

Form PTO-1595 (Rev. 07/05)
OMB No. 0651-0027 (exp. 6/30/2008)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Abhaya DANDEKAR (8/26/06)
Ryann M. MUIR (8/27/06)

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

**The Regents of the University of
California**

Internal Address: _____

Street Address: _____

1111 Franklin Street, 12th Fl.

City: Oakland

State: CA

Country: USA Zip: 94607-5200

Additional name(s) & address(es) attached: ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): See conveying parties.

☒ Assignment ☐ Merger ☐ Change of Name

☐ Security Agreement ☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other _____

4. Application or patent number(s):

A. Patent Application No.(s)

11/482,150 filed July 5, 2006

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: **Michael R. Ward**
MORRISON & FOERSTER LLP

Internal Address: Atty. Dkt.: **514112001120**

Street Address:

425 Market Street

City: San Francisco

State: CA Zip: 94105-2482

Phone: (415) 268-6237

Fax Number: (415) 268-7522

Email Address: mward@mofo.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☐ Authorized to be charged by credit card

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 03-1952

Authorized User Name Michael R. Ward

9. Signature:

Michael R. Ward
Signature

August 31, 2006

Date

MICHAEL R. WARD

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, ASSIGNMENT DIVISION, facsimile no. (571) 273-0140, on the date shown below.

Dated: August 31, 2006

Signature: _____

(LILIA OLSEN)

SF-2187530

PATENT

REEL: 018225 FRAME: 0785

700284988

DOCKET: 514112001120

JOINT ASSIGNMENT

UC Case No. 2004-199-3

For good and valuable consideration, the receipt of which is hereby acknowledged,
ASSIGNOR(S),

1. ABHAYA DANDEKAR
39766 Morning Dove Place
Davis, CA 95616-9757
2. RYANN M. MUIR
471 Washington St. #B
Brookline, MA 02446

hereby sell, assign and transfer to ASSIGNEE:

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, a California Corporation, having its address at the Office of Technology Transfer, University of California, 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in UC Case No. 2004-199-CIP entitled: **ORGANISMS WITH ELEVATED LEVELS OF GALLIC ACID/POLYPHENOL OXIDASE AND METHODS OF GENERATING SUCH ORGANISMS**

(a) _____ U.S. provisional application Serial No. _____ filed _____
and listing the above named persons as inventors entitled

(b) _____ U.S. patent application filed _____ and listing the above named
persons as inventors entitled

(c) X U.S. application Serial No. 11/482,150 filed on July 5, 2006 entitled
**ORGANISMS WITH ELEVATED LEVELS OF GALLIC ACID AND METHODS OF
GENERATING SUCH ORGANISMS**

(d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111 (a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

DOCKET: 514112001120

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.


AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

Executed this

26 day of Aug, 2006

____ day of _____, 2006

Signature of Inventor(s)



ABHIYA DANDEKAR

RYANN M. MUJR

DOCKET: 514112001120

JOINT ASSIGNMENT

UC Case No. 2004-199-3

For good and valuable consideration, the receipt of which is hereby acknowledged,
ASSIGNOR(S).

1. ABHAYA DANDEKAR
39766 Murning Dove Place
Davis, CA 95616-9757
2. RYANN M. MUIR
471 Washington St. #B
Brookline, MA 02446

hereby sell, assign and transfer to ASSIGNEE:

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, a California Corporation, having its address at the Office of Technology Transfer, University of California, 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in UC Case No. 2004-199-CIP entitled: **ORGANISMS WITH ELEVATED LEVELS OF GALLIC ACID/POLYPHENOL OXIDASE AND METHODS OF GENERATING SUCH ORGANISMS**

- (a) _____ U.S. provisional application Serial No. _____ filed _____
and listing the above named persons as inventors entitled
- (b) _____ U.S. patent application filed _____ and listing the above named
persons as inventors entitled
- (c) X U.S. application Serial No. 11/482,150 filed on July 5, 2006 entitled
**ORGANISMS WITH ELEVATED LEVELS OF GALLIC ACID AND METHODS OF
GENERATING SUCH ORGANISMS**
- (d) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111 (a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

DOCKET: 514112001120

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

Executed this

__ day of __, 2006

27 day of Aug. 2006

Signature of Inventor(s)

ABHAYA DANDEKAR

RYANN M. MUIR