50623.611

Form PTO-1595 RECORDATION FORM COVER SHEET  U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office							
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Tab settings ⇔ ⇔ ⇔ ▼	Tab settings ⇔⇔⇔ ▼ ▼ ▼ ▼ ▼						
To the Honorable Commissioner of Patents and Trademarks: I	Please Record the attached original documents or copy thereof.						
1. Name of conveying party(ies): 1. Thierry Glauser 2. Irina Astafieva 3. Syed Faiyaz Ahmed Hossainy	Name and address of receiving party(ies)     Name: Advanced Cardiovascular Systems, Inc.     Street Address: 3200 Lakeside Drive						
Additional name of conveying party(les) attached? ☐ Yes ☒ No	City: Santa Clara State: CA Zip: 95054						
Nature of conveyance:	Additional Name(s) & address(es) attached? ☐ Yes ☒ No						
☐ Assignment ☐ Merger							
☐ Security Agreement ☐ Change of Name							
Other							
Execution Dates: July 12, 2006							
4. Application number(s) or patent number(s):  If this document is being filed together with a new application  A. Patent Application No.(s)  11/447,551  Additional numbers after	n, the execution date of the application is:  B. Patent No.(s)  ached?  Yes  No						
***							
<ol><li>Name and address of party to whom correspondence concerning this document should be mailed:</li></ol>	6. Total number of applications and patents involved: 1						
Name: Cameron K. Kerrigan, Reg. No. 44,826	7. Total fee (37 CFR 3.41) \$ 40.00						
Address: Squire, Sanders & Dempsey L.L.P.	☐ Enclosed						
Street Address: One Maritime Plaza, Suite 300	☑ Authorized to be charged to deposit account						
City: San Francisco State: CA Zip: 94111  8. Deposit account number:  07-1850  (Attach duplicate copy of this page if paying by deposit account)							
DO NOT USE THIS SPACE							
9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  Zhaoyang Li, Ph.D.  Name of Person Signing  Signature  July 17, 2006  Date  Total number of pages including cover sheet, attachments, and documents:							

Attorney Docket: 50623.611

#### ASSIGNMENT

(1-8) Insert Name(s) of Inventor(s)
 (1) Thierry Glauser
 (2) Irina Astafieva
 (3) Syed Faiyaz Ahmed Hossainy

For good and valuable consideration, receipt of which is hereby acknowledged, the undersigned agree(s) to assign, hereby do(es) assign, transfer and set over to:

- (9) Insert Name of Assignee (9) Advanced Cardiovascular Systems, Inc.
- (10) Insert State of Incorporation of (10) California Assignee
- (11) Insert Address of Assignee (11) of: 3200 Lakeside Drive, Santa Clara, CA 95054 (hereinafter designated as the Assignee) the entire worldwide right, title, interest, and patent applications and patents for every country, including divisions, reissues, continuations and all other extensions, rights and priorities in the invention known as and related to
- (12) Insert Identification of Invention, (12) such as Title, Case Number or Foreign Application Number
  - (12) Coatings For Implantable Medical Devices For Controlled Release Of A Hydrophilic Drug And A Hydrophobic Drug

(Case No.50623.611) (Serial No. 11/447,551) for which the undersigned has (have) executed an application for patent in the United States of America

- (13) Insert Date of Signing of (13) July 12, 2006
  Application
- The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.
- 2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.
- 3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

Attorney Docket: 50623.611

- 4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.
- The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and the duly constituted authorities of foreign countries to issue any and all Letters Patents resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, its successors and assigns, as Assignee of the entire right, title and interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute any agreement in conflict herewith.
- 6) The undersigned hereby grant(s) practitioners associated with Customer Number

## 45159

the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date	7-12-06	Name of Inventor	Meco-
Date	7/12/06	Name of Inventor	Irina Astafleva
Date		Name of Inventor	Sved Faivaz Ahmed Hossainv

Attorney Docket: 50623.611

- 4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.
- The undersigned hereby authorize(s) and request(s) the Commissioner of Patents and the duly constituted authorities of foreign countries to issue any and all Letters Patents resulting from said application or any division or divisions or continuing or reissue applications thereof to the said Assignee, its successors and assigns, as Assignee of the entire right, title and interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute any agreement in conflict herewith.
- 6) The undersigned hereby grant(s) practitioners associated with Customer Number

## 45159

the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Date		Name of Inventor Thierry Glauser	
Date	·	Name of Inventor Irina Astafieva	<del></del>
Date	3/12/08	Name of Inventor Sved Poivaz Ahmed Hosesiny	

PTO/SB/97 (05-03)
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# Zhaoyang Li, Ph.D.

