

8/18/06

08-24-2006

**PATENT RECORDS
COVER SHEET**



cket No.: U74.12-0016 -- owk

103296187

To the Commissioner for Patents: **Mail Stop Assignment Recordation Services** - please record the attached copy thereof.

13014 U.S. PTO
11/505739
081706

1. Name of conveying party(ies):
(1) Mahesh J. Shah
(2) Chai-Nam NG
(3) George Seffernick

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies):
Name: Hamilton Sundstrand Corporation
Internal Address: _____
Street Address: 4747 Harrison Avenue
City: Rockford, State: IL ZIP 61125-7002

Additional name(s) & address(es) attached? Yes No

3. Nature of Conveyance:
 Assignment Merger Security Agreement
 Change of Name Other _____

Execution Date: Shah 8/4/06, NG 8/16/06, Seffernick 8/14/06

4A. Application No.(s)

If this document is being filed together with a new application, the execution date(s) of the application is: Shah 8/4/06, NG 8/16/06, Seffernick 8/14/06

Additional numbers attached? Yes No

4B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David R. Fairbairn
Street Address: Kinney & Lange, P.A.,
312 South Third Street
City: Minneapolis State: MN ZIP 55415-1002

6. Total number of applications and patents involved: [1]

7. Total fee (37 C.F.R. 3.41):.....\$ 40.00
The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. 1.16 and 1.17 and credit any over payments to Deposit Account No.11-0982. Deposit Account Name: Kinney & Lange, P.A.

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David R. Fairbairn
David R. Fairbairn

8/17/06
Date

Total number of pages including cover sheet, attachments and document: [4]

08/23/2006 DBYRME 00000144 11505739

01 FC:0021

(40.00 OP)

ASSIGNMENT

Attorney Docket No.

U74.12-0016

First Named Inventor : Mahesh J. Shah

Title : PERMANENT MAGNET GENERATOR PROTECTION SCHEME

WHEREAS, I, Mahesh J. Shah, of Lindenhurst, IL USA, Chai-Nam NG, of Rockford, IL USA, and George Seffernick, of Wapakoneta, OH USA have invented certain new and useful improvements as described in an application entitled PERMANENT MAGNET GENERATOR PROTECTION SCHEME for Letters Patent of the United States, the application having been executed on even date herewith, and/or being identifiable in the United States Patent and Trademark Office by Application No. _____, filed _____; and

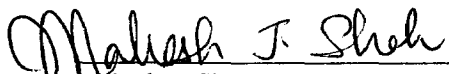
WHEREAS, Hamilton Sundstrand Corporation, a corporation organized and existing under the laws of the State of Delaware, and having offices at 4747 Harrison Avenue, Rockford, IL 61125-7002, ("Assignee") is desirous of acquiring the entire right, title and interest in and to the invention, the application, and any and all Letters Patent or similar legal protection, foreign or domestic, to be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I transfer to Assignee, its successors and assigns, my entire right, title and interest in and to the invention, the above-identified application, corresponding domestic and foreign applications, all Letters Patent or similar legal protection issuing thereon, and all rights and benefits under any applicable treaty or convention; and I authorize the Commissioner of Patents and Trademarks of the United States or foreign equivalent thereof to issue the Letters Patent or similar legal protection to the Assignee.

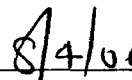
I authorize the Assignee, its successors and assigns, to insert in this instrument the filing date and application number of the application when ascertained.

I authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries.

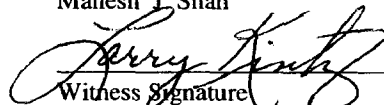
I represent to the Assignee, its successors and assigns, that I have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I, my executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries.




Mahesh J. Shah



Date



Witness Signature



Witness Printed Name

Chai-Nam NG

Date

Witness Signature

Witness Printed Name

George Seffernick

Date

Witness Signature

Witness Printed Name

ASSIGNMENT

Attorney Docket No.

U74.12-0016

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NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I transfer to Assignee, its successors and assigns, my entire right, title and interest in and to the invention, the above-identified application, corresponding domestic and foreign applications, all Letters Patent or similar legal protection issuing thereon, and all rights and benefits under any applicable treaty or convention; and I authorize the Commissioner of Patents and Trademarks of the United States or foreign equivalent thereof to issue the Letters Patent or similar legal protection to the Assignee.

I authorize the Assignee, its successors and assigns, to insert in this instrument the filing date and application number of the application when ascertained.

I authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries.

I represent to the Assignee, its successors and assigns, that I have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I, my executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries.

Mahesh J. Shah

Date

Witness Signature

Witness Printed Name

Nguyen Nam
Chai-Nam NO

8/16/06
Date

[Signature]
Witness Signature

16 AUG 2006

ROBERT L. FUNKER
Witness Printed Name

George Seffernick

Date

Witness Signature

Witness Printed Name

ASSIGNMENT

Attorney Docket No.

U74.12-0016

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NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I transfer to Assignee, its successors and assigns, my entire right, title and interest in and to the invention, the above-identified application, corresponding domestic and foreign applications, all Letters Patent or similar legal protection issuing thereon, and all rights and benefits under any applicable treaty or convention; and I authorize the Commissioner of Patents and Trademarks of the United States or foreign equivalent thereof to issue the Letters Patent or similar legal protection to the Assignee.

I authorize the Assignee, its successors and assigns, to insert in this instrument the filing date and application number of the application when ascertained.

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I represent to the Assignee, its successors and assigns, that I have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I, my executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries.

Mahesh J. Shah

Date

Witness Signature

Witness Printed Name

Chai-Nam NG

Date

Witness Signature

Witness Printed Name

George Seffernick
George Seffernick

8/14/2006
Date

Linda Kay Seffernick
Witness Signature

Linda Kay Seffernick
Witness Printed Name



LINDA KAY SEFFERNICK
Notary Public, State of Ohio
My Commission Expires
June 28, 2009