

Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 6/30/2008)U.S. DEPARTMENT OF COMMERCE  
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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

## 1. Name of conveying party(ies)

Jeffrey W. Smith, Fumiko Axelrod, and Steven J. Kridel

Additional name(s) of conveying party(ies) attached?  Yes  No

## 3. Nature of conveyance/Execution Date(s):

Execution Date(s) June 9th and June 12th

- Assignment  Merger  
 Security Agreement  Change of Name  
 Joint Research Agreement  
 Government Interest Assignment  
 Executive Order 9424, Confirmatory License  
 Other \_\_\_\_\_

## 2. Name and address of receiving party(ies)

Name: The Burnham Institute for Medical Research

Internal Address: \_\_\_\_\_

Street Address: 10901 North Torrey Pines RoadCity: La JollaState: CACountry: US Zip: 92037Additional name(s) & address(es) attached?  Yes  No

## 4. Application or patent number(s):

A. Patent Application No.(s)

11/378,961 This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached?  Yes  No

## 5. Name and address to whom correspondence concerning document should be mailed:

Name: Catalyst Law Group, APC

Internal Address: \_\_\_\_\_

Street Address: 9710 Scranton Road, Suite 170City: San DiegoState: CA Zip: 92121Phone Number: 858-450-0099Fax Number: 858-450-9834

Email Address: \_\_\_\_\_

6. Total number of applications and patents involved: 17. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged by credit card  
 Authorized to be charged to deposit account  
 Enclosed  
 None required (government interest not affecting title)

## 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_b. Deposit Account Number 502235Authorized User Name Michael B. Farber, Esq.9. Signature: Michael B. Farber

Signature

Date July 26, 2006

Date

Michael B. Farber, Esq.  
Name of Person SigningTotal number of pages including cover sheet, attachments, and documents: 5Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

700278112

PATENT  
REEL: 018018 FRAME: 0523

CH \$40.00 502235 11378691

### ASSIGNMENT

WHEREAS, We, Jeffrey W. Smith, Fumiko Axelrod, and Steven J. Kridel, all citizens of the United States of America and having a mailing address at 10901 N. Torrey Pines Road, La Jolla, CA 92037, USA, hereinafter referred to as "ASSIGNORS", are the inventors of a certain invention titled "Novel Method for the Asymmetric Synthesis of Beta-Lactone Compounds" for which a continuation-in-part application was filed on March 16, 2006 and designated Serial Number 11/378,961; and

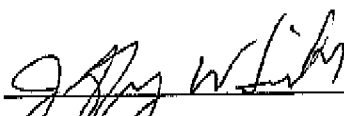
WHEREAS, The Burnham Institute for Medical Research, having an address at 10901 North Torrey Pines Road, La Jolla, California 92037, U.S.A., herein referred to as "ASSIGNEE," is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, for valuable consideration, the receipt of which is hereby acknowledged, the ASSIGNOR, by these presents does hereby sell, assign, transfer, and set over unto the said ASSIGNEE, its successors and assigns, the full and exclusive right, title and interest in and to the said invention and to all Letters Patent to be granted and issued therefore, including, but not limited to, all continuations, continuations in part, continuing prosecution and/or divisional applications, not only for, to and in the United States of America, its territories and possessions, but for, to, and in all other countries including all priority rights under the International Convention and any other applicable laws or treaties; and we hereby authorize and request the United States Commissioner of Patents and Trademarks to issue any subsequent Letters Patent to said ASSIGNEE, its successors and assigns in accordance with this Assignment.

This Assignment may be executed in counterparts, each of which shall be deemed to be an original and all of which together shall be deemed to be one and the same instrument.

Serial No.: 11/378,961  
Attorney Docket No.: 8014-022-CIP  
Burnham Ref. No.: 02-019-04CIP

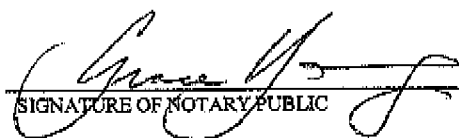
WITNESS my hand at San Diego, California this 9 day of June, 2006.

By:   
Name: Jeffrey W. Smith

STATE OF CALIFORNIA )  
                                  )     ss  
COUNTY OF SAN DIEGO )

On this 9<sup>th</sup> day of June, 2006, before me, Grace Yang, Notary Public, personally appeared Jeffrey W. Smith, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

  
SIGNATURE OF NOTARY PUBLIC

(Seal)



Serial No.: 11/378.961  
Attorney Docket No.: 8014-022-CIP  
Burnham Ref. No.: 02-019-04CIP

WITNESS my hand at San Diego, California this 12 day of June, 2006.

By: [Signature]

Name: Fumiko Axelrod

STATE OF CALIFORNIA )  
                                  ) Santa Clara ) ss  
COUNTY OF ~~SAN DIEGO~~ )

On this 12 day of June, 2006, before me, Michelle Rene Stoud, Notary Public, personally appeared Fumiko Axelrod, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[Signature]  
SIGNATURE OF NOTARY PUBLIC

(Seal)



Serial No.: 11/378,961  
Attorney Docket No.: 8014-022-CIP  
Burnham Ref. No.: 02-019-04CIP

WITNESS my hand at San Diego, California this 12 day of June, 2006.

By: [Signature]

Name: Steven J. Kridel

North Carolina  
STATE OF CALIFORNIA )  
Forsyth ) SS  
COUNTY OF SAN DIEGO )

On this 12 day of June, 2006, before me, Elizabeth Donnelly, Notary Public, personally appeared Steven J. Kridel, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

[Signature]  
SIGNATURE OF NOTARY PUBLIC

(Seal)

