

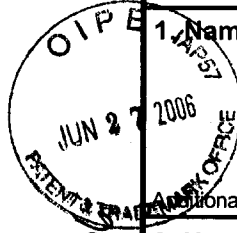
07-10-2006



103271279

# RECORDATION FORM (PATENTS)

To the Director of the U.S. Patent and Trademark Office: Please record ( ) below.



6-27-06

**1. Name of conveying party(ies)**  
 Jerome M. Siegal; Joshi John; Ming-Fung Wu;  
 Nigel Maidment and Chris Evans

Additional name(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies)**  
 Name: The Regents of the University of California  
 Internal Address: Office of Technology Transfer  
 Street Address: 1111 Franklin Street, 5th Floor

**3. Nature of conveyance/Execution Date(s):**  
 Execution Date(s) 10/11/2000; 10/07/2000; and 11/07/2000

Assignment  Merger  
 Security Agreement  Change of Name  
 Joint Research Agreement  
 Government Interest Assignment  
 Executive Order 9424, Confirmatory License  
 Other Submission is to correct an error in the cover sheet previously recorded at Reel/Frame: 011322/0043. Change City of receiving party previously listed as San Francisco to Oakland.

City: Oakland  
 State: CA  
 Country: US Zip: 94607

Additional name(s) & address(es) attached?  Yes  No

**4. Application or patent number(s):**  This document is being filed together with a new application.

A. Patent Application No.(s) 09/569,835  
 B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Milan M. Vinnola  
 Internal Address: \_\_\_\_\_  
TOWNSEND AND TOWNSEND AND CREW LLP  
 Street Address: Two Embarcadero Center,  
Eighth Floor  
 City: San Francisco  
 State: California Zip: 94111-3834  
 Phone Number: (415) 576-0200  
 Fax Number: (415) 576-0300  
 Email Address: mmvinnola@townsend.com

**6. Total number of applications and patents involved:** 1

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

Authorized to be charged by credit card  
 Authorized to be charged to deposit account  
 Enclosed  
 None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 numbers \_\_\_\_\_  
 Expiration Date \_\_\_\_\_

b. Deposit Account Number 20-1430  
 Authorized User Name Townsend and Townsend and Crew LLP

**9. Signature:** Milan M Vinnola June 27, 2006  
 Signature Date

Milan M. Vinnola  
 Name of Person Signing Atty. Reg. No. 45,979

Total number of pages including cover sheet, attachments, and documents: 10

07/05/2006 DBYRME 00000075/201430 09569835  
01 FC:802 40.00 DA

12-14-2000



101551505

FORM PTO-1595  
(Rev. 6-93)

U.S. Department of Commerce  
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patent

ments or copy thereof

1. Name of conveying party(ies):

Jerome M. Siegel; Joshi John; Ming-Fung Wu; Nigel  
Maidment; and Chris Evans  
Additional name(s) of conveying parties attached?

Yes  No.

Name: The Regents of the University of California

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City: ~~San Francisco~~ State: CA ZIP: 94607

Oakland  
Additional names and addresses attached?

Yes  No

3. Nature of conveyance:

Assignment  Merger  
 Security Agreement  Change of Name  
 Other:

Execution Date: 10/11/00; 10/11/00; 10/11/00; 10/7/00;  
and 11/3/00 respectively.

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 09/569,835 filed May 11, 2000 B. Patent No(s):

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Andrew T. Serafini, Ph.D.  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): \$40.00  
 Enclosed  Charge Fees to Deposit Account  
 Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8 Deposit account number: 20-1430

12/13/2000 DBYRNE 00000093 201430 09569835

01 FC:581 40.00 CH

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Andrew T. Serafini, Ph.D.  
Name of Person Signing

*Andrew T. Serafini*  
Signature

12-20-00  
Date

Atty Reg. No. 41,303 Total number of pages including cover sheet, attachments and document 4

10. Change Correspondence Address to that of Part 5?  Yes  No

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover to:

Asst. Commissioner for Patents  
Box: Assignments  
Washington, D.C. 20231

# ASSIGNMENT

U.C. Case No. 2000-282-2

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Jerome M. Siegel
2. Joshi John
3. Ming-Fung Wu
4. Nigel Maidment
5. Chris Evans

hereby sells, assigns and transfers jointly to ASSIGNEES, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200, and the U.S. Government represented by the Department of Veterans Affairs, having its administrative offices located Office of the General Counsel, Washington DC 20420, and the successors, assigns and legal representatives of the ASSIGNEES all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

## **SYSTEMIC ADMINISTRATION OF HYPOCRETIN-1**

and which is found in

- (a) \_\_\_\_\_ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) \_\_\_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors
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- (d) \_\_\_\_\_ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEES will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEES or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEES, The Regents of the University of California and the Department of Veterans Affairs.

executed this

4 day of Oct., 2000

11 day of Oct., 2000

11 day of Oct, 2000

\_\_\_\_ day of \_\_\_\_\_, 2000

\_\_\_\_ day of \_\_\_\_\_, 2000

Signature of Inventor(s)

Jessamine M. Leach  
Frank M. J. Wu  
Jerry  
\_\_\_\_\_  
\_\_\_\_\_

PA 3099804 v1

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executed this

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\_\_\_\_ day of \_\_\_\_\_, 2000

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\_\_\_\_ day of \_\_\_\_\_, 2000

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\_\_\_\_ day of \_\_\_\_\_, 2000

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\_\_\_\_ day of \_\_\_\_\_, 2000

\_\_\_\_\_

7 day of October, 2000



PA 3099804 v1

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executed this

Signature of Inventor(s)


\_\_\_ day of \_\_\_\_\_, 2000

\_\_\_ day of \_\_\_\_\_, 2000

\_\_\_ day of \_\_\_\_\_, 2000

x 3 day of November, 2000

\_\_\_ day of \_\_\_\_\_, 2000

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x   
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PA 3099804 v1



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executed this

4 day of Oct., 2000  
11 day of Oct., 2000  
11 day of Oct, 2000  
\_\_\_\_ day of \_\_\_\_\_, 2000  
\_\_\_\_ day of \_\_\_\_\_, 2000

Signature of Inventor(s)

Jessie M. Lloyd  
Frank M. J. Wu  
Jessie  
\_\_\_\_\_  
\_\_\_\_\_

PA 3099804 v1

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U.C. Case No. 2000-282-2

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\_\_\_\_ day of \_\_\_\_\_, 2000

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\_\_\_\_ day of \_\_\_\_\_, 2000

\_\_\_\_\_

\_\_\_\_ day of \_\_\_\_\_, 2000

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\_\_\_\_ day of \_\_\_\_\_, 2000

\_\_\_\_\_

7 day of OCTOBER, 2000

\_\_\_\_\_

PA 3099804 v1

**ASSIGNMENT**

U.C. Case No. 2000-282-2

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- |                            |                          |
|----------------------------|--------------------------|
| 1. <u>Jerome M. Siegel</u> | 2. <u>Joshi John</u>     |
| 3. <u>Ming-Fung Wu</u>     | 4. <u>Nigel Maidment</u> |
| 5. <u>Chris Evans</u>      |                          |

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executed this

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\_\_\_ day of \_\_\_\_\_, 2000

\_\_\_\_\_

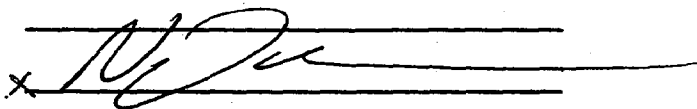
\_\_\_ day of \_\_\_\_\_, 2000

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\_\_\_ day of \_\_\_\_\_, 2000

\_\_\_\_\_

x 3 day of November, 2000

x 

\_\_\_ day of \_\_\_\_\_, 2000

\_\_\_\_\_

PA 3099804 v1