

7-2796



Form PTO-1595 (Rev. 08/05)
OMB No. 0651-0027 (exp. 6/30/2008)

08-01-2006

DEPARTMENT OF COMMERCE
Patent and Trademark Office



RECORDATION STATE

103284192

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)
DOUGLAS T. CHORNA
SRIKANTH SANKARAN

2. Name and address of receiving party(ies)
Name: Sri, Inc.
Internal Address: _____

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance/Execution Date(s):
Execution Date(s) 6/30/2006 & 7/8/2006
 Assignment Merger
 Security Agreement Change of Name
 Joint Research Agreement
 Government Interest Assignment
 Executive Order 9424, Confirmatory License
 Other _____

Street Address: Prisma & Company, Inc.
790 Frontage Road, Suite 215
City: _____ Northfield
State: _____ IL
Country: U.S. Zip: 60093
Additional name(s) & address(es) attached? Yes No

4. Application or patent number(s): This document is being filed together with a new application.

A. Patent Application No. (s)
11/354,866; filed February 16, 2006

B. Patent No. (s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: PILLSBURY WINTHROP SHAW PITTMAN LLP
Internal Address: P.O. Box 10500
Street Address: 1650 Tysons Boulevard
City: McLean
State: VA Zip: 22102
Phone Number: (703) 770-7900
Fax Number: (703) 770-7901
Email Address: lawrence.eisen@pillsburylaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00
 Authorized to be charged by credit card
 Authorized to be charged to deposit account
 Enclosed
 None required (government interest not affecting title)

8. Payment Information 522421-0325996
a. Credit Card Last 4 Numbers _____
Expiration Date _____
b. Deposit Account Number 033975
Authorized User Name _____

9. Signature: Lawrence D. Eisen
Signature

July 27, 2006
Date

Lawrence D. Eisen, Reg. No. 41009
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: _____

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

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PATENTS ONLY (Form PTO-1595)

1. Name of conveying party(ies):

Additional name(s) of conveying party(ies) attached?

Yes No

2. Name and address of receiving party(ies)

Name: Cayuga Derivatives, Inc.

Internal Address: _____

Street Address: 4794 Valencia Drive

City: Delray Beach State: FL ZIP: 33445

Name and address of receiving party(ies)

Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached?

Yes No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?

Yes No

ASSIGNMENT

WHEREAS, Douglas T. Chorna, residing at 4794 Valencia Drive, Delray Beach, FL 33445; and

Srikanth Sankaran, residing at 1453 Scott Avenue, Winnetka, IL 60093;

(hereinafter "Assignors") have invented certain new and useful improvements in

SYSTEMS AND METHODS FOR IMPLEMENTING THE STRUCTURING, PRICING, QUOTATION, AND TRADING OF SPOT SYNTHETICS (SPOTS), SPREAD INSTRUMENTS (SPRINTS), SPRINTS BASED ON SPOTS, RATIO DERIVATIVES (RADS), RADS BASED ON SPOTS, AND OPTIONS BASED ON THESE INSTRUMENTS

for a full description of which, reference is here made to an application for Letters Patent of the United States of America

Executed concurrently herewith

Executed on

Serial. No. **11/354,866**, filed **February 16, 2006**

in the U.S. Patent and Trademark Office and to U.S. provisional patent application(s)

Serial. No. **60/653,104**, filed **February 16, 2005**

in the U.S. Patent and Trademark Office; and

WHEREAS, Cayuga Derivatives, Inc. a corporation of the State of Florida, having its principal office and place of business at 4794 Valencia Drive, Delray Beach, Florida 33445 and Sri, Inc., a corporation of the State of Illinois, having its principal office and place of business at Prisma & Company, Inc., 790 Frontage Road, Suite 215, Northfield,

Illinois 60093 (hereinafter "Assignees") are desirous of acquiring the entire right, title, and interest in, to, and under said invention and application above identified, and in, to, and under any Letters Patent that may be obtained for said invention, together with all foreign rights corresponding thereto, as hereinafter more fully set forth.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, be it known that, for good and valuable and legally sufficient consideration, the receipt of which by each Assignor from Assignees is hereby acknowledged, Assignors have agreed to sell, assign, and transfer and by these presents does hereby, sell, assign, and transfer unto Assignees respective 50% shares of the entire right, title and interest in, to, and under said invention and application above identified and Letters Patent of the United States of America that may be obtained in respect thereof; any corresponding applications for Letters Patent and Letters Patent therefor in all other areas of the world; and any reissues, extensions, substitutions, confirmations, divisions, and continuations of any of the foregoing (hereinafter "Invention Rights"), to have and to hold for the sole and exclusive use and benefit of Assignees forever.

Assignors hereby covenant and agree, for themselves and for their respective legal representatives, to assist and cooperate with Assignees in the preparation and prosecution of any applications included within the Invention Rights and in the prosecution or defense of any interference, opposition, or other proceeding that may arise in connection with any applications or Letters Patent included within the Invention Rights and further to execute and deliver to Assignees any and all additional papers that may be requested by Assignees for the purpose of implementing the terms of this ASSIGNMENT.

Assignors hereby authorize and empower Assignees to invoke and claim for any applications or Letters Patent included within the Invention Rights the benefit of any rights to which Assignors might be entitled under international law or under the laws of any particular country (such as, without limitation, the right of priority provided by the

International Convention for the Protection of Industrial Property, as amended) and to invoke and claim said rights without further written or oral authorization from Assignors.

Assignors hereby consent that a copy of this ASSIGNMENT shall be deemed a full legal and formal equivalent of any assignment, consent to file, or like document that may be required in any particular country for any purpose and more particularly in proof of the right of Assignees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended.

Assignors covenant and agree that this ASSIGNMENT and all of the terms hereof shall inure to the benefit of the successors, assigns, legal representatives, or nominees of Assignees, without further written or oral authorization from Assignors.

Assignors hereby authorize and request the Commissioner of Patents and the United States Patent and Trademark Office to issue Letters Patent to Assignees in accordance with the terms of this ASSIGNMENT.

SIGNATURE: D. T. Chorna

NAME: DOUGLAS T. CHORNA

Date: 6/30/05

SIGNATURE: Srikanth Sankaran

NAME: SRIKANTH SANKARAN

Date: 7/8/2006