

08-24-2006



cket No.: U74.12-0016 -- owk

COVERSH		_		
To the Commission on for Potential Mail Stan Assistant 103296187				
To the Commissioner for Patents: Mail Stop Assignment Recordation Services - Lase record the attached copy thereof.				
1. Name of conveying party(ies):	2. Name and address of receiving party(ies):			
(1) Mahesh J. Shah	13			
(2) Chai-Nam NG	Name: <u>Hamilton Sundstrand Corporation</u>	_		
(3) George Seffernick				
Additional name(s) of conveying party(ies) attached? [] Yes [X] No	Internal Address:	-		
Additional name(s) of conveying party(ies) attached: [] I es [A] No	Street Address: 4747 Harrison Avenue			
3. Nature of Conveyance:	The state of the s	_		
3. Nature of Conveyance.	City: Rockford, State: IL ZIP 61125-7002	_		
[X] Assignment [] Merger [] Security Agreement				
[] Change of Name [] Other				
Execution Date: Shah 8/4/06, NG 8/16/06, Seffernick 8/14/06	Additional name(s) & address(es) attached? [] Yes [X] No			
	Additional name(s) & address(es) attached? [] Fes [X] No			
4A. Application No.(s)	4B. Patent No.(s)			
If this document is being filed together with a new application, the	Additional numbers attached? [] Yes [X] No			
execution date(s) of the application is: Shah 8/4/06, NG 8/16/06,	Traditional numbers attached. [] Tes [X] Tes			
Seffernick 8/14/06				
		į		
Additional numbers attached? [] Yes [X] No				
5. Name and address of party to whom correspondence concerning	6. Total number of applications and patents			
document should be mailed:	involved: [1]			
•				
Name: <u>David R. Fairbairn</u>		\dashv		
Street Address: Kinney & Lange, P.A.,	7. Total fee (37 C.F.R. 3.41):\$ 40.00	j		
312 South Third Street	The Commissioner is hereby authorized to charge any additional fe			
City: Minneapolis State: MN ZIP 55415-1002	required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account No.11-0982. Deposit Account Name: Kinney & Lange	to		
	P.A.	е,		
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9. Statement and signature.				
To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original				
document.				
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[w/1Ctal 8/17/06				
David R. Fairbairn	Date	- 1		

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PATENT

Total number of pages including cover sheet, attachments and document: [4]

REEL: 018213 FRAME: 0005

ASSIGNMENT

Attorney Docket No.

U74.12-0016

First Named Inventor: Mahesh J. Shah

1

Title : PERMANENT MAGNET GENERATOR PROTECTION SCHEME

WHEREAS, I, Mahesh J. Shah, of Lindenhurst, IL USA, Chai-Nam NG, of Rockford, IL USA, and George Seffernick, of Wapakoneta, OH USA have invented certain new and useful improvements as described in an application entitled <a href="Permanent-Perman

WHEREAS, Hamilton Sundstrand Corporation, a corporation organized and existing under the laws of the State of Delaware, and having offices at 4747 Harrison Avenue, Rockford, IL 61125-7002, ("Assignee") is desirous of acquiring the entire right, title and interest in and to the invention, the application, and any and all Letters Patent or similar legal protection, foreign or domestic, to be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I transfer to Assignee, its successors and assigns, my entire right, title and interest in and to the invention, the above-identified application, corresponding domestic and foreign applications, all Letters Patent or similar legal protection issuing thereon, and all rights and benefits under any applicable treaty or convention; and I authorize the Commissioner of Patents and Trademarks of the United States or foreign equivalent thereof to issue the Letters Patent or similar legal protection to the Assignee.

I authorize the Assignee, its successors and assigns, to insert in this instrument the filing date and application number of the application when ascertained.

I authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries.

I represent to the Assignee, its successors and assigns, that I have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I, my executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries.

