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103262058

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OMB No. 0651-0027 (exp. 6/30/2005)

DEPARTMENT OF COMMERCE
Patents and Trademark Office

D2026/20001

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Peter William Surman
Sharon Ferguson
Wal Bik Mak
Andrew Douglas McLeod

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) March 20, 2006

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Douglas Pharmaceuticals Limited

Internal Address: _____

Street Address: Central Park Drive

City: Lincoln

State: Auckland

Country: New Zealand

Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.
A. Patent Application No.(s)
10/561,930
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Caesar, Rivise, Bernstein, Cohen & Pokotilow, Ltd.

Internal Address: _____

Street Address: 7 Penn Center, 11th Floor

1635 Market St.

City: Philadelphia

State: PA

Zip: 19103-2212

Phone Number: (215) 567-2010

Fax Number: (215) 751-1142

Email Address: _____

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number _____

Authorized User Name Robert S. Silver

9. Signature:

Signature

June 16, 2006

Date

Robert S. Silver

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 2

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/we the undersigned hereby sell, assign and transfer to:

Name: DOUGLAS PHARMACEUTICALS LIMITED

Address: Central Park Drive, Lincoln, Auckland, NEW ZEALAND

its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, the entire right, title and interest for the United States, in and to any and all inventions which are disclosed in United States Application Serial No. 10/561,930, entitled A STABLE CLOZAPINE SUSPENSION FORMULATION, filed in the U.S. Patent and Trademark Office on December 22, 2005 with the Attorney Docket No. D2026/20001, and in and to said national stage application (based on PCT/NZ2004/000158) and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States on any of said inventions; and in and to all original and reissued patents which have been or shall be issued in the United States on said inventions.


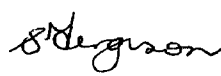

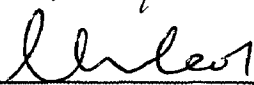
I/We agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to, but at the expense of said Assignee, I/we agree to carry out in good faith the intent and purpose of this assignment by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to me/us relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all United States applications for patents and all United States patents on said inventions, in said Assignee.

I/We hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

I/We covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me/us, and that full right to convey the same as herein expressed is possessed by me/us.

I/We hereby authorize said Assignee to insert on this Assignment the serial number and filing date of the above-identified application when they become available.

IN WITNESS WHEREOF, I/we have hereunto affixed my/our hand(s) and seal(s) on the date(s) set forth below, and further declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

NAME	ADDRESS	SIGNATURE	DATE
Peter William SURMAN	5 Robert Burke Place Henderson Auckland, NEW ZEALAND		20 March 06
Sharon FERGUSON	1/17 Deanna Drive Hobsonville Auckland, NEW ZEALAND		20 March 2006
Wai Bik MAK	29 Mary Street Mt. Eden Auckland, NEW ZEALAND		20 March 2006
Andrew Douglas MCLEOD	31 Westpark Drive West Harbour Auckland, NEW ZEALAND		20 March 2006

☐ Additional assignors are listed on attached supplemental sheet(s)

PATENT

RECORDED: 06/19/2006

REEL: 018005 FRAME: 0299