

08-22-2006



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Our Ref.: 4061-41

PA1

8/17/06

To the Director of the U.S. Patent and Trademark Office: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):

Berislav V. ZLOKOVIC

Additional name/s of conveying party/ies attached? ☐

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Assignment ☐ Change of Name  
☐ Other

Execution Date: August 8, 2006

2. Name and address of receiving party(ies):

(1) Name: **The University of Rochester**

Street Address: 518 Hylan Building

City: Rochester

State/Country: New York

Zip: 14627

(2) Name: **Socratech L.L.C.**

Street Address: 3643 Lenawee Avenue

City: Los Angeles

State/Country: California

Zip: 90016

Additional name/s & address/es attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): ☐ This assignment is being filed together with a new application.

A. Patent Application No(s).

(1) **10/558,984**

(2)

(3)

B. Patent No(s).

(1)

(2)

(3)

Additional numbers attached ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Gary R. Tanigawa

Internal Address:

Street Address: Nixon & Vanderhye P.C.

901 North Glebe Road

11th Floor

City: Arlington State: VA Zip: 22203

6. Total number of applications & patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account #14-1140

8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our **Account No. 14-1140.**

DO NOT USE THIS SPACE

9. Statements and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Gary R. Tanigawa

Name of Person Signing

Reg. No. 43,180

Signature

August 17, 2006

Date

Total number of pages including **original** cover sheet, attachments, and document: [3]

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:

Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

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**ASSIGNMENT FOR PATENT APPLICATION**  
**(U.S. Rights Only)**

WHEREAS Berislav V. ZLOKOVIC (the ASSIGNOR) has made an invention entitled "SOLUBLE LOW-DENSITY LIPOPROTEIN RECEPTOR RELATED PROTEIN BINDS DIRECTLY TO ALZHEIMER'S AMYLOID-BETA PEPTIDE" for which a so-entitled patent application was filed in the Patent and Trademark Office under Serial No. 10/558,984 on December 2, 2005;

WHEREAS, the University of Rochester and Socratech L.L.C. (the ASSIGNEE), the former being a private educational institution having an office and place of business at 518 Hylan Building, Rochester, New York 14627 and the latter being a Delaware limited liability corporation having an office and place of business at 3643 Lenawee Avenue, Los Angeles, California 90016, have acquired ASSIGNOR'S entire right, title and interest therein by the past Assignment of the parent International Patent Appln. No. PCT/US2004/019034, which was recorded on December 22, 2004 in the Patent and Trademark Office starting at reel 016095, frame 0207;

NOW, THEREFORE, acknowledging said past Assignment, ASSIGNOR hereby sells, assigns, and transfers unto ASSIGNEES, their successors, assigns, and legal representatives, ASSIGNOR'S entire right, title and interest in the United States of America, in and to the invention as described in said U.S. application, and to said U.S. application and to all subsequent applications based thereon including any and all continuations, divisions, reexaminations, reissues, and substitutes, and any resulting Letters Patent, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents,

Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and said ASSIGNOR hereby authorizes and requests the Director of Patents and Trademarks to issue said Letters Patent to said ASSIGNEES, for their interest as ASSIGNEES, their successors, assigns, and legal representatives.


ASSIGNOR hereby agrees to execute any papers which is deemed essential by ASSIGNEES, their successors, assigns, and legal representatives, to ASSIGNEES' full protection and title in and to the invention hereby transferred;

ASSIGNOR hereby agrees, upon request of ASSIGNEES, and without further remuneration, to execute any and all papers desired by ASSIGNEES for the perfecting of title thereto in ASSIGNEES; and

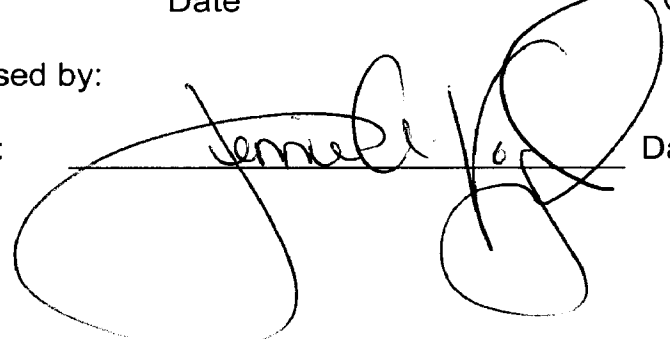
It is understood that Nixon & Vanderhye P.C. has represented and will continue to represent only Socratech L.L.C. with respect to this invention.

AGREED and executed as noted below:

8.8.06  
Date

  
Berislav V. ZLOKOVIC

Witnessed by:

Name:  Date: 8.8.06