

08-02-2006

Form PTO-1595

(Rev. 10/02)

OMB No. 0651-0027 (exp. 6/30/2005)

REC



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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Tab settings → → →

103284757

To the Honorable Commissioner of Patents and Trademarks: Please Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Thomas A. Brosowski

Aaron J. Weber

Scott A. Snoble

Thomas L. Atwell

Joseph R. Derk

Cristian V. Strilechi

Dwight V. Stone

Additional name of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: Caterpillar Inc.

Internal Address:

Street Address: 100 NE Adams Street

City: Peoria State: IL Zip: 61629

Additional Name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: 5/16/06; 6/6/06; 7/6/06; 6/30/06; 5/12/06; 5/26/06; 6/20/06

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 5/16/06; 6/5/06; 7/6/06;

A. Patent Application No.(s)

B. Patent No.(s)

6/30/06; 5/12/06; 5/26/06; 6/20/06

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Jonathan F. Yates

Internal Address:

Street Address: 511 S. Madison Street

P.O. Box 2417

City: Bloomington State: IN Zip: 47402

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

500226

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jonathan F. Yates

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents:

Main documents to be recorded with required cover sheet information to:

40.00 00 Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

05-818

PATENT

REEL: 018136 FRAME: 0648

ASSIGNMENT

WHEREAS, Thomas A. Brosowske, residing at Peoria, Illinois, hereinafter referred to as ASSIGNOR has invented together with Joseph R. Derk, Dwight V. Stone, Cristian V. Strilechi, Aaron J. Weber, Scott A. Snoble and Thomas L. Atwell a new and useful

COMPLIANT GEAR ASSEMBLY AND WORK MACHINE USING SAME

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on May 16, 2006; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Thomas A Brosowske
THOMAS A. BROROWSKE

May 16, 2006
Date

IN WITNESS OF the execution hereof by THOMAS A. BROROWSKE we have hereunto set our hands.

Walter D. Binkley Jr May 16, 2006
Witness (1) Date

Address: 128 Sara St
East Peoria IL 61611

Lucas J. P. Stone 16-May-06
Witness (2) Date

Address: 906 Dogwood Dr.
Washington, IL 61571

ASSIGNMENT

WHEREAS, Aaron J. Weber, residing at Fort Wayne, Indiana, hereinafter referred to as ASSIGNOR has invented together with Scott A. Snoble, Thomas L. Atwell, Thomas A. Brosowske, Joseph R. Derk, Dwight V. Stone and Cristian V. Strilechi a new and useful

COMPLIANT GEAR ASSEMBLY AND WORK MACHINE USING SAME

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 6/5/06; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR:

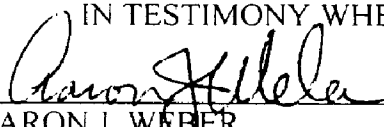
1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

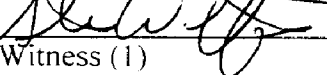


AARON J. WEBER

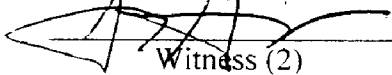
6/6/06

Date

IN WITNESS OF the execution, hereof by AARON J. WEBER, we have hereunto set our hands.



Witness (1) 6/6/06
Date
Address: 12027 Golden Harvest
Fort Wayne IN 46845



Witness (2) 6/6/06
Date
Address: 916 W. LOIRE CT. #202
PEORIA, IL 61614

ASSIGNMENT

WHEREAS, Scott A. Snoble, residing at Pekin, Illinois, hereinafter referred to as ASSIGNOR has invented together with Thomas L. Atwell, Thomas A. Brosowske, Joseph R. Derk, Dwight V. Stone, Cristian V. Strilechi and Aaron J. Weber a new and useful

COMPLIANT GEAR ASSEMBLY AND WORK MACHINE USING SAME

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 7/6/06; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Scott A. Snoble
SCOTT A. SNOBLE

July 6, 2006
Date

IN WITNESS OF the execution hereof by SCOTT A. SNOBLE we have hereunto set our hands.

