

Form PTO-1595 (Rev. 08/05)  
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

# RECORDATION FORM COVER SHEET

## PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies):

George Georgiou (8/8/06)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s): in parentheses after inventor name

- ☒ Assignment ☐ Merger ☐ Change of Name  
☐ Security Agreement ☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: The University of Texas System

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

201 West 7<sup>th</sup> Street

City: Austin

State: Texas

Country: U.S.A. Zip: 78701

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

A. Patent Application No.(s)

11/411,988

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Isabelle M. Clauss  
FOLEY HOAG LLP

Internal Address: Atty. Dkt. HMV-052.03

Street Address: 155 Seaport Blvd

City: Boston

State: MA Zip: 02210

Phone Number: (617) 832-1177

Fax Number: (617) 832-7000

Email Address: imc@foleyhoag.com

### 6. Total number of applications and patents involved:

1

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 06-1448, Ref. HMV-052.03  
Authorized User Name Isabelle M. Clauss

### 9. Signature:

*Isabelle M. Clauss*  
Signature

August 10, 2006

Date

Isabelle M. Clauss - 47,326

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (571) 273-0140, on the date shown below.

Dated: August 10, 2006

Signature: *Wendy Herron* (Wendy Herron)

USSN: 11/411,988

HNV-052.03

## ASSIGNMENT

WHEREAS, I, **George Georgiou**, of Campus Mail Code: C0400, University of Texas, Austin, Texas 78712, have developed certain inventions described in a patent application entitled:

***Prokaryotic Host Cells for Expressing Proteins Rich in Disulfide Bonds***

filed on April 26, 2006 and having U.S. Application No. 11/411,988; having full right to convey my entire right, title and interest, both legal and equitable, in and to said inventions, free from all prior assignments, agreements, licenses, mortgages, security interests, or other encumbrances whatsoever; and

WHEREAS, **The Board of Regents, The University of Texas System** ("Assignee"), a non-profit corporation of the State of Texas, having a principal place of business at 201 West 7<sup>th</sup> Street, Austin, Texas 78701, is desirous of acquiring the entire right, title and interest in and to said invention or inventions and any and all patents to be obtained therefor;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged by the undersigned, the undersigned does hereby sell, assign, transfer and set over unto said Assignee, its successors and assigns, his entire right, title and interest throughout the world in and to said invention or inventions, as described in the aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications and claim priority under the provisions of any international convention or treaty; also the entire right, title and interest throughout the world in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the undersigned hereby authorizes and requests the issuing authority to issue any and all patents on said application or applications to said Assignee or its successors and assigns.

The undersigned further agrees, without any further payment or compensation by said Assignee or its successors and assigns, to communicate to said Assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings whenever requested; testify in any interference or other legal proceedings, whenever requested; execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and generally do everything possible to aid said Assignee, its successors or assigns and nominees to secure, obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

USSN: 11/411,988

HMV-052.03

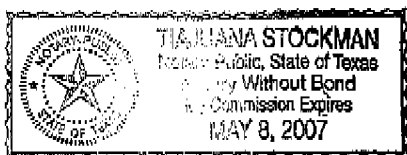
IN TESTIMONY WHEREOF, the undersigned have hereunto set their hands and seals on the date after their signature.

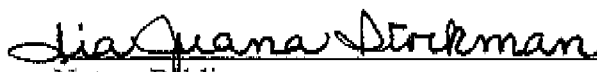
  
\_\_\_\_\_  
George Georgiou

8-8-06  
\_\_\_\_\_  
Date

State of Texas Dallas )  
County of Dallas )

On this the 8th day of August, 2006 before me personally appeared George Georgiou, to me personally known, and known to me to be the person who signed the foregoing assignment, and acknowledged the signing of same as his free act and deed.



  
\_\_\_\_\_  
Notary Public  
My commission expires: 5/8/07

PTO/SB/97 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application No. (if known): 11/411,988

Attorney Docket No.: HMV-052.03

**Certificate of Transmission under 37 CFR 1.8**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office.

on August 10, 2006  
Date

Wendy Herron  
Signature

Wendy Herron

Typed or printed name of person signing Certificate

Registration Number, if applicable

(617) 988-3584  
Telephone Number

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Assignment (4 pages)

Recordation Form Cover Sheet (1 page)

Charge \$40.00 to deposit account 06-1448, Reference HMV-052.03

# FAX TRANSMISSION

**DATE:** August 10, 2006

**PTO IDENTIFIER:** Application Number 11/411,988-Conf. #5916  
Patent Number

**Inventor:** Jonathan Beckwith et al.

**MESSAGE TO:** USPTO PTAS System

**FAX NUMBER:** (571) 273-0140

**FROM:** FOLEY HOAG LLP  
Isabelle M. Clauss

**PHONE:** (617) 832-1177

**Attorney Dkt. #:** HMV-052.03

**PAGES (Including Cover Sheet):** 5

**CONTENTS:** Assignment (2 pages)  
Recordation Form Cover Sheet (1 page)  
Charge \$40.00 to deposit account 06-1448, Reference HMV-052.03  
Certificate of Transmission (1 page)

If your receipt of this transmission is in error, please notify this firm immediately by collect call to sender at (617) 832-1177 and send the original transmission to us by return mail at the address below.

**This transmission is intended for the sole use of the individual and entity to whom it is addressed, and may contain information that is privileged, confidential and exempt from disclosure under applicable law. You are hereby notified that any dissemination, distribution or duplication of this transmission by someone other than the intended addressee or its designated agent is strictly prohibited.**

**FOLEY HOAG LLP**  
155 Seaport Blvd, Boston, Massachusetts 02210  
**Telephone:** (617) 832-1000 **Facsimile:** (617) 832-7000