 4.11'06 13:11/ST. 13:10/NO. 4861050291 P 3

Also enclosed herewith are copies of the original Assignment recorded on December 20, 2005, at Reel 017135, Frame 0471, and of the original Recordation Form Cover (Form PTO-1595).

Please charge any additional fees incurred in connection with this submission to Deposit Account No. 13-0017.

McANDREWS, HELD & MALLOY, LTD
500 West Madison Street, 34th Floor
Chicago, Illinois 60661
Telephone (312) 775-8000
Facsimile (312) 775-8100

Respectfully submitted,


Kevin E. Borg
Registration No. 51,486

Dated: April 11, 2006

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEBRUARY 08, 2006

PTAS

700232273A

700232273

CHRISTOPHE C. WINSLADE
MCANDREWS, HELD & MALLOY, LTD.
34TH FLOOR
500 W. MADISON
CHICAGO, IL 60661UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENTTHE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF
THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER
REFERENCED BELOW.PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350.
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,
MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 12/20/2005

REEL/FRAME: 017135/0471
NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
DRAMBAREAM, VIOREL

DOC DATE: 12/08/2005

ASSIGNOR:
HAAPAPURO, ANDREW J.

DOC DATE: 12/08/2005

ASSIGNOR:
KILLION, MEAD C.

DOC DATE: 12/08/2005

ASSIGNEE:
ETYMOTIC RESEARCH, INC.
61 MARTIN LANE
ELK GROVE VILLAGE, ILLINOIS 60007

FROM McANDREWS, HELD, & MALLOY

(FRI) 7.14'06 15:17/ST. 15:15/NO. 4861050005 P 6

FROM McANDREWS, HELD, & MALLOY

(TUE) 4.11'06 13:11/ST. 13:10/NO. 4861050291 P 6

017135/0471 PAGE 2

SERIAL NUMBER: 29232457

FILING DATE: 06/20/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: HIGH-FIDELITY NOISE-EXCLUDING EARPHONES WITH ERGONOMICALLY DESIGNED
CONSTRUCTION

STEVEN POST, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION



108000001

DZ

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

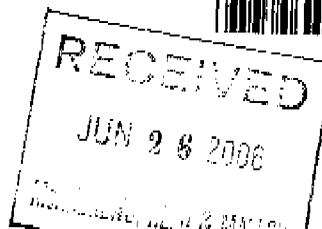
JUNE 15, 2006

MCANDREWS, HOLD & MALLOY
34TH FLOOR
500 WEST MADISON STREET
CHICAGO, IL 60661

PTAS



103221140A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF NON-RECORDATION OF DOCUMENT

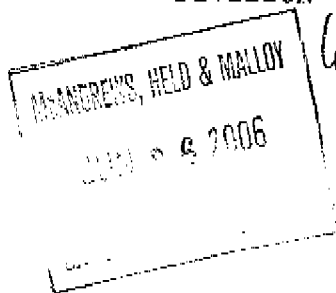
DOCUMENT ID NO.: 103221140

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THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE,
MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.
IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE,
YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT
571-272-3350.

1. SECOND PAGE OF ASSIGNMENT DOCUMENT IS ILLEGIBLE.

KIMBERLY WHITE, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISIONRESPONSE DUE:
July 15, 2006

PATENT



McANDREWS, HELD & MALLOY
34TH FLOOR
500 WEST MADISON STREET
CHICAGO, ILLINOIS 60661

ARO PLEASE DELIVER RETURN RECEIPT
TO D. ZLATOPER

TELEPHONE: (312) 775-8000

FACSIMILE: (312) 775-8100

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TO: U.S. Patent & Trademark Office Automated Assignment Division FAX NO.: (571) 273-0140

FROM: McAndrews, Held & Malloy USER ID: 8165

CLIENT: 0600 MATTER: 16800US01

Number of Pages This Transmission (Including Cover Page): 14

I hereby certify that the attached Request for Correction of Notice of Recordation of Assignment document (Document ID No. 103221140) is being facsimile transmitted to the United States Patent and Trademark Office on 7-14-06.

A handwritten signature in cursive script, appearing to read 'Diane R. Zlatoper', written over a horizontal line.

Diane R. Zlatoper
U.S. Prosecution Paralegal

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