0	Form PTO-1595 (Rev. 07/05) OMB No. 0651-0027 (exp. 6/30/2008)	·2006	U.S. DEPARTMENT OF COMMERCE  United States Patent and Trademark Office
22.			ET
~ ]	To the Director of the U.S. Patent and Trademark Ollice.	i405	documents or the new address(es) below.
h, A	1. Name of conveying party(ies)	2. Name and ad	dress of receiving party(ies)
	Branda, Neil R.; Peters, Andrea; and Wigglesworth, Anthony J.	Name: Simon Fras	
	,	Internal Address	S:
	Additional name(s) of conveying party(ies) attached? Yes No  3. Nature of conveyance/Execution Date(s):	Street Address: University/Industry Liaison Office	
}	Execution Date(s) February 15, 2006 and December 2, 2005	]	
	Assignment Merger	Room 2100, Strand	j Hall
	Security Agreement Change of Name	City: Burnaby State: British Columbia	
	Joint Research Agreement Government Interest Assignment		
	Executive Order 9424, Confirmatory License	Country: Canada	Zip:\(\frac{150}{2}\)
		) & address(es) attached? Yes Vo	
	4. Application or patent number(s):   This document is being filed together with a new application.		
	A. Patent Application No.(s) 10/523,965	B. Patent No.	.(s)
	10/323,303		
	 		_
	Additional numbers attached?		
	5. Name and address to whom correspondence concerning document should be mailed:		r of applications and patents
	•	involved: 1	
	Name: Charles D. McClung		
	Name: Charles D. McClung  Internal Address:	7. Total fee (37	CFR 1.21(h) & 3.41) \$_40.00
		7. Total fee (37 c	
		7. Total fee (37 c	CFR 1.21(h) & 3.41) \$ 40.00 to be charged by credit card
03/02/2006	Internal Address:  Street Address: 1600 ODS Tower, 601 S.W. Second Avenue	7. Total fee (37 case) Authorized tase Authorized tase Enclosed	CFR 1.21(h) & 3.41) \$ 40.00 to be charged by credit card
03/02/2006 04 FC:8021	Internal Address:  Street Address: 1600 ODS Tower, 601 S.W. Second Avenue LLANDGRA 00000017 19523965	7. Total fee (37 case) Authorized tase Authorized tase Enclosed	CFR 1.21(h) & 3.41) \$ 40.00  to be charged by credit card  to be charged to deposit account  red (government interest not affecting title)
	Internal Address:  Street Address: 1600 ODS Tower, 601 S.W. Second Avenue LLANDGRA 00000017 10523965	7. Total fee (37 ( Authorized t Authorized t Enclosed None require	CFR 1.21(h) & 3.41) \$\frac{40.00}{40.00}  to be charged by credit card  to be charged to deposit account  red (government interest not affecting title)  ormation  d Last 4 Numbers
	Internal Address:  Street Address: 1600 ODS Tower, 601 S.W. Second Avenue LLANDGRA 00000017 10523965  City: Portland 40.00 DR	7. Total fee (37 ( Authorized to Authorized	CFR 1.21(h) & 3.41) \$ 40.00  to be charged by credit card  to be charged to deposit account  red (government interest not affecting title)  ormation  d Last 4 Numbers  Expiration Date
	Internal Address:  Street Address: 1600 ODS Tower, 601 S.W. Second Avenue LLANDGRA 00000017 10523965  City: Portland  State: Oregon  Zip:97204-3157	7. Total fee (37 of Authorized to Authorized	CFR 1.21(h) & 3.41) \$ 40.00  to be charged by credit card  to be charged to deposit account  red (government interest not affecting title)  ormation  d Last 4 Numbers  Expiration Date  ccount Number 03-1550
	Internal Address:  Street Address: 1600 ODS Tower, 601 S.W. Second Avenue LLANDGRA 00000017 10523965  City: Portland  State: Oregon  Phone Number: (503) 227-5631	7. Total fee (37 of Authorized to Authorized	CFR 1.21(h) & 3.41) \$ 40.00  to be charged by credit card  to be charged to deposit account  red (government interest not affecting title)  ormation  d Last 4 Numbers  Expiration Date
04 FC:8021	Internal Address:	7. Total fee (37 of Authorized to Authorized	CFR 1.21(h) & 3.41) \$ 40.00  to be charged by credit card  to be charged to deposit account  red (government interest not affecting title)  ormation  d Last 4 Numbers  Expiration Date  ccount Number 03-1550  d User Name Chernoff, Vilhauer, et al
04 FC:8021	Internal Address:	7. Total fee (37 of Authorized to Authorized	CFR 1.21(h) & 3.41) \$ 40.00  to be charged by credit card  to be charged to deposit account  red (government interest not affecting title)  ormation  d Last 4 Numbers  Expiration Date  ccount Number 03-1550

