

08-02-2006



RECORDATION FORM (PATENTS)

103285144

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Douglas Alan Miller
Scott Allan Miller III

2. Name and address of receiving party(ies)

Name: Otologics, LLC

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) February 26, 2002

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

Street Address: 5445 Airport Boulevard, Suite 106

City: Boulder

State: Colorado

Country: Unites States Zip: 80301

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/404,506

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Thomas R. Marsh

Internal Address: _____

MARSH FISCHMANN & BREYFOGLE LLP

Street Address: 3151 South Vaughn Way, Suite 411

City: Aurora

State: Colorado Zip: 80014

Phone Number: (303) 338-0997

Fax Number: (303) 338-1514

Email Address: tmarsh@mfbllaw.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number _____

Authorized User Name _____

9. Signature: _____

Signature

July 24, 2006

Date

Thomas R. Marsh
Name of Person Signing

Total number of pages including cover: 5

ASSIGNMENT

WHEREAS, we, Douglas Alan Miller residing at 372 Driftwood Circle, Lafayette, Colorado 80026, and Scott Allan Miller III, residing at 11132 Circle Drive, Golden, Colorado 80403, have invented a certain new and useful invention entitled, "METHOD AND SYSTEM FOR EXTERNAL ASSESSMENT OF HEARING AIDS THAT INCLUDE IMPLANTED ACTUATORS" for which an application for Letters Patent of the United States has been prepared for filing, said application being identified as Attorney File No. 45568-00020 and executed on even date herewith;

NOW THEREFORE, be it known that we, the said inventors, for and in consideration of certain good and valuable consideration, the sufficiency and receipt of which is hereby acknowledged, at the request of the assignee do sell, assign and transfer unto said assignee, Otologics, LLC, 5445 Airport Boulevard, Suite 106, Boulder, Colorado, 80301, its successors, legal representatives and assigns, our interest in aforesaid application for the territory of the of the United States of America and all continuation, divisional, continuation-in-part and reissue applications, all patent applications in foreign countries, all applications pursuant to the Patent Cooperation Treaty and all applications for extension filed or to be filed for the invention, and all Letters Patent, Invention Registrations, Utility Models, Extensions or Reissues and other patent rights, obtained for the invention in the United States or any other country; we also assign any right, title or interest in and to the invention which has not already been transferred to the assignee; we warrant that we have made no assignment of the invention, application or patent therefor to a party other than Otologics LLC and we are under no obligation to make any assignment of the invention, application, or patent therefor to any other party; and we further agree to cooperate with the assignee hereunder in the obtaining and sustaining of any and all such Letters Patent and in confirming assignee's exclusive ownership of the invention, but at the expense of said assignee.

The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to Otologics, LLC, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date: 26 Feb 02

By: 
Douglas Alan Miller

Date: _____

By: _____
Scott Allan Miller III

STATE OF)
) ss.
COUNTY OF)

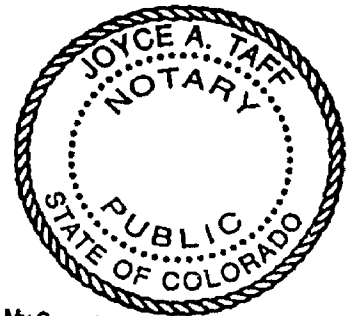
Before me, a Notary Public in and for said County and State, personally appeared **Douglas Alan Miller**, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that they executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 26th day of February, 2002.

Notary Public

Joyce A. Taff

My Commission Expires: 7-18-2004



My Commission Expires 07/18/2004

STATE OF)
) ss.
COUNTY OF)

Before me, a Notary Public in and for said County and State, personally appeared **Scott Allan Miller III**, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that they executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this ____ day of _____, 20____.

Notary Public

My Commission Expires: _____

ASSIGNMENT

WHEREAS, we, Douglas Alan Miller residing at 372 Driftwood Circle, Lafayette, Colorado 80026, and Scott Allan Miller III, residing at 11132 Circle Drive, Golden, Colorado 80403, have invented a certain new and useful invention entitled, "METHOD AND SYSTEM FOR EXTERNAL ASSESSMENT OF HEARING AIDS THAT INCLUDE IMPLANTED ACTUATORS" for which an application for Letters Patent of the United States has been prepared for filing, said application being identified as Attorney File No. 45568-00020 and executed on even date herewith;

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The Commissioner of Patents is hereby authorized and requested to issue the Letters Patent solely in accordance with the terms of this Assignment, to Otologics, LLC, its successors, legal representatives and assigns, as the assignee of the entire right, title and interest therein.

IN WITNESS WHEREOF, the parties hereto have executed this Assignment as of the date indicated hereunder.

Date: _____

By: _____
Douglas Alan Miller

Date: Feb 26, 2002

By: 
Scott Allan Miller III

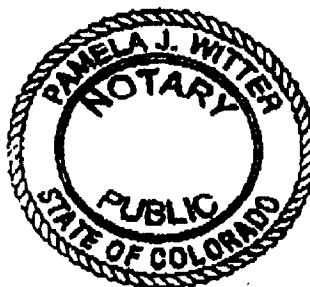
STATE OF)
) ss.
COUNTY OF)

Before me, a Notary Public in and for said County and State, personally appeared **Douglas Alan Miller**, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that they executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this ____ day of _____, 20____.

Notary Public _____

My Commission Expires: _____



STATE OF Colorado)
) ss.
COUNTY OF Boulder)

Before me, a Notary Public in and for said County and State, personally appeared **Scott Allan Miller III**, known to me to be the person whose name is subscribed to the foregoing instrument, and acknowledged to me that they executed the same for the purposes and considerations therein expressed.

Given under my hand and seal of office this 16th day of February, 2002.

Notary Public _____

My Commission Expires: October 16, 2004

PJW

Pamela J. Witter
1075 F Delta Dr.
Lafayette, CO 80026