

07-07-2006

Attorney Docket No.: EMC06-15(06185)



103270220

VER SHEET

Y

113260 U.S. PTO
11/476521

062806

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies)

Rajesh K. Gandhi
Keith Alan Carson, Jr.
Venkata R. Tiruveedi
Anoop George Ninan
Samuil Shmuylovich
Boris Farizon

2. Name and address of receiving party(ies)

Name: EMC Corporation

Internal Address:

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Street Address: 176 South Street

City: Hopkinton State: MA ZIP: 01748

Execution Date: June 26, 2006

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: June 26, 2006

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Barry W. Chapin, Esq.

Internal Address:

Chapin Intellectual Property Law, LLC

Street Address: Westborough Office Park

1700 West Park Drive

City: Westborough State: MA ZIP: 01581

6. Total number of applications and patents involved: [1]

7. Total Fee (37 C.F.R. 3.41)..... \$ 40.00

☒ Enclosed with application filing fee
☒ Authorized to charge any deficiencies or credit any overpayment to deposit account
☐ Authorized to be charged to deposit account

8. Deposit account number:

50-3735

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Barry W. Chapin, Esq.

Name of Person Signing

Signature

June 28, 2006

Date

Total number of pages including cover sheet, attachments, and document: [8]

07/03/2006 FFANAI2 00000061 11476521

04 FC:8021

40.00 DP

PATENT
REEL: 018058 FRAME: 0516

ASSIGNMENT

WHEREAS, we, Rajesh K. Gandhi, Keith Alan Carson, Jr., Venkata R. Tiruveedi, Anoop George Ninan, Samuil Shmuylovich, and Boris Farizon have invented an invention comprising and/or embodying certain improvements or discoveries or both as described in an application for Letters Patent of the United States entitled **METHODS AND APPARATUS FOR COLLECTING DATABASE TRANSACTIONS**, the specification of which:

☒ is being executed on even date herewith and is about to be filed in the United States Patent Office;

☐ was filed on _____ as U.S. Application No. _____;

☐ was patented under U.S. Patent No. _____ on _____.

WHEREAS, EMC Corporation (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Commonwealth of Massachusetts and having a usual place of business at 176 South Street, Hopkinton, Massachusetts 01748 desires to acquire an interest therein in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns and legal representatives, the entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to said invention as described in said application, together with the entire right, title and interest in and to said application and such Letters Patent as may issue thereon; said invention, application and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey to ASSIGNEE all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of the entire right, title and interest in and to said invention, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all such Letters Patent to ASSIGNEE for its own name as assignee of the entire right, title and interest therein;

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its

successors, assigns and legal representatives, but at its or their expense and charges, including the execution of applications for patents in foreign countries, and the execution of substitution, reissue, divisional or continuation applications and preliminary or other statements and the giving of testimony in any interference or other proceeding in which said invention or any application or patent directed thereto may be involved;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals as set forth below:

Date: 6-26-06 Rajesh K. Gandhi
Inventor's Signature

Print full name of first inventor: Rajesh K. Gandhi
Residence: 49 Arrowwood Drive, Shrewsbury, MA 01545
Citizenship: India
Mailing Address: Same as above

STATE/Commonwealth of Ma
COUNTY OF Middlesex

On this 26th day of June, 2006, then personally appeared before me the above-named Rajesh K. Gandhi known to me through satisfactory evidence of identification ☐ employee identification card; ☒ driver's license; ☐ (other) Rajesh K. Gandhi to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.

Julie A. Kavanaugh
Notary Public
Print Name: Julie A. Kavanaugh
My Commission expires 2/6/09

Date: 6-26-2006

Keith Alan Carson, Jr.
Inventor's Signature

Print full name of second inventor: Keith Alan Carson, Jr.
Residence: 16 Breakneck Hill Road, Hopkinton, MA 01748
Citizenship: United States of America
Mailing Address: Same as above

STATE/Commonwealth of Ma.
COUNTY OF Middlesex

On this 26th day of June, 2006, then personally appeared before me the
above-named Keith Alan Carson, Jr. known to me through satisfactory evidence of identification
☐ employee identification card; ☒ driver's license; ☐ (other)
Keith Alan Carson, Jr. to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he/she executed the same as his/her free act and
deed for the purposes therein contained.

