Form **PTQ-1595** (Rev. 03/05) U.S. DEPARTMENT OF COMMERCE OMB No. 0651-0027 (exp. 6/30/2005) Trademark Office 07-10-2006 **RECORDATION FORM (PATENTS** To the Director of the U.S. Patent and Trademark Office: Please recu 2. Name and address of receiving party(ies) Name of conveying party(ies) Name: The Regents of the University of California Jerome M. Siegal; Joshi John; Ming-Fung Wu; Nigel Maidment and Chris Evans Internal Address: Office of Technology Transfer ,IUN 2 onal name(s) of conveying party(ies) attached? Yes No Street Address: 1111 Franklin Street, 5th Floor 3. Nature of conveyance/Execution Date(s): Execution Date(s) 10/11/2000; 10/07/2000; and City: Oakland 11/07/2000 Assignment Merger State: CA Security Agreement Change of Name Joint Research Agreement Country: US Zip: 94607 Government Interest Assignment Executive Order 9424, Confirmatory License Other Submission is to correct an error in the cover sheet previously recorded at Reel/Frame: 011322/0043. Change City of receiving party previously listed as San Francisco to Oakland. Additional name(s) & address(es) attached? U Yes X No 4. Application or patent number(s): This document is being filed together with a new application. A. Patent Application No.(s) B. Patent No.(s) 09/569,835 Additional numbers attached? | Yes ⊠No 5. Name and address to whom correspondence 6. Total number of applications and patents concerning document should be mailed: involved: Name: Milan M. Vinnola 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00 Internal Address: Authorized to be charged by credit card Authorized to be charged to deposit account TOWNSEND AND TOWNSEND AND CREW LLP Street Address: Two Embarcadero Center, **Enclosed** Eighth Floor None required (government interest not affecting title) City: San Francisco 8. Payment Information

9. Signature: June 27, 2006 07/05/2006 DBYRNE 00000075 /201430 09569835 Signature Milan M. Vinnola Total number of pages including cover

Zip: 94111-3834

State:

01 FC:802

Fax Number:

California

(415) 576-0300

Name of Person Signing

Email Address: mmvinnola@townsend.com

Phone Number: (415) 576-0200

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, VA 22313-1450 60807423 v1

Atty. Reg. No. 45,979

a. Credit Card Last 4 numbers

b. Deposit Account Number 20-1430

Expiration Date

Authorized User Name Townsend and

sheet, attachments, and documents:

Townsend and Crew LLP

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12-	Attorne, Ocket No. 2307AA-110810US Client Reference No.: 2000-282-2			
FORM PTO-1595 (Rev. 6-93)	U.S. Department of Commerce Patent and Trademark Office			
To the Honorable Asst. Commissioner for Patent	in this university that is the same of the			
Name of conveying party(ies):	55/1505 of receiving party(ies)			
Jerome M. Siegel; Joshi John; Ming-Fung Wu; Nigel Maidment; and Chris Evans Additional name(s) of conveying parties attached?	Name: The Regents of the University of California Internal Address: Office of Technology Transfer			
☐ Yes ☑ No. ☐ JUN 2 7 20011	Street Address: 1111 Franklin Street, 5 th Floor			
3. Nature of conveyance: ☐ Assignment ☐ Mergerosman	City: San Francisco State: CA ZIP: 94607 Oakland Additional names and addresses attached?			
☐ Security Agreement ☐ Change of Name	☐ Yes			
☐ Other:				
Execution Date: 10/11/00; 10/11/00; 10/11/00; 10/7/00; and 11/3/00 respectively.				
4. Application Number(s) or Patent Numbers.				
If this document is being filed together with a new application, t	he execution date of the application is:			
A. Patent Application No(s): 09/569,835 filed May 1	1, 2000 B.Patent No(s):			
Additional numbers attached?	№ No			
Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved 1			
Name: Andrew T. Serafini, Ph.D. TOWNSEND AND TOWNSEND AND CREW LLP Two Embarcadero Center, 8 th Floor San Francisco, California 94111-3834 (415) 576-0200	7. Total fee (37 CFR 3.41): \$40.00 ☐ Enclosed ☐ Charge Fees to Deposit Account ☐ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.			
200000000000000000000000000000000000000	8 Deposit account number: 20-1430			
13/2000 DBYRNE 00000093 201430 09569835	THEODACE			
A 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	THIS SPACE			
9. Statement and signature. To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.				
Andrew T. Serafini, Ph.D. Name of Person Signing Atty Reg. No. 41,303 Total number of pages including cover sheet, attachments and document 4				
10. Change Correspondence Address to that of Part 5? ☐ Yes ☐ No				
OMB No. 0651-0011 (exp. 4/94)				
Do not detach this portion Mail documents to be recorded with required cover to:				
Box: Ass	Asst. Commissioner for Patents Box: Assignments Washington, D.C. 20231			

PATENT

REEL: 018065 FRAME: 0289

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

U.C. Case No. 2000-282-2

1. Jerome M. Siegel 2. Joshi John				
3. Ming-Fung Wu 4. Nigel Maidment				
5. Chris Evans				
hereby sells, assigns and transfers jointly to ASSIGNEES, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the U.S. Government represented by the Department of Veterans Affairs, having its administrative offices located Office of the General Counsel, Washington DC 20420, and the successors, assigns and legal representatives of the ASSIGNEES all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:				
SYSTEMIC ADMINISTRATION OF HYPOCRETIN-1				
and which is found in				
(a) U.S. provisional application filed herewith and listing the above named persons as inventors				
(b) U.S. patent application filed herewith and listing the above named persons as inventors				
(c) X U.S. application serial no. 09/569,835 , filed on May 11, 2000				
(d), issued,				
and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;				
ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;				
ASSIGNOR(S) further covenants that ASSIGNEES will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEES or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.				

