

REC

07-31-2006



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Our Ref.: 4514-3

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Auckland Uniservices Limited Additional name/s of conveying party/ies attached? ☐ 3. Nature of conveyance: ☐ Assignment ☐ Merger ☐ Security Assignment ☐ Change of Name ☒ Other <u>Deed of Assignment of Patent</u> Execution Date: <u>September 13, 2002</u>		2. Name and address of receiving party(ies): (1) Name: Tru-Test Corporation Limited Street Address: 25 Carbine Road City: Mount Wellington, Auckland State/Country: New Zealand Zip: (2) Name: Street Address: City: State/Country: Zip: Additional name/s & address/es attached? ☐ Yes ☒ No	
4. Application number(s) or patent number(s): ☐ This application is being filed together with a new application. A. Patent Application No(s). (1) 09/445,760 (2) (3) Additional numbers attached ☐ Yes ☒ No B. Patent No(s). (1) 6,406,427 (2) (3)			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Leonard C. Mitchard</u> Internal Address: Street Address: <u>Nixon & Vanderhye P.C.</u> <u>901 North Glebe Road</u> <u>11th Floor</u> City: <u>Arlington</u> State: <u>VA</u> Zip: <u>22203</u>		6. Total number of applications & patents involved: <u>1</u> 7. Total fee (37 CFR 3.41) \$ <u>40.00</u> ☒ Enclosed ☐ Authorized to be charged to deposit account #14-1140 8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.	
DO NOT USE THIS SPACE			
9. Statements and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. <u>Leonard C. Mitchard</u> Name of Person Signing Reg. No. 29,009 Signature <u>July 26, 2006</u> Date Total number of pages including original cover sheet, attachments, and document: [6]			

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

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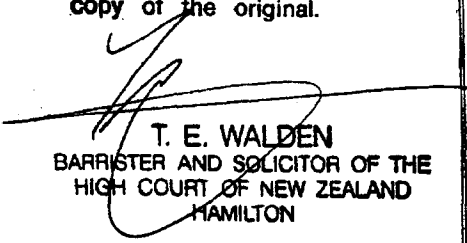
PATENT
REEL: 018130 FRAME: 0215

AUCKLAND UNISERVICES LIMITED
(Assignor)

TRU-TEST CORPORATION LIMITED
(Assignee)

DEED OF ASSIGNMENT OF PATENT

Certified to be a true
copy of the original.



T. E. WALDEN
BARRISTER AND SOLICITOR OF THE
HIGH COURT OF NEW ZEALAND
HAMILTON

Brookfields
LAWYERS

DEED OF ASSIGNMENT

DEED dated the _____ day of _____ 2002

PARTIES

1. AUCKLAND UNISERVICES LIMITED at Auckland ("Assignor").
2. TRU-TEST CORPORATION LIMITED at Hamilton ("Assignee").

INTRODUCTION

- A. The Assignor is the proprietor of the patents identified in the attached schedule to this deed ("Patents").
- B. The Assignor wishes to assign to the Assignee all its rights, title and interest in the Patents.

THIS DEED RECORDS that:

1. In consideration of the sum of one New Zealand dollar (NZD1.00) paid by the Assignee to the Assignor and other valuable consideration, the Assignor assigns and transfers to the Assignee absolutely and for all countries of the world, with effect from the date of this deed, all its right, title and interest in and to the Patents, together with all rights, if any, of the Assignor to take action against third parties for infringement of any of the Patents, whether or not such infringement took place prior to the date of this deed.

Further Assurances

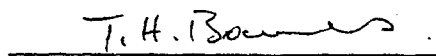
2. Each party shall do all things and execute all documents reasonably required in order to give effect to the provisions and intent of this deed.

EXECUTION

SIGNED on behalf of
AUCKLAND UNISERVICES LIMITED by:



Director



Director

2773869:5018340

SIGNED on behalf of
TRU-TEST CORPORATION LIMITED by:

Director

Director

2773869:5018340

THD

PATENT

REEL: 018130 FRAME: 0218

REEL: 018130 FRAME: 0219

44. V 11 / 014

Brain rescue instrument and method (in house name - systems patent) ii

Country	Application no.	Type of application	Applicant(s)	Assigned to	Inventors	Current Status	Published	Comments
NZ	NZ 501763	Priority application filed 9 December 1999	UniServices	UniServices	CE Williams MI Gunning	Abandoned		Filed in case claims in the co-pending case were not considered to be acceptable

Method and system for detecting white matter neural injury and predicting neurological outcome particularly in preterm infants (in house name - spectral edge)

Country	Application no.	Type of application	Applicant(s)	Assigned to	Inventors	Current Status	Published	Comments
NZ	NZ 328820	Priority application filed 23 September 1997	UniServices	UniServices	CE Williams	Completed. PCT application filed claiming priority from this application.		
PCT	PCT/NZ98/00142	PCT application filed 23 September 1998	UniServices	UniServices	CE Williams	International Phase completed. Entered national phase in selected jurisdictions.	WO 99 15067	
Europe	EU 98946735.2	National phase entry of PCT/NZ98/00142	UniServices	UniServices	CE Williams	Formalities complete. Awaiting examination.		
USA	US 09/509,186	National phase entry of PCT/NZ98/00142	CE Williams	UniServices	CE Williams	Notice of Allowance issued May 1 2002.		
USCIP	US 10/140,360	Continuation in part of 09/509,186	CE Williams	UniServices	CE Williams	Formalities complete. Awaiting examination at USPTO		USCP

PATENT