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

PATENT REEL: 018143 FRAME: 0016

## **ASSIGNMENT**

WHEREAS, we, Neil R, Branda, whose address is 1045

Prospect Avenue, North Vancouver, B.C. V7R 2M6 Canada; Andrea
Peters, whose address is 1223 Federal Avenue, Apt. 303, Los Cuffon Rark, 154

Angeles, CA 90025; and Anthony J. Wigglesworth, whose address 12045, 154

is #104 - 1010 Salsbury Drive, Vancouver, British Columbia V5L

4A7, Canada, are the inventors of an invention entitled

PHOTOCHROMIC AND ELECTROCHROMIC COMPOUNDS AND METHODS OF SYNTHESIZING AND USING SAME

for which on February 7, 2005, we executed an application for United States letters patent, Serial No. 10/523,965;

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other valuable consideration paid to us by Simon Fraser University, whose address is University/Industry Liaison Office, Room 2100, Strand Hall, Burnaby, British Columbia V5A 1S6 Canada, the receipt whereof is acknowledged, we do hereby assign unto the same Simon Fraser University the entire interest in said invention for the United States and all foreign countries and any letters patent to be issued therefor in the United States or any foreign country and any divisions, continuations, continuations—in—part, improvements, reissues or extensions that may be made or granted thereon.

AND the Commissioner of Patents and Trademarks is requested to issue such letters patent in accordance herewith. And we agree to execute further instruments proper for effectuating the premises.

EXECUTED at Branda

EXECUTED at Niskoyum, NY this 2<sup>nt</sup> day of Daember, 2005.

EXECUTED at Branda

EXECUTED at Branda

Andrea Peters

EXECUTED at Branda

Anthony J. Wigglesworth

PATENT REEL: 018143 FRAME: 0017

Penticion BC

VZA 674

On this day of, 2005, before me, personally appeared Neil R. Branda, known to me to be the individual who executed the foregoing instrument, and who acknowledged to me that he executed the same of his own free will and for the purpose therein set forth.
A Commission of Paking Affidavits FOR BRITISH COLUMBIA SIMON FRASER UNIVERSITY BARB University Drive Burnaby, BC V5A 1S6  On this 2 day of December, 2005, before me, personally appeared Andrea Peters, known to me to be the individual who executed the foregoing instrument, and who acknowledged to me that he executed the same of his own free will and for the purpose therein set forth.
A Commissioner Tot Oaths  A Commissioner Tot Oaths  Notary Public - State of New York  Qualified in Saratoga County No. 02PA6088246  My Commission Expires March 3. 2007  NOTARIAL CERTIFICATE  On this day of February , 2008, 900
before me, personally appeared Anthony J. Wigglesworth, known to me to be the individual who executed the foregoing instrument, and who acknowledged to me that he executed the same of his own free will and for the purpose therein set forth.  A Commissioner for Oaths  COMMISSIONER FOR TAKING AFFIDAVITE FOR BRITISH COLUMBIA
STATEMENT OF ACCEPTANCE MONFRASER UNIVERSITY  Base University Drive  Burnaby, BC V5A 1S6  The foregoing Assignment is hereby accepted by the Assignee:  ROBERTA. SZCZOTKO, B. Comm., C.G.A., C.P.P., C.P.M.  Simon Fraser University  (C/S)  By:  E. Jean Trask, Associate Director  TitleUniversity / Industry Liaison Office  Simon Fraser University

PATENT REEL: 018143 FRAME: 0018

Date: <u>FEB 1 5 2006</u>

**RECORDED: 02/27/2006**