

07-31-2006



B/O FORM PTO-1595 (Rev. 4/00)

U.S. Department of Commerce  
Patent and Trademark Office

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PATENT ONLY

To the Commissioner for Patents. Please record the attached original documents or copies thereof.

## 1. Name of Conveying Party(ies):

Geoffrey W. Coates  
Scott D. Allen  
Claire Cohen  
Kathryn Peretti  
Hiroharu Ajiro☐ Additional names of conveying parties attached.

## 3. Nature of Conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other:

Execution Date: July 6, 6, 7, 7 &amp; 8, 2006, respectively

## 4. (A) Patent Application Number(s):

11/454,945

If this document is being filed together with a new application, the execution date of the application is:

## 2. Name and Address of Receiving Party(ies):

Name: **Cornell Research Foundation, Inc.**

Internal Address: Suite 105

Street Address: 20 Thornwood Drive

City, State, Zip: Ithaca, New York 14850

☐ Additional name(s) and address(es) attached.

## 5. Name and Address of Party to whom Correspondence Concerning this Document Should be Mailed:

Name:

Address:

**23364**  
Customer Number  
Phone: (703) 683-0500

Total Number of Applications and Patents Involved:

1

7. Total Fee:  
(37 CFR 3.41)**\$40.00**☒ Enclosed (As part of Check No. 49051)☐ Authorized to be charged to deposit account

8. Deposit Account Number:

**02-0200**

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## 9. Statement and Signature:

**To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true copy of the original document.**

Eric S. Spector, Reg. No. 22,495

Name of Person Signing

Signature

July 26, 2006

Date

Total number of pages including cover sheet, attachments and document: 4

07/27/2006 HALI11 00000126 11454945

01 FC:8021

40.00 OP

Attorney Dkt: COAT3016

**ASSIGNMENT**

WHEREAS, I (we), Geoffrey W. COATES, Scott D. ALLEN, Claire COHEN, Kathryn PERETTI and Hiroharu AJIRO whose post office address(es) appear(s) below, hereinafter referred to as ASSIGNOR, is(are) the owner of certain new and useful improvements in  
**ISOTACTIC SPECIFIC CATALYST FOR DIRECT PRODUCTION OF HIGHLY ISOTACTIC POLY(PROPYLENE OXIDE) OR HIGHLY ISOTACTIC POLY(BUTYLENE OXIDE)**

(hereinafter referred to as the INVENTION) for which an application for United States Letters Patent was

- ☐ executed on even date herewith  
☐ executed on:  
☒ filed on: **June 19, 2006** Serial No.: **11/454,945**  
☐ established by PCT International Patent Application No.: filed:

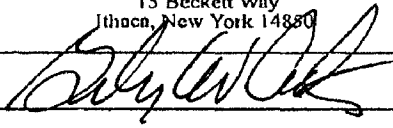
WHEREAS, **Cornell Research Foundation, Inc.** whose post office address is **20 Thornwood Drive, Suite 105, Ithaca, New York 14850** hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to the same in the United States and throughout the world;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, I (we), ASSIGNOR, by these presents do sell, assign and transfer unto said ASSIGNEE, the entire right, title, and interest in and to said INVENTION and application throughout the United States of America, including any and all United States Letters Patent granted on any division, continuation, continuation-in-part and reissue of said application; and the entire right, title and interest in and to the said INVENTION throughout the world, including the right to apply for patents and inventor certificates in respect thereof and to claim priority pursuant to rights accorded ASSIGNOR under the terms of the Paris International Convention and all other available international conventions and treaties; and the entire right, title and interest in and to any and all patents, patents of addition, utility models, patents of importation, revalidation patents and inventor certificates which may be granted throughout the world in respect of said INVENTION

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with the filing, prosecution and maintenance of said application or any other patent application(s) or inventor certificate(s) in the United States and in foreign countries for said INVENTION, including additional documents that may be required to affirm the rights of ASSIGNEE in and to said INVENTION, all without further consideration. ASSIGNOR also agrees, without further consideration and at ASSIGNEE'S expense, to identify and communicate to ASSIGNEE at ASSIGNEE'S request documents and information concerning the INVENTION that are within ASSIGNOR'S possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument. ASSIGNOR'S obligations under this instrument shall extend to ASSIGNOR'S heirs, executors, administrators and other legal representatives

ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE'S sole use and behoof; and for the use and behoof of ASSIGNEE'S legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

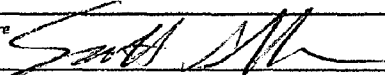
ASSIGNOR authorizes any member of the firm of **Bacon & Thomas, PLLC** to insert or complete any information in this document needed to effect its recordal in the U.S. Patent and Trademark Office.

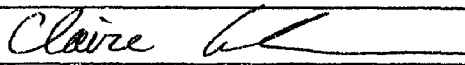
Assignor Name Geoffrey W. Coates	Address 15 Beckett Way Ithaca, New York 14850
Where Signed ITHACA NY	Signature 
Date 7/6/06	
_____ SS. (Notarization preferred but not required)	
Before me personally appeared said _____ and acknowledged this instrument to be his (her) free act and deed this _____ day of _____, 20____.	
_____ Notary Public My Commission Expires: _____	

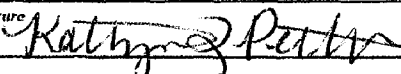
☒ Continued on Next Page

## ASSIGNMENT

Page: 2

Assignor Name Scott D. Allen	Address 442 N. Titus Avenue Ithaca, New York 14850
Where Signed Ithaca, NY	
Date 07/06/06	Signature 
_____ ss. (Notarization preferred but not required)	
Before me personally appeared said _____ and acknowledged this instrument to be his (her) free act and deed this _____ day of _____, 20____.	
_____ Notary Public My Commission Expires: _____	

Assignor Name Claire Cohen	Address 820 Pine Valley Lane, # 104 Toledo, Ohio 43615
Where Signed	
Date 7/7/06	Signature 
_____ ss. (Notarization preferred but not required)	
Before me personally appeared said _____ and acknowledged this instrument to be his (her) free act and deed this _____ day of _____, 20____.	
_____ Notary Public My Commission Expires: _____	

Assignor Name Kathryn Peretti	Address 120 Turkey Hill Road, Apt 1 Ithaca, New York 14850
Where Signed Ithaca, NY	
Date 7/07/06	Signature 
_____ ss. (Notarization preferred but not required)	
Before me personally appeared said _____ and acknowledged this instrument to be his (her) free act and deed this _____ day of _____, 20____.	
_____ Notary Public My Commission Expires: _____	

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## ASSIGNMENT

Page: 3

Assignor Name Hiroharu Ajiro	Address Gobankan 11-203, Onohara Higashi 5-8-48 Minoh City, Osaka, Japan 562-0031
Where Signed Osaka, Japan	
Date 2006. 7. 8th.	Signature Hiroharu M. Ajiro
_____ SS. (Notarization preferred but not required)	
Before me personally appeared said _____ and acknowledged this instrument to be his (her) free act and deed this _____ day of _____, 20____.	
_____ Notary Public My Commission Expires: _____	

Assignor Name	Address
Where Signed	
Date	Signature
_____ SS. (Notarization preferred but not required)	
Before me personally appeared said _____ and acknowledged this instrument to be his (her) free act and deed this _____ day of _____, 20____.	
_____ Notary Public My Commission Expires: _____	

Assignor Name	Address
Where Signed	
Date	Signature
_____ SS. (Notarization preferred but not required)	
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_____ Notary Public My Commission Expires: _____	

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