




Case No.: 60990US005

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To: The Director of the U.S. Patent and Trademark Office:

Please record the attached document(s) or the new address(es) below.

<p>1. Name of conveying party(ies) <u>Execution Date(s):</u></p> <p>Carpenter, Barry S. June 19, 2006 Smith, Terry L. June 19, 2006 Znameroski, Stephen J. June 19, 2006</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>3M Innovative Properties Company P.O. Box 33427 St. Paul, Minnesota 55133-3427</p> <p>Additional name(s) of receiving party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>								
<p>3. Nature of conveyance:</p> <table style="width:100%;"> <tr> <td><input checked="" type="checkbox"/> Assignment</td> <td><input type="checkbox"/> Merger</td> </tr> <tr> <td><input type="checkbox"/> Security Agreement</td> <td><input type="checkbox"/> Change of Name</td> </tr> <tr> <td><input type="checkbox"/> Joint Research Agreement</td> <td><input type="checkbox"/> Government Interest Assignment</td> </tr> <tr> <td><input type="checkbox"/> Other:</td> <td></td> </tr> </table>		<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger	<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name	<input type="checkbox"/> Joint Research Agreement	<input type="checkbox"/> Government Interest Assignment	<input type="checkbox"/> Other:	
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<input type="checkbox"/> Other:									
<p>4. Application or patent number(s) <input type="checkbox"/> This document is being filed together with a new application.</p> <table style="width:100%;"> <tr> <td style="width:50%; vertical-align: top;"> <p>A. Patent Application No(s).</p> <p>11/424755</p> </td> <td style="width:50%; vertical-align: top;"> <p>B. Patent No(s).</p> </td> </tr> </table> <p style="text-align: center;">Additional Numbers Attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>		<p>A. Patent Application No(s).</p> <p>11/424755</p>	<p>B. Patent No(s).</p>						
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<p>5. Name and address to whom correspondence concerning document should be mailed:</p> <p>Name: Gregg H. Rosenblatt Office of Intellectual Property Counsel 3M Innovative Properties Company Phone Number: 512-984-7443 Fax Number: 651-736-3833</p> <p style="text-align: center;">32692 Customer Number</p>	<p>6. Total number of applications and patents involved: 1</p> <p>7. Total fee (37 CFR §§ 1.21(h) & 3.41): \$40.00</p> <p><input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Payment Information:</p> <p>Deposit Account Number: 13-3723</p> <p>Authorized User Name: Gregg H. Rosenblatt</p>								
<p>9. Signature:</p> <table style="width:100%;"> <tr> <td style="width:35%;"> Printed Name of Attorney/Agent: Gregg H. Rosenblatt Registration No.: 45,056 </td> <td style="width:35%; text-align: center;">  Signature </td> <td style="width:30%; text-align: center;"> 7-17-06 Date </td> </tr> </table>		Printed Name of Attorney/Agent: Gregg H. Rosenblatt Registration No.: 45,056	 Signature	7-17-06 Date					
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<p>Pages: Total number of pages including COVER SHEET, attachments, and documents 4</p>									

CH \$40.00 133723 11424855

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

Case No.: 60990US005

ASSIGNMENT OF APPLICATION

Whereas I/we, the below named inventor(s), with residence and citizenship as indicated below, have made an invention in

OPTICAL DEVICE WITH CANTILEVERED FIBER ARRAY AND PLANAR LIGHTWAVE CIRCUIT

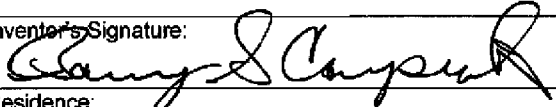

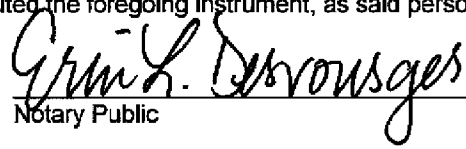
- for which an application for a United States Patent is being filed contemporaneously herewith;
- for which an application for a United States Patent identified as U.S. Patent Application No. 11/424755; was filed on June 16, 2006;
- which application is identified as PCT International Patent Application No. ; filed ;

