

Recordation Form Cover Sheet **PATENTS ONLY**

Attorney's Docket No. 1030681-000962

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

CORRECTIVE

1. Name of conveying party(ies):

Sang-moon LEE

2. Name and address of receiving party(ies):

Samsung Electronics Co., Ltd.
418, Maetan-dong
Yeongtong-gu, Suwon-si, Gyeonggi-do
REPUBLIC OF KOREA

3. Nature of Conveyance/Execution Date(s):

March 5, 2006

☐ Assignment

☐ Security Agreement

☐ Joint Research Agreement

☐ Government Interest Agreement

☒ Other: Record to correct Document Date of Assignor on Assignment document previously recorded at Reel 017864; Frame 0579

☐ Executive Order 9424 Confirmatory License

☐ Merger

☐ Change of Name

4. Application or patent number(s):

A. Patent Application No.(s)

B. Patent No.(s)

11/417,193

☐ This document is being filed together with a new application.

5. Name and address to whom correspondence concerning document should be mailed:

Name: Charles F. Wieland III
Address: Buchanan Ingersoll & Rooney PC
Customer Number 2 1 8 3 9
P.O. Box 1404
Alexandria, Virginia 22313-1404

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40

☐ Authorized to be charged by credit card. PTO Form 2038 attached.

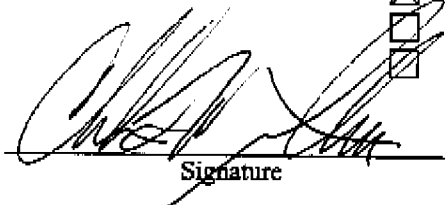
☒ Authorized to be charged to deposit account 02-4800

☐ Enclosed.

☐ None required (gov't interest not affecting title)

8.

Signature:


Signature

33,096

Reg. No.

August 11, 2006

Date

Charles F. Wieland III

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

6



103234978

Attorney's Docket No. 1030681-000962

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Sang-moon LEE

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: April 3, 2006

2. Name and address of receiving party(ies):

Name: Samsung Electronics Co., Ltd.

Address:

418, Maetan-dong
 Yeongtong-gu Suwon-si
 Gyeonggi-do, Republic of Korea

113374 U.S. PTO
 11/417193
 050406

Additional name(s) & addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: April 3, 2006

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles F. Wieland III

Address:

Buchanan Ingersoll PC
 Including attorneys from Burns, Doane, Swecker & Mathis
 Customer Number 2 1 8 3 9
 P.O. Box 1404
 Alexandria, VA 22313-1404

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00 (8021)

- ☐ Enclosed
☒ Authorized to be charged to deposit account
☒ Credit card. Form PTO-2038 is attached.

8. Deposit account number:

02-4800

(Attach duplicate copy of this page if paying by deposit account.)

9. Statement and Signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles F. Wieland III

Name of Person Signing

33,096

Reg. No.

Charles F. Wieland III
 By Benton B. Daffett Jr. - Reg. No. 22,030

Signature

May 4, 2006

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:
 Director of the United States Patent and Trademark Office / Mail Stop Assignment Recordation Services
 P.O. Box 1450 / Alexandria, VA 22313-1450

05/08/2006 NAMED: 00000057 11417193

04 FC:8021

40.00 DP

ASSIGNMENT

(SOLE)

THIS ASSIGNMENT, by Sang-moon LEE, residing at Suwon-si, Republic of Korea (hereinafter referred to as "the Assignor"), respectively, witnesseth:

WHEREAS, the Assignor has invented certain new and useful improvements in PUMP LASER INTEGRATED VERTICAL EXTERNAL CAVITY SURFACE EMITTING LASER set forth in an application for Letters Patent of the United States, which is a

- (1) ☐ provisional application
 - (a) ☐ bearing Application No. _____, and filed on _____;
 - (b) ☐ to be filed herewith; or
- (2) ☒ non-provisional application
 - (a) ☐ bearing Application No. _____, and filed on _____;
 - (b) ☒ having an oath or declaration executed on even date herewith prior to filing of application;
 - (c) ☐ having an oath or declaration executed on a different date than this Assignment; and

WHEREAS, SAMSUNG ELECTRONICS CO., LTD., a corporation duly organized under and pursuant to the laws of REPUBLIC OF KOREA and having a principal place of business at 418, Maetan-dong, Yeongtong-gu, Suwon-si, Gyeonggi-do, Republic of Korea (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignor has sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behoof and the use and behoof of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made;

AND for the same consideration, the Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignor is the sole and lawful owner of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns that the Assignor will, whenever counsel

Buchanan Ingersoll PC

ATTORNEYS

Including attorneys from Burns Doane Smucker & Mitchell

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Application No. Unassigned
 Attorney Docket No. 1030881-000882

of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignor hereby authorizes and requests the attorneys of Buchanan Ingersoll PC (including attorneys from Burns, Doane, Swackler & Mathis) to insert in the spaces provided above the title of the invention, filing date, application number, and attorney's docket number of said application when known.

AND the Assignor hereby requests the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said invention, the Letters Patent to be issued for the sole use and behoof of the Assignee, its successors, legal representatives, and assigns.

DATE

3/5/2006



SANG-MOON LEE

Buchanan Ingersoll PC
 ATTORNEYS
 including attorneys from Burns, Doane, Swackler & Mathis

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 (8/05)