

08-07-2006

U.S. Department of Commerce
Patent and Trademark Office
Atty. Docket No. 08350.6367-01000To the Director of the U.S. Patent and
Please record the attached original

103287071

Mail Stop Assignment Recordation Services

1. Name of conveying parties:
J. Joseph GUALANDRI

2. Name and address of receiving party(ies):

Name: Caterpillar Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

Internal Address: Intellectual Property Department

3. Nature of conveyance:

Street Address: 100 N.E. Adams Street

☒ Assignment☐ Merger

City: Peoria

☐ Security Agreement☐ Change of Name

State: IL

Zip Code: 61629-6490

☐ Joint Research
Agreement☐ Government Interest
Assignment

Additional name(s) & Address(es) attached?

☐ Executive Order 9494,
Confirmatory License☐ Other:☐ Yes☒ No

Execution Date: 1) July 31, 2006

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application: July 31, 2006

A. Patent Application Number(s):

B. Patent Number(s):

Additional numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved:
1

Name: Denise L. Poy

7. Total fee (37 CFR 1.21(h) and 3.41): \$40

Internal Address: FINNEGAN, HENDERSON, FARABOW, GARRETT
& DUNNER, L.L.P.☒ Enclosed (Please charge deficiency or credit
overpayment to deposit account 06-0916)

Street Address: 901 New York Avenue, N.W.

☒ Authorized to be charged to deposit account

City: Washington, D.C.

State: Zip: 20001-4413

8. Deposit Account No.: 06-0916

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Signed: Denise L. Poy

Denise L. Poy, Reg. No. 53,480

7/31/06
Date

Total number of pages including cover sheet, attachments and documents: 3

5/2006

08/04/2006 MJAND1 00000070 060916 11495545

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PATENT
REEL: 018145 FRAME: 0353

SOLE/JOINT INVENTION

(Worldwide Rights)

Attorney Docket No. 08350.6367-01000

Client Ref. No. 06-367.01

ASSIGNMENT

WHEREAS I, the below named inventor, [hereinafter referred to as Assignor], have made an invention entitled:

METHOD AND SYSTEM FOR OPERATING MACHINES

for which I executed an application for United States Letters Patent concurrently herewith or filed an application for United States Letters Patent on 20 (Serial No.); and

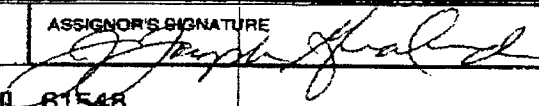
WHEREAS, Caterpillar Inc., a corporation of Delaware, whose post office address is Intellectual Property Department, 100 N.E. Adams Street, Peoria, IL 61629-6490 (hereinafter referred to as Assignee), is desirous of securing the entire right, title, and interest in and to this invention in all countries throughout the world, and in and to the application for United States Letters Patent on this invention and the Letters Patent to be issued upon this application;


NOW THEREFORE, be it known that for and in consideration of the sum of One Dollar (\$1.00) in hand paid and other good and valuable consideration the receipt of which from Assignee is hereby acknowledged, I, as Assignor, have sold, assigned, transferred, and set over, and do hereby sell, assign, transfer, and set over unto the Assignee, its lawful successors and assigns, my entire right, title, and interest in and to this invention, provisional application Serial No. , filed (if any), and this application, and all divisions, and continuations thereof, and all Letters Patent of the United States which may be granted thereon, and all reissues thereof, and all rights to claim priority on the basis of the above provisional application (if any), as well as all rights to claim priority on the basis of this application, and all applications for Letters Patent which may hereafter be filed for this invention in any foreign country and all Letters Patent which may be granted on this invention in any foreign country, and all extensions, renewals, and reissues thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any foreign country whose duty it is to issue patents on applications as described above, to issue all Letters Patent for this invention to Assignee, its successors and assigns, in accordance with the terms of this Assignment;

AND, I HEREBY covenant that I have the full right to convey the interest assigned by this Assignment, and I have not executed and will not execute any agreement in conflict with this Assignment;

AND, I HEREBY further covenant and agree that I will, without further consideration, communicate with Assignee, its successors and assigns, any facts known to us respecting this invention, and testify in any legal proceeding, sign all lawful papers when called upon to do so, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors or assigns, execute all divisional, continuation, and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors and assigns, to obtain and enforce proper patent protection for this invention in the United States and any foreign country, it being understood that any expense incident to the execution of such papers shall be borne by the Assignee, its successors and assigns.

IN TESTIMONY WHEREOF, I have hereunto set my hands.

1. FULL NAME OF SOLE OR FIRST ASSIGNOR J. Joseph GUALANDRI	ASSIGNOR'S SIGNATURE 	DATE 7-31-06
ADDRESS 1392 Cedar Creek Drive, Metamora, IL 61548		CITIZENSHIP U.S.A.
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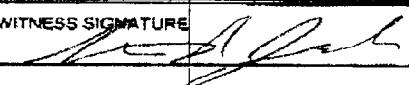
1. WITNESS NAME Steven L. Orwig	WITNESS SIGNATURE 	DATE 7-31-06
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Wyoming, IL 61491		

SOLE/JOINT INVENTION

(Worldwide Rights)

Attorney Docket No. 08350.6367-01000

Client Ref. No. 06-367-01

2. WITNESS NAME Steven R. Janda	WITNESS SIGNATURE 	DATE 7/31/06
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Peoria, IL 61614		