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Under the Paperwork F	Reduction Act of 1995, no	persons are re	equired to respond to a collection of Infor	mation unless it displays a valid OMB control number.	
			Application Number	11/447,551	
	ISMITTAL		Filing Date	June 5, 2006	
F	ORM		First Named Inventor	Thierry Glauser	
(to be used for all cor	(to be used for all correspondence after initial filing)		Group Art Unit	1615	
			Examiner Name	Unassigned	
Total Number of Page (excluding references		10	Attorney Docket Number	50623.611	
	•	ENCLO	OSURES (check all that apply)		
Deposit Account ( Authorization	07-1850		ment Papers (5 pages) Application)	After Allowance Communication to Group	
Postage Paid Ret	turn Postcard	Drawin Submis	g(s) In/Formal Sheets with ssion of Drawings Transmittal	Appeal Communication to Board of Appeals and Interferences	
Response		Issue F	ee Transmittal with PTO-85b licate)	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
Amendment Tran- duplicate)	smittal Letter (in		st for Continued Examination nittal (RCE)	Proprietary Information	
Declaration		Fee Traduplica	ansmittal Form (1 page) (in ite)	Request for Status of Application	
Petition for Extens month) (in duplica			of Attorney, Revocation e of Correspondence Address	Other Enclosure(s) (please identify below):	
Information Disclo (in duplicate) with and _ Reference	Form PTO-1449		al Disclaimer st for Refund	Certificate of Transmission under 37 CFR 1.8 (1 page)	
Express Mail Lab			umber of CD(s)		
Certified Copy of Document(s)	Priority	Rema	rks .		
Response to Miss Incomplete Applic					
Response to Parts under 3 1.52 or 1.53					
	SIGNA	TURE OF	APPLICANT, ATTORNEY, O	R AGENT	
Firm or Individual name	Squire, Sanders & Zhaoyang Li, Reg.			<del></del>	
Signature					
Date July 17, 2006					
		CE	RTIFICATE OF MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.					
Typed or printed nam	e Rebecca M. F	(li <b>t</b> s		· ·	
Signature	Taker	14 7	Tolita	Date July 17, 2006	

SANFRANCISCO/188328.1

PTO/SB/17 (10-04v2) Approved for use through 07/31/2008, OMB 0851-0032

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FFF TO ANOMITTAL	Complete if Known				
FEE TRANSMITTAL	Application Number	11/447,551			
for FY 2006	Filing Date	June 5, 2006			
	First Named Inventor	Thierry Glauser			
Effective 10/01/2004, Patent fees are subject to annual revision.	Examiner Name	Unassigned			
Applicant claims small entity status. See 37 CFR 1.27	Art Unit_	1615			
TOTAL AMOUNT OF PAYMENT (\$) 40.00	Attorney Dockst No.	50823,811			

