

Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

New Mexico State University Technology Transfer Corporation

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) March 12, 2004

- ☐ Assignment ☐ Merger  
☐ Security Agreement ☒ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: Arrowhead Center, Inc.

Internal Address: \_\_\_\_\_

Street Address: MSC 3IPO - Box 30001

City: Las Cruces

State: New Mexico

Country: US Zip: 88003-8001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

6,525,095

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Deborah A. Peacock

Internal Address: PEACOCK MYERS, P.C.

Street Address: P.O. Box 26927

City: Albuquerque

State: New Mexico Zip: 87125-6927

Phone Number: (505) 998-1501

Fax Number: (505) 243-2542

Email Address: info@PeacockLaw.com

### 6. Total number of applications and patents involved: one (1)

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 13-4213

Authorized User Name Deborah A. Peacock

### 9. Signature:



Signature

August 25, 2006

Date

Deborah A. Peacock, Reg. No. 31,649

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 134213 6525095

Practitioner's Docket No. 37000-UT-0009**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**☐ In re application of:

Application No.: /

Group No.:

Filed:

Examiner:

For:

Issued: February 25, 2003

☒ Patent No.: 6,525,095**CHANGE OF NAME OF ASSIGNEE**

*\*NOTE: Insert name(s) of inventor(s) and title also for patent. Where change is with respect to a maintenance fee payment, also insert application number and filing date and add Mail Stop M Correspondence.*

*NOTE (HAND CARRIED): "Assignments may be hand carried to the Office of Public Records Customer Service Window on the 2nd floor of the South Tower building, 2900 Crystal Drive, Arlington VA 22202." See "Updated Lists of Exceptions to the Centralized Delivery and Facsimile Transmission Policy for Patent Related Correspondence," September 19, 2005; 1299 OG 98, October 18, 2005, Exceptions for Certain Hand Carried Correspondence, item 3.*

*NOTE (FACSIMILE): "Assignments or other documents affecting title: 571 273 0140 facsimile number  
 "Note: Customers may submit documents directly into the automated Patent and Trademark Assignment System and receive the resulting recordation notice at their facsimile machine. (Assignment documents submitted through the Electronic Patent Assignment System also permits the recordation notice to be faxed to customers.) Credit card payments to record assignment documents are now accepted, and use of the Credit Card form (PTO 2038) is required for the credit card information to be separated from the assignment records. Only documents with an identified patent application or patent number, a single cover sheet to record a single type of transaction, and the fee paid by an authorization to charge a USPTO deposit account or credit card may be submitted via facsimile. Please refer to the USPTO Web Site, at <http://www.uspto.gov/web/offices/ac/ido/opri/ptasfax.pdf> for more information regarding the submission of assignment documents via facsimile.*

*"Assignment Branch telephone number for assistance: 571 272 3350"*

*See "Updated Lists of Exceptions to the Centralized Delivery and Facsimile Transmission Policy for Patent Related Correspondence," September 19, 2005; 1299 OG 98, October 18, 2005, Exceptions for Certain Facsimile Transmitted Correspondence, item 5.*

**1. Particulars of assignment**

An assignment has been recorded in the Patent and Trademark Office for this invention on January 2, 2002

Reel 012414Frame 0064

*NOTE: When requesting correction or updating of a previously recorded assignment document, providing the reel and frame number of that document will greatly expedite the recording process. Helpful Hints published September 30, 1986, 1070 O.G. 47 to 59 at 53.*

*(if available, the PTO finds it useful if a copy of the assignment is also sent)*

☐ A copy of the recorded assignment is attached.

**2. Old name of assignee**

The old name of the assignee shown on the above assignment is

New Mexico State University Technology Transfer Corporation

*(type or print old name of Assignee)*

*(Change of Name of Assignee [18-11]—page 1 of 2)*

**PATENT****REEL: 018184 FRAME: 0321**

**3. New name of assignee**

The new name of the assignee is

Arrowhead Center, Inc.

(type or print new name of Assignee)

**4. Proof of change of name**

Proof of change of name is established by the attached

☐ certificate of the Secretary of State of \_\_\_\_\_  
showing the name change. (type name of state)

☒ certificate of name change from the following authority:  
New Mexico Office of the State Public Regulation Commission  
(type or print name of authority)

(check, if applicable)

☐ Because the certificate is not in the English language, it is accompanied  
by a verified translation signed by the translator.

**5. Change of patent maintenance fee address**

(complete, if applicable)

☐ A change of address to which correspondence is to be sent regarding patent  
maintenance fees is being sent separately.

**6. Payment of fee**

NOTE: See 37 C.F.R. § 1.21(f).

Please refer to the "ASSIGNMENT (DOCUMENT) COVER SHEET," to which this paper  
is attached.



SIGNATURE OF PRACTITIONER

Reg. No. 31,649

Deborah A. Peacock

(type or print name of practitioner)

Tel. No. (505) 998-1501

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P.O. Box 26927

Customer No.: 05179

P.O. Address

Albuquerque, New Mexico 87125-6927

(Change of Name of Assignee [16-11]—page 2 of 2)

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BRUCE KITE

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OFFICE OF THE  
PUBLIC REGULATION COMMISSION

## CERTIFICATE OF AMENDMENT

OF

ARROWHEAD CENTER, INC.

3277027

The Public Regulation Commission certifies that duplicate originals of the Articles of Amendment attached hereto, duly signed and verified pursuant to the provisions of the  
NONPROFIT CORPORATION ACT  
(53-8-1 to 53-8-99 NMSA 1978)  
have been received by it and are found to conform to law.

Accordingly, by virtue of the authority vested in it by law, the Public Regulation Commission issues this Certificate of Amendment and attaches hereto a duplicate original of the Articles of Amendment.

Dated: MARCH 12, 2004

In testimony whereof, the Public Regulation of the State of New Mexico has caused this certificate to be signed by its Chairman and the seal of said Commission to be affixed at the City of Santa Fe.

Chairman

PATENT

REEL: 018184 FRAME: 0323

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**Amended Article I:**

The name of the corporation shall be Arrowhead Center, Inc.

**Amended Article VIII:**

The business of the corporation shall be managed by a Board of Directors consisting of three or more voting directors as may be provided in the Bylaws. The entire Board of Directors shall be appointed by the Regents of New Mexico State University. No person may be appointed as a director if that person is a "disqualified person" as defined in Section 4946 of the Internal Revenue Code.