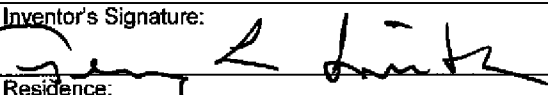

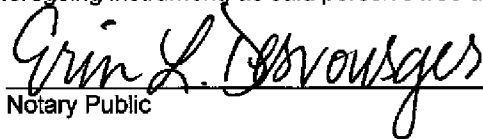
Now, therefore, for good and valuable consideration, receipt of which is acknowledged, I/we have agreed to assign and transfer and do hereby assign and transfer unto the 3M INNOVATIVE PROPERTIES COMPANY, a wholly-owned subsidiary of 3M COMPANY, and a corporation of Delaware, having its principal office at Saint Paul, Minnesota, its successors and assigns, the entire right, title, and interest in and to the said invention and application, and in and to any division or continuation (in whole or in part) of said application, and in and to any and all improvements in the said invention made by me or made jointly with others (provided any such improvement is made during, or within one year after the termination of, my employment with 3M COMPANY and any of its existing or future subsidiaries), and in and to any and all Letters Patent, reexaminations, reissues, or extensions thereof, of the United States of America and countries foreign thereto (including the right to apply for Letters Patent, Utility Models, or Inventors' Certificates in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), which have been or may be granted thereon or on any divisional, continuation (in whole or in part), renewal, reexamination, reissue, or other or further application based in whole or in part upon the said invention or improvements thereon, to be held and enjoyed as fully and exclusively as they would have been by me/us had this assignment and transfer not been made;

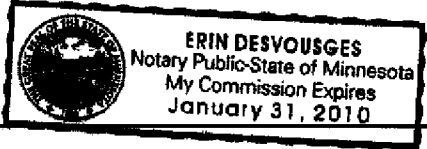
I/We do further agree for myself/ourselves and for my/our heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as I/we lawfully may, that may be deemed necessary by said Company, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain or maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all countries; and

I/We do hereby authorize and request the Commissioner of Patents to issue any and all Letters Patent which may be granted upon any of the said applications, to said 3M Innovative Properties Company, as the assignee of the entire right, title, and interest therein.

In witness whereof, I/we have signed my/our name(s) on the day and year set forth below.

Given Name (first and middle [if any]): BARRY S.		Family Name or Surname: CARPENTER	
Inventor's Signature: 		Date: 6/19/06	
Residence: Oakdale, Minnesota		Country: USA	Citizenship: United States of America
STATE OF MINNESOTA)) ss. COUNTY OF RAMSEY) On this <u>19</u> day of <u>June</u> , <u>2006</u> , before me personally appeared the above-named BARRY S. CARPENTER, and who executed the foregoing instrument, as said person's free act and deed, on the day and year aforesaid.			
(Seal) 		 Notary Public	
ERIN DESVOUSGES Notary Public-State of Minnesota My Commission Expires January 31, 2010			

Given Name (first and middle [if any]): TERRY L.		Family Name or Surname: SMITH	
Inventor's Signature: 		Date: 6/19/06	
Residence: Roseville, Minnesota		Country: USA	Citizenship: United States of America
STATE OF MINNESOTA)) ss. COUNTY OF RAMSEY) On this <u>19</u> day of <u>June</u> , <u>2006</u> , before me personally appeared the above-named TERRY L. SMITH, and who executed the foregoing instrument, as said person's free act and deed, on the day and year aforesaid.			
(Seal) 		 Notary Public	
ERIN DESVOUSGES Notary Public-State of Minnesota My Commission Expires January 31, 2010			

Given Name (first and middle [if any]): STEPHEN J.		Family Name or Surname: ZNAMEROSKI	
Inventor's Signature: <i>Stephen J. Znameroski</i>		Date: 6/19/06	
Residence: Eagan, Minnesota		Country: USA	Citizenship: United States of America
STATE OF MINNESOTA)) ss. COUNTY OF RAMSEY)			
On this <u>19</u> day of <u>June</u> , <u>2006</u> , before me personally appeared the above-named STEPHEN J. ZNAMEROSKI, and who executed the foregoing instrument, as said person's free act and deed, on the day and year aforesaid.			
(Seal)	 <p>ERIN DESVOUSGES Notary Public-State of Minnesota My Commission Expires January 31, 2010</p>		<p><i>Erin Desvousges</i> _____ Notary Public</p>