							E CALCIN ATION (continued)	
METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)  3. ADDITIONAL FEES					
☐ Check ☐ Crédit card ☐ Money ☐ Other ☐ None								
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⊠ ¢cposit A¢¢ou			Fee	Fee	Fee	Fee	Fee Description Fee	Pald
Deposit Account	07-1850		Code 1051	(S) 130	Code 2051	(S) 65	Surcharge - tate filling fee or oath	
Number	47-1250		1052	50 S0	2052	25	Surcharge - tate provisional filing fee or cover	
			, , , ,	- I	<b>u</b>		sheet.	
Deposit Account	Soulre, Sanders & Dompsey L.L.P.		1053	130	1053	130	Non-English specification	
Name	Squire, Sanders & Dempsey C.E.F.		1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
	thorized to: (check all that apply)		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
	idicated below 🖾 Credit any overpayments		1905	1,840*	1905	1,8401		
☐ Charge any aod	litional fee(s) or any underpayment of fee(s) idicated below, <b>except for the filling fee</b>		,000	,,,,,,,	, 505	.,040	Examiner action	
to the above-identi	fied deposit account.		1251	110	2251	55	Extension for reply within first month	
	FEE CALCULATION		1252	430	2252	Z15	Extension for reply within second month	
1. BASIC FI	LING FEE		1253	960	2253	490	Extension for reply within third month	
	imall Entity		1254	1,530	2254	765	Extension for reply within fourth month	
	99 Fee <u>Fee Description</u>	- B-13	1255	2,080	2255	1,040	Extension for reply within lifth month	
1 '''	ode (\$) Fe 1001 150 Utility filing fee	e Paid	1401	340	2401	170	Notice of Appeal	
	002 100 Design filing fee		1402	340	2402	170	Filing a brief in support of an appeal	
	003 100 Plant filing fee		1403	300	2403	150	Request for oral hearing	
	004 150 Releaue filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 200 2	005 100 Provisional filling fee		1452	110	2452	55	Petilion to revive - unavoldable	
'			1453	1,370	2453	685	Petition to revive – unintentional	
	SUBTOTAL (1) (5)		1501	1,370	2501	685	Utility Issue fee (or relasue)	
2. EXTRA CLA	M FEES FOR UTILITY AND REISSUE	•	1502	490	2502	245	Dealgn Issue fee	
	Fee from	an Beld	1503	660	2503	330	Plant issue fee	
Total Claims	Extra Claims below F	ee Pald	1450	130	1460	130	Politions to the Commissioner	
Independent			1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims	-3 ** = X 200 =		1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent	x=	0	8021	40	8021	40	Recording each patent assignment per property (times number of proporties)	40
Large Entity	Small Entity	'	1809	790	2809	395	Filling a submission after final rejection	
Fee Fee	Fee Fee Fee Description						(37 CFR § 1.129(a))	
Code (\$)	Code (\$)		1810	790	2810	395	For each additional invention to be	
1202 50 1201 200	2202 25 Claims in excess of 20 2201 100 Independent daims in excess	s of 3					examined (37 CFR § 1.129(b))	
1203 360	2203 180 Multiple dependent claim, If n		1801	790	2801	395	Request for Continued Examination (RCE)	
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"or number previo	usly paid, if greater; For Relasues, see above						223.01.(4) (4) 10	

SUBMITTED BY			Çorr	plete (if applicable)
Name (Print/Type)	Zhaoyang Li, Ph.D. Registration No. (Attorney/Agent)	46,872	Telephone	(415) 954-0200
Signature			Date	July 17, 2006

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL	L	Complete if Known	$\geq$	
FEE TRANSMITTAL	Application Number	11/447,551		
for FY 2006	Filing Date	June 5, 2006		
CO-sin 48/04/0004 Palent Francisco and Indian and Indian	First Named Inventor	Thierry Glauser		
Effective 10/01/2004. Patent fees are subject to ennual revision.	Examiner Name	Unassigned		
Applicant claims small entity status. See 37 CFR 1.27	Art Unit	1615		
TOTAL AMOUNT OF PAYMENT (\$) 40.00	Attorney Docket No.	50623,611	J	
METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)			

