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U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



EET Attorney Docket No.
16681 B (5223 BN)

103284571

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies) Wen Hung CHIANG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) June 22, 2006

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Hsin Ying Enterprise Co., Ltd.

Internal Address: No. 33, Lane 320, Sec. 1, Sathien Road, Wantien Tsuen, Dadu Hsiang, Taichung Hsien 43247 Taiwan

Street Address: No. 33, Lane 320, Sec. 1, Sathien Road, Wantien Tsuen, Dadu Hsiang, Taichung Hsien 43247 Taiwan

City: Taichung Hsien

State: TW

Country: Taiwan Zip: 43247

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

☐ This document is being filed together with a new application.

B. Patent No.(s)

07/31/2006 EHAILE1 00000007 11494010

04 FC:8021

40.00 OP

Additional numbers attached? ☐ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Charles E. Baxley

Internal Address: 90 John Street, Suite 309

Street Address: 90 John Street, Suite 309

City: New York

State: New York Zip: 10038

Phone Number: 212-791-7200

Fax Number: 212-791-7276

Email Address: ceb@hartbaxley.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number _____

Authorized User Name _____

9. Signature: _____

Signature

July 27, 2006

Date

Charles E. Baxley

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT
REEL: 018137 FRAME: 0031

ASSIGNMENT

(1) Wen Hung CHIANG

(2) _____

(3) _____

(4) _____

(5) _____

(1-5) Insert Name(s) of
Inventor(s).

In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(6) Insert name of Assignee

(6) Hsin Ying Enterprise Co., Ltd.

(7) Insert address of Assignee

(7) No. 33, Lane 320, Sec. 1, Satien Road, Wantien Tsuen,
Dadu Hsiang, Taichung Hsien 43247 Taiwan

(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

(8) Insert Identification of
Invention, such as Title,
Case Number or Foreign
Application Number(8) TOOL RETAINING OR CONNECTING DEVICE

Foreign Application or Case No.

for which the undersigned has (have) executed an application for patent in the United States of America

(9) Insert Date of Signing of
Application(9) on June 22, 2006(10) Alternative identification
for filed applications(10) U.S. application Serial Number _____
filed _____

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient or essential to its full protection and title in and to the invention hereby transferred.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division or re-issue thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

4) The undersigned agree(s) to communicate to the Assignee or representatives thereof any facts known to me (us) respecting the invention and improvements thereof, and will, upon request, but without expense to me (us), testify in any legal proceedings regarding the invention.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) the firm of H.B.D.H. the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

7) This Assignment shall be binding upon my (our) heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors and/or assigns of the Assignee.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

(1) Date June 22, 2006 Name of Inventor Wen Hung Chang

(2) Date _____ Name of Inventor _____

(3) Date _____ Name of Inventor _____

(4) Date _____ Name of Inventor _____

(5) Date _____ Name of Inventor _____

Date _____ Witness _____

Date _____ Witness _____

PATENT

REEL: 018137 FRAME: 0032

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: Hsin Ying Enterprise Co., Ltd. Attorney's 16681 B
 Serial or Patent No.: Not Yet Assigned Docket No.: (5223 BN)
 Filed or Issued: Simultaneously Herewith
 For: TOOL RETAINING OR CONNECTING DEVICE

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
 (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☒ the owner of the small business concern identified below:
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Hsin Ying Enterprise Co., Ltd.
 ADDRESS OF CONCERN No. 33, Lane 320, Sec. 1, Satien Road, Wantien Tsuen, Dadu Hsiang, Taichung Hsien 43247 Taiwan

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under §41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year; and concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above entitled invention described in

- ☒ the specification filed herewith
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed in the ☐ Appendix hereto, and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Wen Hung CHIANG
 TITLE OF PERSON OTHER THAN OWNER president
 ADDRESS OF PERSON SIGNING No. 33, Lane 320, Sec. 1, Satien Road, Wantien Tsuen, Dadu Hsiang, Taichung Hsien 43247 Taiwan

SIGNATURE Wen Hung Chiang DATE June 22, 2006

PATENT

RECORDED: 07/27/2006

REEL: 018137 FRAME: 0033