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11	_day of _	Oct.	_, 2000
//	_day of _	oct	_, 2000
	_day of _		_, 2000
	_day of _		_, 2000

Signature of Inventor(s)

Jenne Mr. Leggl

Jenne Mr. Leggl

Jenne Mr. Leggl

PA 3099804 v1

U.C. Case No. 2000-282-2
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(d), issued
and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letter Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-par extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property; ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made of
entered into which would conflict with this assignment;
ASSIGNOR(S) further covenants that ASSIGNEES will, upon its request, be provided promptly with all pertinent fact and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEES or its legal representative any and all papers, instruments or affidavity required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and series number of said application when officially known.

United States and any reissue or extension thereof to the ASSIGNEES, The Regents of the University of California and the Department of Veterans Affairs.

executed this Signature of Inventor(s)

____day of ______, 2000
____day of ______, 2000
____day of ______, 2000
____day of ______, 2000
_____day of ______, 2000

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the

PA 3099804 v1

7 day of OCTOBER, 2000

U.C. Case No. <u>2000-282-2</u>
For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),
1. Jerome M. Siegel 2. Joshi John
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5. <u>Chris Evans</u>
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(c) X U.S. application serial no. 09/569,835 , filed on May 11, 2000
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and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;
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executed this	Signature of Inventor(s)	
day of, 2000		_
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day of, 2000		-
x 3 day of November 2000	x/U/w	_
day of, 2000		_
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U.C. Case No. <u>2000-282-2</u>
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1. Jerome M. Siegel 2. Joshi John
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(d), issued
and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;
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authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is

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PATENT

REEL: 018065 FRAME: 0297

U.C. Case No. 2000-282-2	
For good and valuable consideration	, the receipt of which is hereby acknowledged, ASSIGNOR(S),
1. Jerome M. Siegel	2. Joshi John
3. Ming-Fung Wu	4. Nigel Maidment
5. Chris Evans	
Corporation, having its statewide a 94607-5200, and the U.S. Governmoffices located Office of the Gen representatives of the ASSIGNEES	ointly to ASSIGNEES, The Regents of the University of California, a California dministrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA tent represented by the Department of Veterans Affairs, having its administrative teral Counsel, Washington DC 20420, and the successors, assigns and legal all of its right, title and interest for the United States and its territorial possessions, any and all improvements which are disclosed in the invention entitled:
SYSTI	EMIC ADMINISTRATION OF HYPOCRETIN-1
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(d) U.S. Patent No.:	, issued
Patent to be obtained for said invenextension, conversion to 35 USC 1	a foreign country, including the right to claim priority and, in and to, all Letters ation by the above application or any continuation, division, continuation-in-part, 11(a) or substitute thereof, and any reissue, reexamination or extension of said International Conventions for the Protection of Industrial Property;
ASSIGNOR(S) hereby covenants the entered into which would conflict with	nat no assignment, sale, agreement or encumbrance has been or will be made or ith this assignment;
and documents relating to said invento ASSIGNOR and will testify as to promptly execute and deliver to AS required to apply for, obtain, maintain equivalents thereof which may be n	at ASSIGNEES will, upon its request, be provided promptly with all pertinent facts at and said Letters Patent and legal equivalents as may be known and accessible to the same in any interference, litigation, or proceeding relating thereto and will issignees or its legal representative any and all papers, instruments or affidavits in, issue or enforce said application, said invention and said Letters Patent and said necessary or desirable to carry out the purposes thereof. An attorney of record is ecution of this assignment to insert into this assignment the filing date and serial icially known.

executed this	Signature of Inventor(s)
day of, 2000	
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PA 3099804 v1

U.C. Case No. 2000-282-2		
For good and valuable consideration,	the receipt of which is her	ereby acknowledged, ASSIGNOR(S),
1. Jerome M. Siegel	2. <u>Joshi John</u>	<u> </u>
3. Ming-Fung Wu	4. Nigel Maid	dment
5. Chris Evans		
Corporation, having its statewide ad 94607-5200, and the U.S. Government offices located Office of the General representatives of the ASSIGNEES at	Iministrative offices located the country of the Decral Counsel, Washingto all of its right, title and interest the country of	The Regents of the University of California, a California ated 1111 Franklin Street, Twelfth Floor, Oakland, CA repartment of Veterans Affairs, having its administrative on DC 20420, and the successors, assigns and legal aterest for the United States and its territorial possessions to which are disclosed in the invention entitled:
SYSTE	MIC ADMINISTRATIO	ION OF HYPOCRETIN-1
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executed this		Signature of Inventor(s)
day of	, 2000	
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