

Form PTO-1595 (Rev. 07/05)
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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Kenneth William TOBIN JR. (04/28/2006)
Thomas P. KARNOWSKI (03/03/2006)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) see above

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: UT-BATTELLE, LLC

Internal Address: P.O. Box 2008

Street Address: One Bethel Valley Road

City: Oak Ridge

State: Tennessee

Country: USA Zip: 37831-6258

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

11/416,834

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: AKERMAN SENTERFITT

Internal Address: Neil R. Jetter

Attorney Docket No. 6321-307

Street Address: P.O. Box 3188

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State: Florida Zip: 33402-3188

Phone Number: 561-653-5000

Fax Number: 561-659-6313

Email Address: ip@akerman.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
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Authorized User Name Akerman Senterfitt

9. Signature:

Signature

Date

Neil R. Jetter, Reg. No. 46,893

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

UTB# 1596
Docket No. 6321-307

ASSIGNMENT

WHEREAS, we, the below-named inventors whose residences and post offices are as stated below, have invented certain new and useful improvements in **METHOD AND SYSTEM FOR THE DIAGNOSIS OF DISEASE USING RETINAL IMAGE CONTENT AND AN ARCHIVE OF DIAGNOSED HUMAN PATIENT DATA**, for which an application for United States Letters Patent was

- ☐ signed by me as dated below.
- ☒ filed May 3, 2006, Serial No. 11/416,834.

(hereinafter referred to as "Subject Invention") for which an application for United States Letters Patent was executed by me on even date herewith, and

WHEREAS, UT-BATTELLE, LLC, a limited liability company of the State of Tennessee, having an office at P.O. Box 2008, One Bethel Valley Road, Oak Ridge, Tennessee 37831-6258 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the right, title, and interest of the undersigned in the Subject Invention and to any Letters Patent that may be granted therefore in the United States of America and in any and all foreign countries.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we hereby assign to ASSIGNEE our entire right, title, and interest in the Subject Invention, to all rights of priority thereto pursuant to the International Convention for the Protection of Industrial Property, and in any Letters Patent on the Subject Invention that may be granted in the United States of America and in any and all foreign countries, including any and all Letters Patent that are divisions, reissues, continuations, substitutions or extensions of any Letters Patent or applications therefore on the Subject Invention.

We hereby authorize and request the Commissioner of the United States Patent and Trademark Office and the officials of any and all foreign countries to issue any and all of said Letters Patent, when granted, to the ASSIGNEE.

Further, we hereby agree to communicate to said ASSIGNEE or its representatives any facts known to us respecting said Subject Invention, to testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, substitution, renewal and reissue applications, to execute all necessary assignment papers to cause any and all of said Letters Patent to be issued to said ASSIGNEE, and to make all rightful oaths and generally do everything possible to aid said ASSIGNEE and its representatives to obtain and enforce proper protection for said Subject Invention in the United States of America and in any and all foreign countries.

We further agree that the terms, covenants and conditions of this assignment shall inure to the benefit of ASSIGNEE, its successors, assigns, and legal representatives, and shall be binding on us, our heirs, legal representatives, and assigns.

UTB DISCLOSURE ID # 1596
Docket No 6321-307

Full name of inventor: KENNETH WILLIAM TOBIN

Inventor's Signature:  _____Date: April 28, 2006

Address: 869 Dickey Valley Road, Harriman, TN 37831

State of Tennessee)

County of Roane)

On this _____ day of _____, 2006, before me, a Notary Public in and for the County and State aforesaid, appeared Kenneth William TOBIN, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

Notary Public

SEAL

My Commission Expires:

MAY-03-2006 14:26

OFFICE OF GENERAL COUNSEL

865 241 4456 P.02

UTB DISCLOSURE ID # 1596
Docket No. 6321-307

Full name of inventor: THOMAS P. KARNOWSKI

Inventor's Signature: _____

THOMAS P. KARNOWSKI

Date: MAY 3 2006

Address: 8617 Nubbin Ridge Road, Knoxville, TN 37923

State of Tennessee)

County of Roane)

On this 3rd day of May, 2006, before me, a Notary Public in and for the County and State aforesaid, appeared Thomas P. KARNOWSKI, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the uses and purposes therein expressed.

WITNESS my hand and seal the day and year last above given.

Claire M. Chitwood
Notary Public

SEAL

My Commission Expires: 5/20/2008

