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U.S. Department of Commerce  
Patent and Trademark Office

OUR FILE CCF-7610NP

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies)/Execution Dates:

**Roy K. Greenberg**Execution Date(s): **August 3, 2006**Additional name(s) of conveying party(ies) attached ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other: \_\_\_\_\_

## 2. Name and address of receiving party(ies):

Name: **The Cleveland Clinic Foundation**

Internal Address: \_\_\_\_\_

Street Address: **9500 Euclid Avenue**City: **Cleveland** State: **OH** Zip: **44195**Additional name(s) and address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is \_\_\_\_\_

A Patent Application No.(s): **11/436,521**,  
filed **May 18, 2006**

B. Patent No.(s): \_\_\_\_\_

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address to whom correspondence concerning document should be mailed:

Name: **Richard S. Wesorick**Internal Address: **Tarolli, Sundheim, Covell,  
& Tummino L.L.P.**Street Address: **1300 East Ninth Street, Suite 1700**City: **Cleveland** State: **OH** Zip: **44114-1400**Phone Number: **(216) 621-2234**Fax Number: **(216) 621-4072**Email Address: **RWesorick@Tarolli.com**6. Total number of applications and patents involved: **1**7. Total fee (37 1.21(h) & 3.41).....\$**40.00**

- ☒ Enclosed is a check for the amount indicated above.  
☐ Authorized to be charged to deposit account.  
☐ Authorized to be charged by credit card  
☐ None required (government interest not affecting title)

## 8. Payment Information

a. Credit Card: Last 4 Numbers \_\_\_\_\_

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b. Deposit Account No.: **20-0090****(charge for insufficient fees only)**

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## 9. Signature:

**Richard S. Wesorick**

Name of Person Signing

Reg. No. 40,871

Signature

Date

**8/8/06**Total number of pages including cover sheet, attachments, and document: **2**

Mail Documents to be recorded with required cover sheet information to:  
 Commissioner of Patents & Trademarks, Box Assignments  
 Washington D.C. 20231


**PATENT**  
**REEL: 018180 FRAME: 0545**

## ASSIGNMENT

WHEREAS, I, Roy K. Greenberg, of Bratenahl, County of Cuyahoga, and State of Ohio, have made new and useful improvements in **APPARATUS AND METHODS FOR REPAIRING THE FUNCTION OF A DISEASED VALVE AND METHOD FOR MAKING SAME** (generally referred to as CCF Project No. ) for which application for Letters Patent of the United States has been filed on May 18, 2006 under Serial No. 11/436,521;

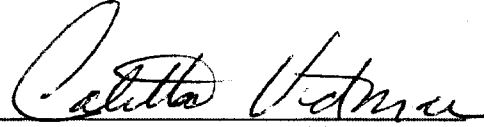
WHEREAS, The Cleveland Clinic Foundation (hereinafter "CCF"), a tax-exempt organization duly organized and existing under the laws of the State of Ohio, having a place of business at Cleveland, County of Cuyahoga, State of Ohio, is desirous of acquiring the entire right, title and interest in and to the said improvements and all patents therefor to be obtained in the United States of America and countries foreign to the United States;

NOW THEREFORE, BE IT KNOWN that pursuant to CCF's Invention and Discovery Policy as specified in the CCF "Yellow Book" as of this date and for valuable considerations to me paid, the receipt whereof is hereby acknowledged, I, the said Roy K. Greenberg, do hereby assign, sell and set over unto the said CCF its successors or assigns, the entire right, title and interest in and to the said improvements and any and all inventions pertaining thereto, said application Serial No. 11/436,521, for the United States patent, all patents granted therefor in the United States of America and elsewhere, and the right to apply for patents therefor in all countries foreign to the United States and to claim for such applications the priority of United States applications, and I hereby request the Commissioner of Patents of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents, to issue such patents to the said CCF its successors or assigns; and I further agree to execute such other applications and other instruments and do all other acts as may be deemed necessary by the said CCF its successors or assigns in order to fully secure, protect and preserve its rights to the said improvements and to obtain patents therefor in the United States of America and all countries foreign to the United States, all without further consideration but at the expense of the said CCF its successors or assigns.

Signed at  
County of \_\_\_\_\_ and State of \_\_\_\_\_  
This 3<sup>rd</sup> day of August, 2006  
  
\_\_\_\_\_  
Roy K. Greenberg

STATE OF OHIO )  
COUNTY OF CUYAHOGA )

On this 3<sup>rd</sup> day of August, 2006  
Personally appeared before me Roy K. Greenberg to me known to be the person named in and who executed the above instrument, and acknowledged to me that he executed the same for the uses and the purposes therein mentioned.

  
\_\_\_\_\_  
Notary Public

COLETTA VIDMAR, Notary Public  
State of Ohio  
My Commission Expires January 26, 2009