Tulie A. Kavanaugh
Notary Public

Print Name: Tulie A. Kavanaugh
My Commission expires 2/6/09.

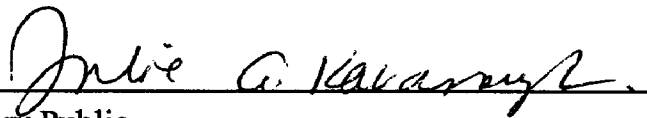
Date: 06/26/2006


Inventor's Signature

Print full name of third inventor: Venkata R. Tiruveedi
Residence: 52 Palomino Drive, Franklin, MA 02038
Citizenship: India
Mailing Address: Same as above

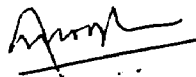
STATE/Commonwealth of Ma
COUNTY OF Middlesex

On this 26th day of June, 2006, then personally appeared before me the
above-named Venkata R. Tiruveedi known to me through satisfactory evidence of identification
☐ employee identification card; ☒ driver's license; ☐ (other)
Venkata R. Tiruveedi to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he/she executed the same as his/her free act and
deed for the purposes therein contained.


Notary Public

Print Name: Julie A. Kavanaugh
My Commission expires 2/6/09

Date: 06/26/2006



Inventor's Signature

Print full name of fourth inventor:

Anoop George Ninan

Residence:

13 Purdue Drive, Milford, MA 01757

Citizenship:

India

Mailing Address:

Same as above

STATE/COMMONWEALTH OF

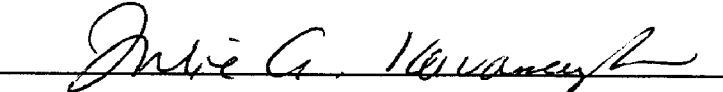
COUNTY OF

Ma.

Middlesex

On this 26th day of June, 2006, then personally appeared before me the above-named Anoop George Ninan known to me through satisfactory evidence of identification ☐ employee identification card; ☒ driver's license; ☐ (other)

Anoop George Ninan to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.



Notary Public

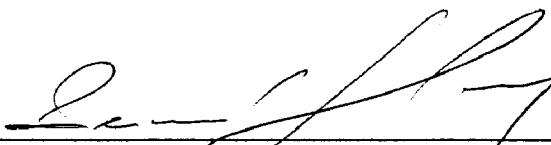
Julie A. Kavanaugh

Print Name:

My Commission expires 2/6/09

Date:

06/26/2006



Inventor's Signature

Print full name of fifth inventor:

Samuil Shmuylovich

Residence:

76 Apple D'Or Road, Framingham, MA 01701

Citizenship:

United States of America

Mailing Address:

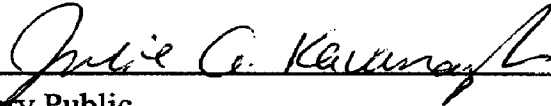
Same as above

STATE/COMMONWEALTH OF

COUNTY OF

MA -
Middlesex

On this 26th day of June, 2006, then personally appeared before me the above-named Samuil Shmuylovich known to me through satisfactory evidence of identification ☐ employee identification card; ☒ driver's license; ☐ (other) Samuil Shmuylovich to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.



Notary Public

Print Name: Julie A Kavanagh

My Commission expires 2/6/09

Date: 06-26-2006

Boris Farizon
Inventor's Signature

Print full name of sixth inventor:

Boris Farizon

Residence:

26 Old Colony Drive, Westborough, MA 01581

Citizenship:

United States of America

Mailing Address:

Same as above

STATE/Commonwealth of

Ma
Middlesex.

COUNTY OF

On this 26th day of June, 2006, then personally appeared before me the above-named Boris Farizon known to me through satisfactory evidence of identification [] employee identification card; [x] driver's license; [] (other) Boris Farizon to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he/she executed the same as his/her free act and deed for the purposes therein contained.

Julie C. Kavanaugh
Notary Public

Print Name: Julie A. Kavanaugh
My Commission expires 2/6/09