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ON

Atty. Docket: 03465.000100

To the Director, U.S. Patent and Trademark Office



103252585

all documents or copy thereof.

1. Name of conveying party(ies):

MICHAEL SPERANZA

Additional name(s) of conveying party(ies) attached?

☐ Yes☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: May 30, 2006

2. Name and address of receiving party(ies):

Name: IPC INFORMATION SYSTEMS, LLC

Foreign Address:

Domestic Address: Wall Street Plaza, 88 Pine Street

City: New York State NY ZIP 10005

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application Number: 11/276,794

Filing Date: March 14, 2006

B. Title of Invention:

SYSTEM, METHOD AND APPARATUS FOR RECORDING  
AND REPRODUCING TRADING COMMUNICATIONS

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Fitzpatrick, Cella, Harper &amp; Scinto

30 Rockefeller Plaza

New York, New York 10112-3800

Telephone No.: (212) 218-2100

Facsimile No.: (212) 218-2200

6. Number of applications and patents involved:

One

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number (for deficiency or excess)

06-1205

(Attach duplicate copy of this page if paying by deposit account):

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and the attached is the original document and is a true copy of the original document.*

Jonathan Berschadsky (Reg. No. 46,551)

Name of Person Signing

Signature

June 2, 2006

Date

Total number of pages including cover sheet, attachments, and documents: 2

Form #122

NY\_MAIN 564301v1

PATENT  
REEL: 017959 FRAME: 0333

**SOLE**  
(AFTER APPLICATION FILED)

**ASSIGNMENT OF PATENT RIGHTS FOR THE UNITED STATES**

FOR VALUE RECEIVED, I, Michael Speranza

herby sell, assign, transfer and convey unto IPC Information Systems, LLC

a corporation of the United States

having a place of business at

Wall Street Plaza, 88 Pine Street, New York, NY 10005

its successors, assigns and legal representatives (hereinafter called the "Assignee"), the entire right, title and interest, for the United States, in and to certain inventions relating to

**SYSTEM, METHOD AND APPARATUS FOR RECORDING AND REPRODUCING TRADING COMMUNICATIONS**

and described in an application for Letters Patent of the United States filed by me on March 14, 2006

and which has been accorded Application No. 11/276,794

and in and to said application, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted, thereon, and all reissues and extensions thereof; and I hereby authorize and request the Commissioner for Patents and Trademarks of the United States to issue all Letters Patent upon said inventions to the Assignee or to such nominees as it may designate.

AND I authorize and empower the said Assignee or nominees to invoke and claim for any application for patent or other form of protection for said inventions, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from me.

AND I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in the United States for any purpose and more particularly in proof of the right of said Assignee or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

AND I hereby covenant that I have the full right to convey the entire right, title and interest herein assigned and that I have not executed and will not execute any agreement in conflict herewith.

AND I hereby covenant and agree that I will communicate to said Assignee or nominees all facts known to me pertaining to said inventions, and testify in all legal proceedings, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and declarations and in general perform all lawful acts necessary or proper to aid said Assignee or nominees in obtaining, maintaining and enforcing all lawful patent protection for said inventions in the United States.

By: Michael Speranza  
Michael Speranza

Date: 5/20/06

Form #129

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