

06-12-2006



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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Recordation Form Cover Sheet

To the Honorable Commissioner of Patents and Trademarks. Please record the attached documents.

1. Name of conveying party(ies):
Timothy J. O'Brien
Hirotooshi Tanimoto
2. Name and address of receiving party(ies):
Name: **The University of Arkansas**
for Medical Sciences
Address: **4301 West Markham, Slot 518**
Little Rock, Arkansas 72207

Additional names of conveying party(ies) attached?
☒ NO ☐ YES

3. Nature of Conveyance: ☒ Assignment ☐ Merger Additional names & addresses attached?
☐ Security Agreement ☐ Change of Name ☐ NO ☐ YES
☐ OTHER _____

Execution Date: **May 5, 2006 and May 8, 2006**

4. Application number(s) or patent number(s): **7,022,821**
Additional numbers attached? ☒ NO ☐ YES

5. Name and address of party to whom correspondence concerning documents should be mailed:


Name: **Dr. Benjamin Adler**
ADLER & ASSOCIATES

Address:
8011 Candle Ln.
Houston, Texas 77071

6. Total number of applications and patents involved: **1**
7. Total Fee (37 CFR 3.41):.....**\$40.00** ☐ enclosed ☒ authorized to charge credit card.
8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Benjamin Aaron Adler, Ph.D., J.D.
Name of person signing


Signature Date **6/6/06**
Total number of pages comprising cover sheet: 1

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FINANCE SECTION

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ASSIGNMENT

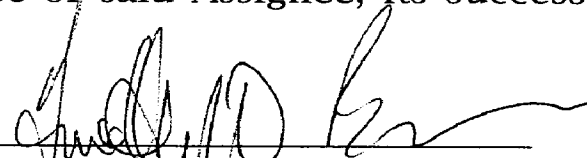
WHEREAS, we, **Tim J. O'Brien**, of 2610 North Pierce, Little Rock, AR 72227, and **Hirotooshi Tanimoto** of Kabeminami 2-1-2-403, Asa-Kiea-ku, Hiroshima City 731-0223, Japan (hereinafter collectively called "ASSIGNORS"), are co-inventors and owners of certain new and useful improvements in ***TADG-15: An Extracellular Serine Protease Overexpressed in Carcinomas***, an application for United States Letters Patent as filed October 20, 1999 as United States Serial No. 09/421,213, issued on April 4, 2006 as U.S. Patent No. 7,022,821.

WHEREAS, **The Board of Trustees of the University of Arkansas System**, of 2404 North University Avenue, Little Rock, AR 72207-3608, (hereinafter called "ASSIGNEE"), is desirous of acquiring, and ASSIGNOR are desirous of assigning to ASSIGNEE, all right, title and interest in and to said invention and application identified above.

NOW, THEREFORE, ASSIGNORS, for and in consideration of Ten Dollars (\$10.00) to us in hand paid, and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, do hereby sell, assign and convey upon ASSIGNEE and its successors the entire right, title and interest throughout the world in the inventions and improvements which are the subject of an application for United States Patent signed by us, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

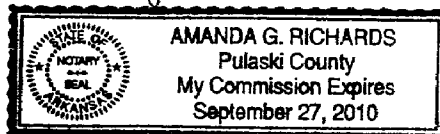
And we do further covenant and agree that we will, at any time upon request, communicate to the Assignee, its successors, assigns or other legal representative, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested, without further compensation but at the expense of said Assignee, its successors or other legal representatives.

DATE: May 5, 2006


Tim J. O'Brien

STATE OF Arkansas:

COUNTY OF Pulaski:



Before me this 5th day of May, 2006, personally appeared Timothy J. O'Brien known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public Amanda G. Richards

My Commission Expires: 9-27-10

DATE: May 8, 2006


Hirotoshi Tanimoto

Hiroshima, JAPAN

STATE OF _____:

COUNTY OF _____:

Before me this 8th day of May, 2006, personally appeared Hirotoshi Tanimoto known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public Kimiko Tanimoto

My Commission Expires: