101	Form Pro-1595 (Rev. 03/05) OMB No. 0531-0027 (exp. 6/30/2005)	2 - 2006 U.S. Department of COMMERCE United States Patent and Trademark Office	
	5 2006 E		
A JUL	the Director of the U.S. Patents and Ti. 1U32	the Director of the U.S. Patents and Ti. 103273009 ments or the new address(es) below.	
040	1. Name of conveying party(ies): Markus Ortsiefer	2. Name and address of receiving party(ies)	
4		Name: Vertilas GmbH	
\\ \ \		Internal Address: Lichtenbergstr.8	
	Additional name of conveying party(ies) attached? ☐ Yes ☒ No		
	3. Nature of conveyance: Execution Date(s) June 13, 2006	Street Address: Lichtenbergstr.8	
		City Corphia	
	☐ Security Agreement ☐ Change of Name	City: Garching	
	Government Interest Assignment	State:	
	☐ Executive Order 9424, Confirmatory License	Country: Germany Zip; 85758	
	Other	Additional Name(s) & address(es) attached? ☐ Yes ☑ No	
07/11/2 01 FC:8		This document is being filed together with a new application.  B. Patent No.(s)  ached? □ Yes ☒ No	
	<ol><li>Name and address of party to whom correspondence concerning this document should be mailed:</li></ol>	<ol> <li>Total number of applications and patents involved: 3</li> </ol>	
	Name: Curtis A. Vock	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40	
	Internal Address: LATHROP & GAGE LC	Authorized to be charged by credit card	
	4845 Pearl East Circle, Suite 300	Authorized to be charged to deposit account	
	Street Address: LATHROP & GAGE LC	☐ Enclosed	
	4845 Pearl East Circle, Suite 300	☐ None required (government interest not affecting title)	
	City: Boulder		
	State: Colorado Zip: 80301	8. Payment Information	
	Phone Number : (720) 931-3011	a. Credit Card Last 4 Numbers Expiration Date	
	Fax Number: (720) 931-3001	b. Deposit Account Number 12-0600	
	Email Address: cvock@lathropgage.com	Authorized User Name <u>Curtis A. Vock</u>	
	9. Signature :	July 5, 2006	
	Signature Curtis A. Vock	Total number of pages including cover sheet, attachments, and documents 3	
	Name of Person Signing  Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:		

Documents to be recorded (including cover sheet) should be faxed to (703) 306-5995, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

## ASSIGNMENT

WHEREAS, I, Markus Ortsiefer of Karl-Richter-Str.4, Garching Germany 85748; a citizen of Germany, have invented a new and useful improvement in

## SURFACE-EMITTING SEMICONDUCTOR LASERS COMPRISING A STRUCTURED WAVEGUIDE

U.S. Patent Application Serial No.: 11/401,587, filed 11 April 2006 for which I have made application for Letters Patent of the United States; and

WHEREAS, Vertilas GmbH, organized under the laws of the Germany and having its principal place of business at Lichtenbergstr. 8, Garching, Germany 85748, is desirous of acquiring an interest in, to and under said invention, said application, and any and all Letters Patent which may be granted for or upon said invention in the United States of America and all countries foreign thereto.

NOW THEREFORE, to all whom it may concern, be it known that for good and valuable consideration, the receipt of which is hereby acknowledged, I, Markus Ortsiefer, have sold, assigned and transferred, and by these presents do sell, assign and transfer, unto said Vertilas GmbH, the full and exclusive right, title and interest, throughout the world, in, to and under the following:

- (a) said invention as fully set forth and described in the specification prepared, and executed by us preparatory to obtaining Letters Patent of the United States therefor;
  - (b) said application;
- (c) any and all refilings, divisions, continuations and continuations-inpart of said application;
- (d) any and all Letters Patent of the Untied States of America which may issue from said application, refilings, divisions, continuations and continuations-in-part;
- (c) any and all reissues and reexaminations of said Letters Patent of the United States of America;

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- (f) any and all applications for Letters Patent upon said invention which may hereafter be filed in any and all countries foreign to the United States of America;
- (g) any and all refilings, divisions and continuations of said forcign-filed applications;
- (h) any and all Letters Patent of countries foreign to the United States of America which may issue from the said foreign-filed applications, refilings, divisions and continuations; and
- (i) any and all extensions of and additions to, said Letters Patent of countries foreign to the United States of America.

All of the above shall be held and enjoyed by said Vertilas GmbH for its own use and benefit, and for its successors, legal representatives and assigns, to the full end of the term for which said Letters Patent may be granted, and I hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent in accordance with this Assignment.

Signed this 13th day of June

Markus Ortsiefer

RECORDED: 07/05/2006

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**PATENT** 

REEL: 018077 FRAME: 0965