MET	HOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
□ Check □ C	redit card	3. ADDITIONAL FEES					
— ··· — ·	Order	Large Entity   Small Entity					
🗵 Deposit Accou	nt	Foe	Fee	Fee	Foo		
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Account Number	07-1850	1051	130	2051	65	Surcharge - late filing fee or oath	
		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit Account	Squire, Sanders & Dempsey L.L.P.	1053	130	1053	130	Non-English specification	
Name	Squita, Salisato a Bampaa, Lieu .	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
	thorized to: (check all that apply) ndicated below   Credit any overpayments	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge any add	litional fee(s) or any underpayment of fee(s) adicated below, except for the filling fee	1805	1,840*	1805	1,840	Requesting publication of SIR after Examiner action	
to the above-identi	fied deposit account.	1251	110	2251	55	Extension for reply within first month	
	FEE CALCULATION	1252	430	2252	215	Extension for reply within second month	
1. BASIC,FI	LING FEE	1253	980	2253	490	Extension for reply within third month	
	imali Entity	1254	1,590	2254	765	Extension for reply within fourth month	
	oe Pee <u>Pee Description</u> ode (\$)	1255	2,080	2255	1,040	Extension for reply within fifth month	
	301 150 Utility Sling fee	1401	340	2401	170	Notice of Appeal	
	002 100 Design filing fee	1402	340	2402	170	Filing a brief in support of an appeal	
1003 200 2	003 100 Plant filing fee	1403	300	2403	150	Request for oral hearing	
1004 300 2	004 150 Relssue filling fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 200 20	005 100 Provisional filling fee	1452	110	2452	55	Petition to revive – unavoidable	
'	CHRTOTAL (4)	1453	1,370	2453	68 <b>5</b>	Petition to revive - unintentional	
	SUBTOTAL (1) (5)	1501	1,370	2501	685	Utility leave fee (or reissue)	
2. EXTRA CLA	M FEES FOR UTILITY AND REISSUE	1502	490	2502	245	Design Issue fee	
	Fee from Extra Claima below Fee Pald	1503	660	2503	330	Plant issue fee	
Total Claims	-20 ** = X 50 =	1460	130	1480	130	Petitions to the Commissioner	
Independent		1607	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims	X 200 =	1806	160	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent	x = 0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40
Large Entity	Small Entity	1809	790	2809	395	Filling a submission after final rejection	
Foe Fae	Foo Foo Description					(37 CFR § 1.129(a))	
Code (\$) 1202 50	2002 25 Claims in excess of 20	1910	790	2810	395	For each additional invention to be	<b>i</b>
1201 200	2201 100 Independent claims in excess of 3					examined (37 CFR § 1.129(b))	
1203 360	2203 180 Multiple dependent claim, if not paid	1801	790	2801	395	Request for Continued Examination (RCE)	
1204 200	2204 100 ** Reissus Independent delms over original palent	1311	200	2311	100	Utility Examination Fee	
1205 50	2205 25 "Relasue dalms in excess of 20 and over original patent	Other fee (specify) <u>Utility Search Fee</u>					
	SUBTOTAL (2) (5)					<del></del>	L
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1	SUBMITTED BY			<u></u>	Çom	plete (if appilcași	(a)	
Į	Name (Print/Type)	Zhaoyang U, Ph.D. Registrellon No: (A	ltomey/Agent)	46,87 <u>2</u>	Telephone	(415) 954-0200	,	
ĺ	Signature				Date	July 17, 2006		

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July 17, 2006

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**DIRECT DIAL NO.:** +1.415.393.9885

E-MAJL: zli@ssd.com

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U.S. Patent Application Serial No. 11/447,551

Our File 50623.611

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(Rev. 03/01) U.S. Patent and Trademark Office OMB No. 0651-0027 (exp 6/30/2005) PATENTS ONLY	
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To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.	
1. Name of conveying party(ies):  1. Thierry Glauser 2. Irina Astafieva 3. Syed Faiyaz Ahmed Hossainy	Name and address of receiving party(ies)  Name: Advanced Cardiovascular Systems, Inc.  Street Address: 3200 Lakeside Drive
Additional name of conveying party(ies) attached?  Yes  No	City: Santa Clara State: CA Zip: 95054
Nature of conveyance:	Additional Name(s) & address(es) attached? ☐ Yes ☑ No
Other  Execution Dates: July 12, 2006	
4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the execution date of the application is:	
A. Patent Application No.(s) 11/447,551	B. Patent No.(s)
Additional numbers attached?   Yes  No	
Name and address of party to whom correspondence concerning this document should be mailed:	6. Total number of applications and patents involved: 1
Name: Cameron K. Kerrigan, Reg. No. 44,826	7. Total fee (37 CFR 3.41) \$ 40.00
Address: Squire, Sanders & Dempsey L.L.P.	☐ Enclosed
Street Address: One Maritime Plaza, Suite 300	Authorized to be charged to deposit account
City: San Francisco State: CA Zip: 94111	8. Deposit account number:  07-1850  (Attach duplicate copy of this page if paying by deposit account)
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RECORDED: 07/17/2006