

Substitute Form PTO-1595

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office**RECORDATION FORM COVER SHEET
PATENTS ONLY**

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)/Execution Date(s):**Sungsuk Steve KIM
Wendy Maria PATTERSON
Richard A. GROSS**Execution Date: **05/30/2006; 05/31/2006; 06/20/2006**Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**3. Nature of conveyance:**

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other: _____

2. Name and address of receiving party(ies)Name: **Portola Packaging, Inc.**Address: **951 Douglas Road
Batavia, Illinois 60510**Additional name(s) & address(es) attached? ☐ Yes ☒ No**4. Application or patent number(s):**

A. Patent Application No.(s)

29/244,027☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**Name: **David J. Brezner**Address: **DORSEY & WHITNEY LLP
555 California Street, Suite 1000, San
Francisco, California 94104-1513**Phone: **(415) 781-1989**Fax: **(415) 398-3249****6. Total number of applications and patents involved: 1****7. Total fee (37 C.F.R. 3.41).....\$ 40.00**

- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment InformationDeposit account number: **50-2319**Our Order No.: **463678-00267****(Docket No. 187170/US/DJB/VEJ)****Please debit any underpayment or credit any
overpayment to the above deposit account.**

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE**9. Signature:****Victor E. Johnson
Reg. No. 41,546**

Name of Person Signing



Signature

July 17, 2006

Date

Total number of pages including cover sheet, attachments, and documents: **5**Mail documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

4844-3490-8417\1

PATENT

Docket No. 187170/US/DJB/VEJ
Attorney Matter No. 463678-00267
Application No. 29/244,027

ASSIGNMENT

WHEREAS, the undersigned, (1) Sungsuk Steve KIM, resident of 741 Branson Drive, Batavia, Illinois 60510, and (2) Wendy Maria PATTERSON, resident of 1808 W. Camargo Ct, Anthem, Arizona 85086, and (3) Richard A. GROSS, resident of 122 Gamekeeper Court, Oconomowoc, Wisconsin 53066 (hereinafter termed "Inventors"), respectively, have invented certain new and useful improvements in *FLIP-TOP CONTAINER CLOSURE* and executed therefore an application for Letters Patent of the United States and bearing U.S. Patent Application No. 29/244,027 and filed on December 1, 2005.

WHEREAS, Portola Packaging, Inc., a corporation of the State of Delaware, having a place of business at 951 Douglas Road, Batavia, Illinois 60510, (hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventors (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventors do hereby jointly and severally covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventors shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed;

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Docket No. 187170/US/DJB/VEJ
Attorney Matter No. 463678-00267
Application No. 29/244,027

(b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventors in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their respective heirs, legal representatives and assigns.

4. Said Inventors do hereby jointly and severally warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventors have executed and delivered this instrument to said Assignee as follows:


(1) Sungsuk Steve KIM

Date: 6/20/2006
State of IL)
County of Howe) SS.

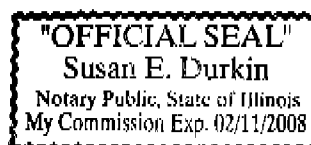


On 6/20/2006, before me, Susan E. Durkin, Notary Public, personally appeared Sungsuk Steve KIM, personally known to me (or proved to me on the basis of evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument, the person or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Notary Public



PATENT

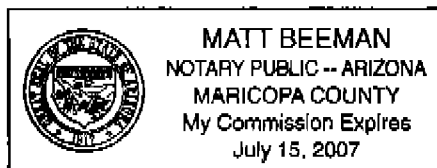
Docket No. 187170/US/DJB/VEJ
 Attorney Matter No. 463678-00267
 Application No. 29/244,027

(2) Wendy Maria PATTERSON

Date: May 30, 2006Wendy Maria Patterson

State of Arizona)
) SS.
 County of Maricopa)

On 05-30-06, before me, Matt Beeman, Notary Public, personally appeared Wendy Maria PATTERSON, personally known to me (or proved to me on the basis of evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument, the person or the entity upon behalf of which the person acted, executed the instrument.



WITNESS my hand and official seal.

Notary Public

Matt Beeman

(3) Richard A. GROSS

Date: _____

State of _____)
) SS.
 County of _____)

On _____, before me, _____, Notary Public, personally appeared Richard A. GROSS, personally known to me (or proved to me on the basis of evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument, the person or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Notary Public

PATENT

Docket No. 187170/US/DJB/VEJ
 Attorney Matter No. 463678-00267
 Application No. 29/244,027

(2) Wendy Maria PATTERSON

Date: _____

State of _____)
) SS.
 County of _____)

On _____, before me, _____, Notary Public, personally appeared Wendy Maria PATTERSON, personally known to me (or proved to me on the basis of evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument, the person or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

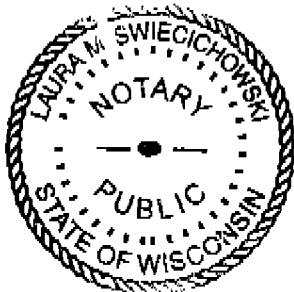
Notary Public

(3) Richard A. GROSS

Date: 5/31/06
 State of WI)
) SS.
 County of Waukesha)

On May 31, 2006, before me, Laura M. Swiecickowski, Notary Public, personally appeared Richard A. GROSS, personally known to me (or proved to me on the basis of evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument, the person or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



Laura M. Swiecickowski
 Notary Public

**FAX COVER SHEET**

The information contained in this facsimile message, if a client of this firm is a named addressee, or the message is otherwise intended for a client, is presumptively legally privileged and confidential information. If you are not a named addressee, or if there is any reason to believe that you may have received this message in error, (1) do not read the message below; (2) do not distribute or copy this facsimile; and (3) please immediately call us collect at the number of the sender below.

DATE:	July 17, 2006	TOTAL # OF PAGES: (INCLUDING THIS COVER SHEET)	6
TO:	Mail Stop Assignment Recordation Services Director of the USPTO P.O. Box 1450 Alexandria, VA 22313-1450	FAX #:	(571) 273-0140
ATTN:		PHONE #:	

FROM:	Victor E. Johnson	FAX #:	(415) 398-3249
PHONE #:	(415) 781-1989	EMAIL:	johnson.victor@dorsey.com

RE:

U.S. Design Patent Application No. 29/244,027 for
Flip-Top Container Closure
Our Docket No. 187170/US/DJB/VEJ (Our Matter No. 463678-00267)

COMMENTS:

Kindly acknowledge receipt of the following documents by return facsimile:

- 1.) RECORDATION FORM COVER SHEET;
- 2.) Copy of executed ASSIGNMENT; and
- 3.) This facsimile cover sheet.

ORIGINAL WILL BE SENT VIA: ☐ MAIL ☐ E-MAIL ☐ MESSENGER ☐ AIR COURIER ☒ WILL NOT BE SENT

PLEASE CONTACT LUKAS K. SZYMANSKI AT (415) 781-1989 IF THIS TRANSMISSION IS INCOMPLETE OR CANNOT BE READ.