

07-05-2006

RE



IEET

103268719

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Roy Lim
Michael C. Sherman
Kevin T. Foley

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) May 4, 2006 and June 15, 2006

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: SDGI Holdings, Inc.

Internal Address: Suite 508

Street Address: 300 Delaware Avenue

City: Wilmington

State: Delaware

Country: USA Zip: 19801

Additional name(s) & addresses attached? ☐ Yes ☒ No

4. Application or patent number(s)

A. Patent Application No.(s)

11/451,862

☐ This document is being filed together with a new application

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: David D. Kalish

Internal Address: Coats & Bennett, P.L.L.C

Street Address: P.O. Box 5

City: Raleigh

State: NC Zip: 27602

Phone Number: (919) 854-1844

Fax Number: (919) 854-2084

Email address: dkalish@coatsandbennett.com

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____
Authorized User Name _____

9. Signature:

[Handwritten Signature]

June 28, 2006

Signature

Date

07/03/2006 DBYRNE 00000042 11451862

01 FC:0021

David D. Kalish

Name of Person Signing

Total number pages including cover
Sheet, attachments, and documents

7

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT
REEL: 018049 FRAME: 0148

PC674.08/4906-099

ASSIGNMENT OF PATENT

For valuable consideration, **Roy Lim**, of 7273 Great Oaks Road, Germantown, TN 38138; **Michael C. Sherman**, of 5854 Haymarket Road, Memphis, TN 38120; and **Kevin T. Foley**, of 2877 Keasler Circle West, Germantown, TN 38139; hereby assign their right, title, and interest in and to the improvements in a **MINIMALLY INVASIVE EXPANDING SPACER AND METHOD**, which is disclosed in U.S. Patent Application Serial Number 11 145 1862 filed on June 13, 2006 to **SDGI Holdings, Inc.**, of 300 Delaware Avenue, Suite 308, Wilmington, Delaware, 19801, for United States Patent and any and all patents granted therefore, continuations, continuations-in-part, divisionals, and renewals of and substitutes for said application or for said Patent, and all the rights and privileges under any and all Patents that may be granted therefor in any country, and any reissues, or reexaminations, or extensions of said Patents to be held and enjoyed by **SDGI Holdings, Inc.**, its successors, and assigns, as fully and entirely as the same would have been held and enjoyed by **Roy Lim**, **Michael C. Sherman** and **Kevin T. Foley** had this assignment not been made.

I hereby authorize and request my attorney David D. Kalish of Coats and Bennett, PLLC 1400 Crescent Green, Suite 300, Cary, North Carolina 27511 to insert the filing date and application serial number in the above indicated spaces when known.

4 May 2006
Date

4 May 2006
Date

Date

Roy Lim
Roy Lim

Michael C. Sherman
Michael C. Sherman

Kevin T. Foley

ASSIGNMENT OF PATENT

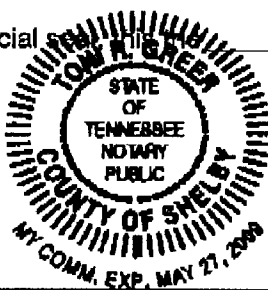
STATE OF Tennessee
Shelby County

I, Toni R. Greer, a Notary Public for said County and State, do hereby certify that Roy Lim personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal
20 06

4th day of May

(Official Seal)



Toni R. Greer
Notary Public

My commission expires _____

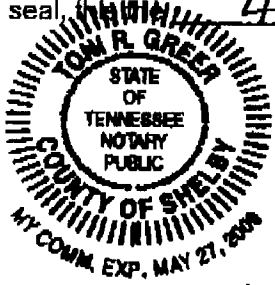
STATE OF Tennessee
Shelby County

I, Toni R. Greer, a Notary Public for said County and State, do hereby certify that Michael C. Sherman personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal
20 06

4th day of May

(Official Seal)



Toni R. Greer
Notary Public

My commission expires _____

PC674.08/4906-099

ASSIGNMENT OF PATENT

For valuable consideration, **Roy Lim**, of 7273 Great Oaks Road, Germantown, TN 38138; **Michael C. Sherman**, of 5854 Haymarket Road, Memphis, TN 38120; and **Kevin T. Foley**, of 2877 Keasler Circle West, Germantown, TN 38139; hereby assign their right, title, and interest in and to the improvements in a **MINIMALLY INVASIVE EXPANDING SPACER AND METHOD**, which is disclosed in U.S. Patent Application Serial Number 11,145,862 filed on June 13, 2006 to **SDGI Holdings, Inc.**, of 300 Delaware Avenue, Suite 308, Wilmington, Delaware, 19801, for United States Patent and any and all patents granted therefore, continuations, continuations-in-part, divisionals, and renewals of and substitutes for said application or for said Patent, and all the rights and privileges under any and all Patents that may be granted therefor in any country, and any reissues, or reexaminations, or extensions of said Patents to be held and enjoyed by **SDGI Holdings, Inc.**, its successors, and assigns, as fully and entirely as the same would have been held and enjoyed by **Roy Lim, Michael C. Sherman** and **Kevin T. Foley** had this assignment not been made.

I hereby authorize and request my attorney David D. Kalish of Coats and Bennett, PLLC 1400 Crescent Green, Suite 300, Cary, North Carolina 27511 to insert the filing date and application serial number in the above indicated spaces when known.

Date

Roy Lim

Date

Michael C. Sherman

6/15/06
Date



Kevin T. Foley

ASSIGNMENT OF PATENT

STATE OF _____
_____ County

I, _____, a Notary Public for said County and State, do hereby certify that **Roy Lim** personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal, this the _____ day of _____, 20_____.

(Official Seal)

Notary Public

My commission expires _____.

STATE OF _____
_____ County

I, _____, a Notary Public for said County and State, do hereby certify that **Michael C. Sherman** personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal, this the _____ day of _____, 20_____.

(Official Seal)

Notary Public

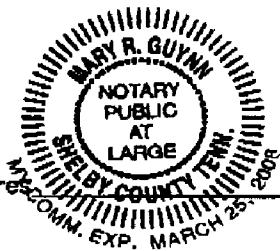
My commission expires _____.

STATE OF TN
Shelby County

I, Mary R. Guyon, a Notary Public for said County and State, do hereby certify that Kevin T. Foley personally appeared before me this day and acknowledged the due execution of the foregoing instrument.

Witness my hand and official seal, this the 15 day of June,
20 06

(Official Seal)



Mary R. Guyon
Notary Public

My commission expires _____