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## RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

## PATENTS ONLY

U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Michael G. Cronin

Mark D. Fabry

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒

Assignment

☐

Merger

☐

Security Agreement

☐

Change of Name

☐

Other

05/19/2006

Execution Date: 05/17/2006

## 2. Name and address of receiving party(ies):

Name:

Caterpillar Inc.

Internal Address:

100 NE Adams

Peoria IL 61629-6490

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 05/19/2006

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Michael S. King

Caterpillar Inc.

Intellectual Property Department, AB6490

100 N. E. Adams Street

Peoria IL 61629-6490

## 6. Total number of applications and patents involved:

1

## 7. Total fee (37 CFR 3.41) ..... \$ 40.00

☐

Enclosed

☒

Authorized to be charged to deposit account

## 8. Deposit account number:

03-1129

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## 9. Signature.

Docket Number: 05-333

Michael S. King

Name of Person Signing

Signature

06/01/2006

Date

Total number of pages including cover sheet, attachments, and documents: 03

Mail documents to be recorded with cover sheet information to:

Commissioner of Patents &amp; Trademarks, Box Assignments

Washington, D.C. 20231

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PATENT

REEL: 017963 FRAME: 0428

### ASSIGNMENT

WHEREAS, MICHAEL G. CRONIN, residing at Peoria, Illinois, hereinafter referred to as ASSIGNOR has invented together with Mark D. Fabry a new and useful

#### MULTI-RANGE HYDROMECHANICAL TRANSMISSION

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 19 May 06; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Michael G. Cronin  
MICHAEL G. CRONIN

19 May 06  
Date

IN WITNESS OF the execution hereof by MICHAEL G. CRONIN we have hereunto set our hands.

Frank A. Dellmann 5-19-06  
Witness (1) Date  
Address: 135 Lexington Dr.  
E. Peoria, IL 61611

Blair Willie 5-19-06  
Witness (2) Date  
Address: 2117 County Road 2200N  
Minonk, IL 61760

## ASSIGNMENT

WHEREAS, MARK D. FABRY, residing at Dainville, France, hereinafter referred to as ASSIGNOR has invented together with Michael G. Cronin a new and useful

### MULTI-RANGE HYDROMECHANICAL TRANSMISSION

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on May 17, 2006; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Mark D. Fabry  
MARK D. FABRY

May 17, 2006  
Date

IN WITNESS OF the execution hereof by MARK D. FABRY we have hereunto set our hands.

ALPEN N. PATEL 17 May 06  
Witness (1) Date  
Address: 11, CLOS JULES CATOIRE  
62223 SAINT NICOLAS  
FRANCE

François CARON 17 May 2006  
Witness (2) Date  
Address: 7, rue du 11 novembre  
62121 ROYENNEVILLE  
FRANCE.