

07-18-2006

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office



HEET

103276584

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below

**1. Name of conveying party(ies)**

Yuetao Zhang  
Daniel D. Wilhelm  
Brian Liimatta

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) 6/28/2006, 7/5/2006, and 7/10/2006

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: Cummins Filtration Inc.

Internal Address: \_\_\_\_\_

Street Address: 2931 Elm Hill Pike

City: Nashville

State: Tennessee

Country: USA Zip: 37214

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

New Application

07/14/2006 AKELECH1 00000022 11485238

04 FC 8021

40.00 DP

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: L. Scott Paynter

Internal Address: \_\_\_\_\_

Street Address: One Indiana Square

Suite 2800

City: Indianapolis

State: Indiana Zip: 46204

Phone Number: (317) 238-6321

Fax Number: (317) 238-6371

Email Address: lpaynter@kdlegal.com

**6. Total number of applications and patents involved: One**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☒ Authorized to be charged by credit card  
☐ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers 1039

Expiration Date 04/09

b. Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**

L. Scott Paynter  
Signature

July 12, 2006

Date

L. Scott Paynter Reg. #39,797

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

6

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**PATENT**  
**REEL: 018103 FRAME: 0543**

## ASSIGNMENT

WHEREAS, Yuctao Zhang, 4642 Roscbud Drive, Columbus, Indiana 47203; Daniel D. Wilhelm, 737 Creamer Drive, Nashville, Indiana 47448; and Brian P. Liimatta, 3224 Skyview Court, Columbus, Indiana 47203, hereinafter referred to as Assignors, have made one or more new and useful inventions in a SYSTEMS, APPARATUSES, AND METHODS OF DETERMINING PLUGGING OR DEPLUGGING OF A DIESEL OXIDATION CATALYST DEVICE for which Assignors have made or will make application for Letters Patent of the United States (hereinafter the "Application"); and

WHEREAS, Cummins Filtration, Inc., hereinafter referred to as Assignee, a corporation of the State of Indiana, having a principal place of business at 2931 Elm Hill Pike, Nashville, Tennessee 37214, desires to acquire all of the entire right, title and interest in, to and under the one or more inventions disclosed, described and claimed or intended so to be in the Application, and in, to and under the Application, and in, to and under any and all Letters Patent, United States and foreign, which may be obtained therefore and thereon;

NOW, THEREFORE, to all whom it may concern, be it known that for and in consideration of the sum of One Dollar (\$1.00) and other good, valuable and sufficient considerations to Assignors in hand paid, the receipt of which is hereby acknowledged, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto Assignee, all of the entire right, title, and interest in, to and under the one or more inventions disclosed, described and claimed or intended so to be in the Application entitled SYSTEMS, APPARATUSES, AND METHODS OF DETERMINING PLUGGING OR DEPLUGGING OF A DIESEL OXIDATION CATALYST DEVICE, and any and all other applications thereon and arising therefrom, including any and all applications claiming the

benefit thereof, and any and all divisions, continuations, and continuations-in-part thereof, and any and all patents to be issued and obtained therefor and thereon, United States and foreign, including all reissues and extensions thereof.

Assignors acknowledge that the Application was executed concurrently herewith unless a different execution date is indicated in item (a) below. Assignors hereby authorize Assignee, its counsel, or its agents to fill-in some or all of the following list of information items (a) through (c) at the discretion of the Assignee, its counsel, or its agents:

- (a) Execution Date of the Application: \_\_\_\_\_
- (b) Patent Office Number of the Application: \_\_\_\_\_
- (c) Filing Date of the Application: \_\_\_\_\_

Assignors hereby authorize and request the Honorable Assistant Secretary and Commissioner for Patents and Trademarks to issue said Letters Patent to Assignee, its assigns and legal representatives.

Assignors agree to assign and execute any and all other papers necessary or desirable for the procurement of Letters Patent on said invention in this and all foreign countries for the use of Assignee.

WITNESS Assignor's hand this 28<sup>th</sup> day of June, 2006.

[Signature]  
Yuetao Zhang

STATE OF INDIANA )  
 ) : SS  
COUNTY OF Bartholomew )

Before me, a Notary Public, in and for said County and State, personally appeared Yuetao Zhang, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 28<sup>th</sup> day of June, 2006.

Connie L Jackson  
Notary Public

Printed: CONNIE L. JACKSON

Resident of Bartholomew County

My Commission Expires:

MARCH 4, 2009

WITNESS Assignor's hand this 28th day of June, 2006.

Daniel D. Wilhelm

Daniel D. Wilhelm

STATE OF Indiana )  
COUNTY OF Bartholomew } : SS

Before me, a Notary Public, in and for said County and State, personally appeared Daniel D. Wilhelm, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 28th day of June, 2006.

Connie L. Jackson  
Notary Public

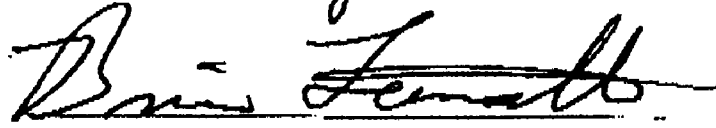
Printed: CONNIE L. JACKSON

Resident of Bartholomew County

My Commission Expires:

March 4, 2009

WITNESS Assignor's hand this 5 day of July, 2006.

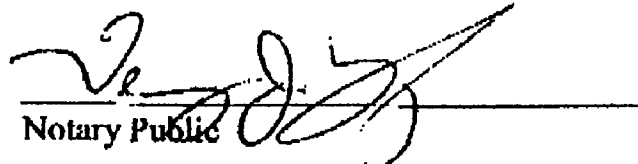


Brian P. Liimatta

STATE OF INDIANA )  
 ) : SS  
COUNTY OF BARTHOLOMEW )

Before me, a Notary Public, in and for said County and State, personally appeared Brian P. Liimatta, who, being first duly sworn upon his oath, acknowledged the execution of the foregoing "Assignment" as his voluntary act and deed.

WITNESS my hand and Notarial Seal this 5<sup>th</sup> day of JULY, 2006.

  
Notary Public

Printed: TERRY J. NEFF

Resident of JACKSON County

My Commission Expires:

18-APR-2012

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