G. Gregory Schivley Bryant E

′Wade

Name of Person Signing U



**EET** 

HDP Docket No. 9319I-001773

Express Mail Label No. EV 855 009 403 US (7/5/2006)103274110 To the Director of the U.S. Patents and Trademark Office: Please record the attached documents or the new address(es) below. Name of conveying party(ies)/Execution Date(s): 2. Name and address of receiving party(ies) Shuichi TANAKA Name: Seiko Epson Corporation Internal Address: Execution Date(s) 6/26/2006 Additional name of conveying party(ies) attached? 

Yes 

No Street Address: 4-1 Nishi-shinjuku 2-chome 3. Nature of conveyance: Shinjuku-ku Merger  $\boxtimes$ Assignment City: Tokyo Security Agreement Change of Name Government Interest Assignment State: Executive Order 9424, Confirmatory License Country: Japan Zip: 163-0811 Other Additional Name(s) & address(es) attached? ☐ Yes ☑ No This document is being filed together with a new application. 4. Application number(s) or patent number(s): A. Patent Application No.(s) B. Patent No.(s) Additional numbers attached? ☐ Yes ☒ No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved: 1 concerning this document should be mailed: Name: G. Gregory Schivley 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00 Internal Address: Harness, Dickey & Pierce, P.L.C. Authorized to be charged by credit card Authorized to be charged to deposit account Street Address: P.O. Box 828 Enclosed ■ None required (government interest not affecting title) City: Bloomfield Hills 8. Payment Information Zip: 48303 State: MI a. Credit Card Last 4 Numbers Phone Number: 248-641-1600 Expiration Date Fax Number: 248-641-0270 b. Deposit Account Number 50-3213 Email Address: bewade@hdp.com Authorized User Name Epson R&D 9. Signature: July 5, 2006 Signature

> Documents to be recorded (including cover sheet) should be faxed to (703) 308-5995, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

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### Seiko Epson Ref. No.: J0119111US01

# 整渡証 (Translation/日本語訳)

下記に署名した私/私達、

田中 秀一 は、

ある発明を創出し、これについて合衆国特許出願は

$\boxtimes$	ここに私/私達によ	より客	名され	,	
	に私/私達に	こより	(それ	ぞれ)	名名され
	に出願され,	出願	路号	⊅s	交付され、
	PCT 國際出願	_とし	て	_に出	願され、
_					

その発明は

半導体装置及びその製造方法

という名称である。そして、ここにその受領を認める対価で:私/私達は、当該発明/出願について、合衆国とその属領及び全ての外国に於ける全面的かつ独占的な権利;合衆国とその風領及び全ての外国に於いて死行される特許証に関わる全ての権利、所有権、利益;一部継続出願、株統出願、分割出原、差替え出願、再発行出願、特許期間延長等、合衆国とその属領及び全ての外国に於いて既に出願されたか若しくは今後出顧される特許に関わる全ての権利;そして、国際条約、同盟、契約、法令、協定(将來制定されるものを含む)に基づく全ての優先権を伴う一切の権利;を、日本國東京都新宿区西新宿二丁目4番1号に住所を有するセイコーエブソン株式会社、その後継者、設受人及び法定代理人に対して、売却、譲渡、移転するものとする。

さらに、私/私遠は、セイコーエブソン株式会社(以下譲受人 と言う) が単数ないしは複数の当該発明(以下当該発明という) に関わる特許権を、自己の名により、合衆国とその属領及び全 ての外国に於いて出願し、特許を受けること;またこの譲渡証 の意図と目的を誠実に実行することを求められた場合、下記に 客名した私/私達が、当該設受人、その後継者、その被譲渡者、 及び法定代理人の費用負担にて、一部継続出願、継続出願、分 割出順、差替え出願、再発行出願、特許期間延長等を行い、合 法的宣誓音、譲渡証、委任状等の書類を作成し、あらゆる法的 または準法的訴訟手続に於いて証言を行うこと;当該発明と その経緯に関連して、下記に署名した私/私達が知り得た全て の事実を、当該譲受人、後継者、被譲渡者、及び法定代理人に 迎絡すること:そして当該2000人、後継者、被譲渡者、及び法 定代型人が、当該発明の特許権の適切な保護、維持、権利行使 するために揺ましいと考慮すること、また、当該発明に関わる 特許出願に際し、当該設受人、後継者、被譲渡者、及び法定代 理人に対して法的権限を付与することが望ましいと考慮する ことについて、可能な限り行うことを承諾する。

