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AP20 Rec'd PCT/PTO 17 NOV 2005

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Kenjin Shiba, Shoji Okamoto, Masafumi Yamada

## 2. Name and address of receiving party(ies)

Name: UNITIKA LTD.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security ☐ Change of Name  
☐ Other \_\_\_\_\_Street Address: 50, Higashi-Hommachi 1-chome,  
Amagasaki-shiCity: Hyogo State: Japan Zip: 660-0824Additional name(s) & address(es) attached? ☐ Yes ☒ No

Execution Date: 11/14/05

4. Application number(s) or patent number(s) AQUEOUS POLYOLEFIN RESIN DISPERSION, PROCESS FOR PRODUCING THE SAME, AND WATER-BASED COATING MATERIAL COMPRISING THE SAME

If this document is being filed together with a new application, the execution date of this application is: 11/14/05

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached: ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Christopher J. Fildes

Internal Address: \_\_\_\_\_

Street Address: Fildes & Outland, P.C.20916 Mack Avenue, Suite 2City: Grosse Pointe Woods State: MI ZIP: 482366. Total number of applications and patents involved: 17. Total Fee (37 CFR 3.41) .....\$ 40.00☒ Enclosed☐ Authorized to be charged to deposit account

## 8. Deposit account number: \_\_\_\_\_

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Christopher J. Fildes  
Name of person signing  
SignatureNovember 17, 2005  
Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:

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PATENT  
REEL: 017975 FRAME: 0350

# ASSIGNMENT

(1-3) Insert Name(s) of Inventor(s).  
(1) Kenjin SHIBA  
(2) Shoji OKAMOTO  
(3) Masafumi YAMADA

In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(4) Insert name of Assignee(s) (4) UNITIKA LTD.  
(5) Insert address of Assignee(s) (5) 50, Higashi-Hommachi 1-chome, Amagasaki-shi,  
Hyogo 660-0824 Japan

(6) Insert Identification of Invention, such as Title, Case Number or Foreign Application No.  
(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as  
AQUEOUS POLYOLEFIN RESIN DISPERSION, PROCESS FOR PRODUCING  
(6) THE SAME, AND WATER-BASED COATING MATERIAL COMPRISING  
THE SAME Foreign Application or Case No.

for which the undersigned has (have) executed an application for patent in the United States of America  
(7) Insert Date of Signing of Application (7) on November 14, 2005  
(8) Alternative identification for filed applications (8) U.S. applicants Serial Number \_\_\_\_\_, filed \_\_\_\_\_

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient or essential to its full protection and title in and to the invention hereby transferred.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division or re-issue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

4) The undersigned agree(s) to communicate to the Assignee or representative thereof any facts known to me (us) respecting the invention and improvements thereof, and will, upon request, but without expense to me (us), testify in any legal proceedings regarding the invention.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) the firm of Fildes & Outland, P.C. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

7) This Assignment shall be binding upon my (our) heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors and /or assigns of the Assignee.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

(1) Date November 14, 2005 Name of Inventor Kenjin Shiba  
Kenjin SHIBA  
(2) Date November 14, 2005 Name of Inventor Shoji Okamoto  
Shoji OKAMOTO  
(3) Date November 14, 2005 Name of Inventor Masafumi Yamada  
Masafumi YAMADA

Date November 14, 2005 Witness Masaki Yoshida  
Date November 14, 2005 Witness Naruo Koyama

PATENT

RECORDED: 11/17/2005

REEL: 017975 FRAME: 0351