

07-19-2006

Form PTO-1595

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(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)



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Tab settings ⇨ ⇨ ⇨ ▼

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kris W. Johnson
Charles E. RoseAdditional name of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Caterpillar Inc.

Internal Address: _____

Street Address: 100 NE Adams Street

City: Peoria State: IL Zip: 61629

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: 06/06/06; 07/10/06

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 06/06/06; 07/10/06

A. Patent Application No.(s)

B. Patent No.(s)

11/485777

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Michael B. McNeil

Internal Address: _____

Street Address: 511 S. Madison Street

P.O. Box 2417

City: Bloomington State: IN Zip: 47402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)\$ 40

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

500226

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael B. McNeil

Name of Person Signing

Signature

7-13-06
Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

05-901

07/18/2006 NJM/KM 11/485777
01 FC:8021

071306

PATENT
REEL: 018059 FRAME: 0153

ASSIGNMENT

WHEREAS, Kris W. Johnson, residing at Peoria, Illinois, hereinafter referred to as ASSIGNOR has invented together with Charles E. Rose a new and useful

POWERTRAIN WITH POWERSPLIT PUMP INPUT AND METHOD OF USE THEREOF

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 6-6-06; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

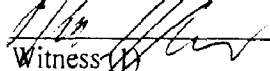
4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

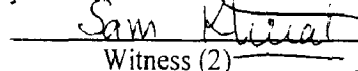
IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.


KRIS W. JOHNSON

6-6-2006
Date

IN WITNESS OF the execution hereof by KRIS W. JOHNSON we have hereunto set our hands.


Witness (1) 6/6/06
Date
Address: 2311 N. Linn St.
Peoria, IL 61604


Witness (2) 6/6/06
Date
Address: 6219 N. Teal Wood Cir
Peoria, IL 61615

ASSIGNMENT

WHEREAS, Charles E. Rose, residing at Metamora, Illinois, hereinafter referred to as ASSIGNOR has invented together with Kris W. Johnson a new and useful

POWERTRAIN WITH POWERSPLIT PUMP INPUT AND METHOD OF USE THEREOF

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 10-July-2006; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Charles E. Rose
CHARLES E. ROSE

10-July-2006
Date

IN WITNESS OF the execution hereof by CHARLES E. ROSE we have hereunto set our hands.

Jason L. Brinkman 7/10/06
Witness (1) Date

Address: JASON L. BRINKMAN
352 W. NORTHLAND
PEORIA IL 61614

Grant J. Foster 7/10/06
Witness (2) Date

Address: 5734 N Autumn Ridge Ct.
APT. C
Peoria, IL 61615

05-901

PATENT

RECORDED: 07/13/2006

REEL: 018059 FRAME: 0155