## **Assignment**

For good and valuable consideration, the receipt of which is hereby acknowledged, I/WE, the undersigned,

### Shuichi TANAKA,

who have created a certain invention for which an application for United States Letters Patent

$\boxtimes$	executed by ME/US on even date herewith,				
	executed by ME/US on, (respectively),				
	filed on and assigned Serial No				
	filed as International Application No	filed	on		

and entitled:

SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE SAME

Do hereby sell, assign and transfer to Seiko Epson Corporation, a corporation of Japan, having a place of business at 4-1, Nishi-shinjuku 2-chome, Shinjuku-ku, Tokyo, Japan, its successors, assigns, and legal representatives, the full and exclusive right to said invention and said application and to any and all inventions described in said application for the United States, its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States, its territorial possessions and all foreign countries; and in and to any and all continuations-in-part, continuations, dívisions, reissues, extensions thereof, and all other applications for Letters Patent relating thereto which have been or shall be filed in the United States, its territorial possessions and/or any foreign countries, and all rights, together with all priority rights, under any of the international conventions, unions, agreements, acts, and treaties, including all future conventions, unions, agreements, acts, and treaties:

Agree that Seiko Epson Corporation, hereinafter referred to as Assignee, may apply for and receive Letters Patent for said invention and said inventions, hereinafter referred to as said invention, in its own name, in the United States, its territorial possessions, and all foreign countries; and that, when requested to carry out in good faith the intent and purpose of this assignment, at the expense of said Assignee, its successors, assigns and legal representatives, the undersigned will execute all continuations-in-part, continuations, divisions, substitutes. reissues, extensions thereof, execute all rightful caths, assignments, powers of attorney and other papers, testify in any legal or quasi legal proceedings; communicate to said Assignee, its successors, assigns or legal representatives all facts known to the undersigned relating to said invention and the history thereof, and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said invention and for vesting title to said invention and all applications for patents on said invention in said Assignee, its successors, assigns, or legal representatives; and

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そして、私/私遠は、この書面により譲渡された権利や財産に 形響する、如何なる譲渡、授権、抵当権、ライセンス等その他 の協定も他の第三者との間で行っていないこと; 下記に署名 した私/私遠によって、この書面に記載されている権利が所有 されていることを、当該譲受人、後継者、被譲渡者、及び法定 代理人に対して誓約するものである。

上記を証明するため、私/私達は下記日付で署名する。

Covenant with said Assignee, its successors, assigne, or legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

I/WE, the undersigned do further agree that this Assignment is to be construed solely according to the terms of the English language portions thereof.

IN TESTIMONY WHEREOF I/WE have hereunto set MY/OUR signature seal on the date indicated below.

唯一宝たは第一発明者名 田中 秀一		Full name of sole or first inventor Shuichi TANAKA	
発明者の署名	日付	Inventor's signature	Date
田中秀一	2006年6月26日	Swichi Tanaka	Jun 26, 2006
第二共同発明者 (いる場合)		Full name of second joint inventor, if any	,
第二共同発明者の署名	自付 .	Second Inventor's signature	Date
第三共同発明者 (いる場合)		Full name of third joint inventor, if any	
第三共同発明者の署名	日付	Third Inventor's signature	Date
第四共同発明者(いる場合)		Full name of fourth joint inventor, if any	
第四共同発明者の署名	日付	Fourth Inventor's signature	Date
第五共同発明者(いる場合)		Full name of fifth joint inventor, if any	
第五共同発明者の署名	日付	Fifth Inventor's signature	Date .
第六共同発明者(いる場合)		Full name of sixth joint inventor, if any	
第六共同発明者の署名	日付	Sixth Inventor's signature	Date
第七共同発明者 (いる場合)		Full name of seventh joint inventor, if an	у
第七共同発明者の署名	日付	Seventh Inventor's signature	Date
第八共同発明者(いる場合)		Full name of eighth joint inventor, if any	
第八共同発明者の著名	日付	Eighth Inventor's signature	Date

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