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U.S. Department of Commerce
Patent and Trademark Office
Attorney Docket No. 04131.0185
Attorney Customer Number: 22,852

To the Director of the U.S. Patents :

Attached original documents or copy thereof.

1. Name of conveying party(ies):

1) Tzu-Chien HSU
2) Chien-Fan CHEN
3) Shou-Shiun WU

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: NATIONAL SUN YAT-SEN UNIVERSITY

Internal Address:

3. Nature of conveyance/Execution Date(s):

Execution Date(s) May 15, 2006

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

Street Address: No. 70, Lianhai Rd., Gushan District,
Kaohsiung City, Taiwan

City:

State:

Zip Code:

Additional name(s) & Address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

☒ This document is being filed together with a new application.

A. Patent Application Number(s):

B. Patent Number(s):

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of to whom correspondence concerning document should be mailed:

Name: Mr. Ernest F. Chapman

6. Total number of applications and registrations involved: 1

7. Total fee (37 CFR 3.41): \$40

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed (Please charge deficiency to deposit account)
☐ None required (government interest not affecting title)

Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Street Address: 901 New York Avenue, NW

City: Washington, D.C.

State: Zip: 20001-4413

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____
b. Deposit Account No.: 06-0916

Authorized User Name _____

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ernest F. Chapman
Reg. No. 25,961

Signature

June 28, 2006

Date

Total number of pages including cover sheet, attachments and documents: 3

ASSIGNMENT

This assignment agreement is applicable to an invention entitled (Invention Title)
SECOND ORDER NONLINEAR OPTICAL POLYIMIDES HAVING
BENZOBISTHIAZOLE-BASED PENDANT GROUPS, AND PREPARATION OF
THE SAME

The PATENT RIGHTS referred to in this agreement are:

(check one) ☒ a patent application for this invention, executed by the ASSIGNOR(S)
concurrently with this assignment.

☐ U.S. patent application Serial No. _____, filed _____

☐ a U.S. patent application based on PCT International Application
No. _____, filed on (date) _____ (U.S. patent
application Serial No. _____, if known)

☐ U.S. Patent No. _____, issued _____

The PATENT RIGHTS also include all divisions, reissues, continuations and
extensions of the patents and patent applications identified above.

The PATENT RIGHTS assigned under this agreement are:

(check one) ☒ U.S. patent rights only.

☐ Worldwide patent rights. In this case, the assignee shall have the
right to claim the benefit of the filing date of any U.S. or foreign
patent application for this invention.

The ASSIGNOR(S) referred to in this agreement is (or are) the inventor(s) whose
signature(s) appear(s) on page 2 of this Assignment and any Supplemental Sheets(s).

The ASSIGNEE referred to in this agreement is:

(Name of Assignee) NATIONAL SUN YAT-SEN UNIVERSITY

(Address) No. 70, Lianhai Rd., Gushan District, Kaohsiung City, Taiwan

The ASSIGNEE is:

(check one) ☐ An Individual.

☐ A Partnership.

☐ A Corporation of _____ (specify state or country)

☒ (other) A University of Taiwan

The ASSIGNOR(S), in consideration of \$10.00 paid by the ASSIGNEE, and other
good and valuable consideration, receipt of which is acknowledged, hereby assign(s)
the following rights to the ASSIGNEE, its successors and assigns:

the full and exclusive right to the invention;
the entire right, title and interest in and to the PATENT RIGHTS;
the right to sue and recover for any past infringement; and,
the right to claim priority under 35 USC 119, 35 USC 120, or any other applicable provisions, based on any earlier patent applications for this invention.

As to all U.S. patent applications assigned under this agreement, the ASSIGNOR(S) hereby authorize(s) and request(s) the commissioner of Patents and Trademarks to issue all Letters Patent to the ASSIGNEE as the assignee of the entire right, title and interest, for the sole use and enjoyment of said ASSIGNEE, its successors and assigns.

Further, the ASSIGNOR(S) agree(s) to communicate to said ASSIGNEE, or its representatives, any facts known to the ASSIGNOR(S) respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, substitute renewal, reexamination and reissue applications, execute all necessary assignment papers to cause any and all Letters Patent to be issued to said ASSIGNEE, make all rightful oaths and generally do everything necessary or desirable to aid said ASSIGNEE, its successors and assigns, to obtain and enforce proper protection for said invention.

The ASSIGNOR(S) authorize(s) any attorney and agent who has a power of attorney in this application to check any appropriate boxes and to insert the Serial Number and filing date in this document after it has been executed.

Tzu-Chien HSU *Tzu-Chien HSU* May 15, 2006
Name of sole or first inventor Signature Date

Chien-Fan CHEN *Chien-Fan Chen* May 15, 2006
Name of second inventor, if any Signature Date

Shou-Shiun WU *Shou Shiun Wu* May 15 2006
Name of third inventor, if any Signature Date

Name of fourth inventor, if any Signature Date

Name of sole or first inventor Signature Date