Mahesh L Shah

Witness Printed Name

Page 1 of 2 Pages

Chai-Nam NG	Date
Witness Signature	_
Witness Printed Name	
George Seffernick	Date
Witness Signature	_
Witness Printed Name	_

Page 2 of 2 Pages

ASSIGNMENT	Attorney Docket N
	U74.12-0016
First Named Inventor : Mahesh J. Shah	
Title : PERMANENT MAGNET GENERATOR PROTECT	TION SCHEME
George Seffernick, of Wapakoneta, OH USA have invented certain new and usapplication entitled PERMANENT MAGNET GENERATOR PROTECTION United States, the application having been executed on even date herewith, and/or Patent and Trademark Office by Application No, filed	SCHEME for Letters Patent of the being identifiable in the United States
I authorize the Assignee, its successors and assigns, to insert application number of the application when ascertained.	in this instrument the filing date and
l authorize the Assignee, its successors and assigns, or anyone i Letters Patent or similar legal protection, in its own name if desired, in any and	t may properly designate, to apply for all foreign countries.
I represent to the Assignee, its successors and assigns, that I have or do any act whatsoever conflicting with this Assignment. I, my executors or request, without additional consideration, but at the expense of the Assignee, its additional writings and do such additional acts as the Assignee, its successors and a senjoyment of this grant, and render all assistance in making application for and the Letters Patent or similar legal protection on the invention in any and all countries.	administrators, will at any time upon successors and assigns, execute such assigns, may deem desirable to perfect obtaining, maintaining, and enforcing

Page 1 of 2 Pages

Date

Mahesh J. Shah

Witness Signature

Witness Printed Name

Heller non	8/16/06
hotelle	Date 16AUG 2000
Witness Signature ROBERT L. FUNKER	
Witness Printed Name	•
George Seffernick	Date
Witness Signature	

Witness Printed Name

Page 2 of 2 Pages

TOTAL P.05

ASSIGNMENT		Attorney Docket No.	
			U74.12-0016
First Named Inve	entor : Mahesh J. Shah		
Title	: PERMANENT MAGNET GENERATOR PROTECTION SCHEME		
application entitl United States, the	WITEREAS, I. Mahesh J. Shah, of Lindock, of Wapakoneta, OH USA have invented PERMANENT MAGNET GENERA e application having been executed on even emark Office by Application No.	ed certain new and useful impro TOR PROTECTION SCHEMI date herewith, and/or being iden	vements as described in an for Letters Patent of the tifiable in the United States
desirous of acqui	WHEREAS, Hamilton Sundstrand Corportions and having offices at 4747 Harring the entire right, title and interest in a legal protection, foreign or domestic, to be	rison Avenue, Rockford, 11. 61 and to the invention, the applicati	125-7002, ("Assignœ") is
invention, the about legal protection is the Commissione	NOW, THEREFORE, for good and validged, I transfer to Assignee, its successors ove-identified application, corresponding dissuing thereon, and all rights and benefitser of Patents and Trademarks of the United legal protection to the Assignee.	and assigns, my entire right, litt omestic and foreign applications under any applicable treaty or c	le and interest in and to the , all Letters Patent or similar convention; and I authorize
application numb	I authorize the Assignee, its successors a ser of the application when ascertained.	and assigns, to insert in this inst	trument the filing date and
Letters Patent or	I authorize the Assignee, its successors an similar legal protection, in its own name is	d assigns, or anyone it may prop f desired, in any and all foreign	erly designate, to apply for countries.
request, without a additional writing its enjoyment of the	I represent to the Assignee, its successors associate conflicting with this Assignment additional consideration, but at the expenses and do such additional acts as the Assignethis grant, and render all assistance in making or similar legal protection on the invention	 I, my executors or administrate of the Assigner, its successors e, its successors and assigns, mag g application for and obtaining, 	tors, will at any time upon and assigns, execute such y deem desirable to perfect
	Mahesh J. Shah	Date	_

NUMBER 111 ATTO

Witness Signature

Witness Printed Name

Page 1 of 2 Pages

PATENT REEL: 018213 FRAME: 0010 Page 2 of 2 Pages

TOTAL P.05

PATENT REEL: 018213 FRAME: 0011

RECORDED: 08/18/2006