Witness (1) Thomas J. Crowell Date 7/6/2006
Address: 711 Devon Dr.
Metamora IL 61548

Paul H. Strickland Witness (2) Date 7/6/2006
Address: 6623 N. Greenmont
Peoria, IL 61614

ASSIGNMENT

WHEREAS, Thomas L. Atwell, residing at Peoria, Illinois, hereinafter referred to as ASSIGNOR has invented together with Thomas A. Brosowske, Joseph R. Derk, Dwight V. Stone, Cristian V. Strilechi, Aaron J. Weber and Scott A. Snoble a new and useful

COMPLIANT GEAR ASSEMBLY AND WORK MACHINE USING SAME

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 6 / 30 / 06; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Thomas L. Atwell
THOMAS L. ATWELL

6 / 30 / 06
Date

IN WITNESS OF the execution hereof by THOMAS L. ATWELL we have hereunto set our hands.

David Haver 6/30/06
Witness (1) Date

Address: 605 W. Carrington
Peoria, IL 61604

Michael Smith 6/30/2006
Witness (2) Date

Address: 2704 Meadowlark
Dunlap IL 61525

ASSIGNMENT

WHEREAS, Joseph R. Derk, residing at Morton, Illinois, hereinafter referred to as ASSIGNOR has invented together with Dwight V. Stone, Cristian V. Striletschi, Aaron J. Weber, Scott A. Snoble, Thomas L. Atwell and Thomas A. Brosowske a new and useful

COMPLIANT GEAR ASSEMBLY AND WORK MACHINE USING SAME

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 5/12/06; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.
2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.
3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.
4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

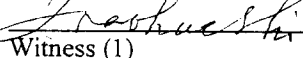



JOSEPH R. DERK

5-12-06

Date

IN WITNESS OF the execution hereof by JOSEPH R. DERK we have hereunto set our hands.


Witness (1) Date 5/12/2006
Address: 1111 N. North Trail Dr.
Dunkle, IL 61525


Witness (2) Date May 12th, 2006
Address: 5309 Georgetown Rd.
Washington, IL 61571

ASSIGNMENT

WHEREAS, Cristian V. Striletschi, residing at Dunlap, Illinois, hereinafter referred to as ASSIGNOR has invented together with Aaron J. Weber, Scott A. Snoble, Thomas L. Atwell, Thomas A. Brosowske, Joseph R. Derk and Dwight V. Stone a new and useful

COMPLIANT GEAR ASSEMBLY AND WORK MACHINE USING SAME

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 5-26-06; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Cristian V. Striletschi

CRISTIAN V. STRILETSCHI

05/26/2006

Date

IN WITNESS OF the execution hereof by CRISTIAN V. STRILETSCHI we have hereunto set our hands.

Prasad Pinna 05/26/06

Witness (1)

Date

Address: 10005 N. Higgins Rd

Dunlap

1461525

Michael C Wilson

Witness (2)

Date

Address: 723 E 5th St

Galesburg IL

61401

ASSIGNMENT

WHEREAS, Dwight V. Stone, residing at East Peoria, Illinois, hereinafter referred to as ASSIGNOR has invented together with Cristian V. Striletschi, Aaron J. Weber, Scott A. Snoble, Thomas L. Atwell, Thomas A. Brosowske and Joseph R. Derk a new and useful

COMPLIANT GEAR ASSEMBLY AND WORK MACHINE USING SAME

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 6/20/2006; and

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

Dwight V. Stone
DWIGHT V. STONE

6/20/2006
Date

IN WITNESS OF the execution hereof by DWIGHT V. STONE we have hereunto set our hands.

Tim B. [Signature] 6/20/06
Witness (1) Date

Address: _____

1017 REDBUD LN
EUREKA, IL 61530

Ant [Signature] 6/20/06
Witness (2) Date

Address: _____

1501 West Coneflower (Apt 2311)
Peoria, IL 61615

05-818

PATENT

RECORDED: 07/27/2006

REEL: 018136 FRAME: 0655