

09-07-2006

OVER SHEET



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Docket No. 06592/LH

f. 30.06

## PATENTS ONLY

To the Director U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Kazuaki KOZASA  
Motoharu YAMADA  
Yasuhiro TOMITA  
Hiromi WAKABAYASHI

## 2. Name and address of receiving party(ies):

KOMATSU ELECTRONIC METALS CO., LTD.  
25-1, Shinomiya 3-chome, Hiratsuka-shi,  
Kanagawa 254-0014 JAPAN

112963 U.S. PTO  
11/512837

## 3. Nature of conveyance: - ASSIGNMENT

Execution Date(s): June 16, 2006, June 27, 2006, June 20, 2006  
June 20, 2006, respectively.

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is as follows:

June 16, 2006, June 27, 2006, June 20, 2006  
June 20, 2006, respectively.

## A. Patent Application No. (s)

09/01/2006 HGUTEMAI 00000006 11512837

04 FC:8021

40.00 OP

## B. Patent No.(s)

## 5. Name and address of party to whom correspondence concerning document should be returned:

FRISHAUF, HOLTZ, GOODMAN & CHICK, P.C.  
220 FIFTH AVENUE, 16th Floor  
New York, New York 10001-7708  
Fax: (212) 319-5101

6. Total number of applications and patents involved: one7. Total fee (37 CFR 3.41): \$ 40.00☒ Credit Card form PTO-2038 enclosed.☐ Charge Deposit Account No. 06-1378 for any fees not covered by PTO-2038 Form..

## 8. To the extent not tendered by check, authorization is given to charge the Assignment Fee (37 CFR.341) to Account No. 06-1378.

## 9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dated: August 30, 2006By: Leonard Holtz, Reg. No. 22,974

Express Mail" Mailing Label No. EV 902 109 040 US

Date of Deposit: August 30, 2006

I hereby certify that this paper and enclosed application and fee are being deposited with the United States Postal Service  
"Express Mail Post Office Addresses" service under 37 CFR 1.10 on the date indicated above and is addressed to the  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Barbara Villani  
Barbara Villani  
9/4/92

Total number of pages comprising cover sheet: 4

PATENT  
REEL: 018251 FRAME: 0383

**ASSIGNMENT**

In consideration of value received, I, having a residence and post office address as stated below next to my name, the sole inventor (if only one name is listed below) or a joint inventor (if plural names are listed below) of an invention described in an application for United States patent entitled:

POLISHING METHOD FOR SEMICONDUCTOR WAFER

sell and assign to **KOMATSU ELECTRONIC METALS CO., LTD.**

a corporation of **Japan**

having a business address at **25-1, Shinomiya 3-chome, Hiratsuka-shi, Kanagawa 254-0014, Japan**

its successors, assigns or nominees, hereinafter referred to as "Assignee", my entire right, title and interest in and to said invention as disclosed, shown and described in said application for United States patent executed concurrently herewith;

and in and to all applications for patent and patents for said invention, in all countries of the world, including all divisions, reissues, continuations, substitutions and extensions thereof and all rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property, including rights of priority, resulting from the filing of any of said applications; and I authorize and request any official whose duty it is to issue patents, to issue any patent on said invention or resulting therefrom to said Assignee, and I agree that on request and without further consideration, but at the expense of said Assignee, I will communicate to said Assignee or its representatives all facts known to me respecting said invention and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue, or other applications, make all rightful oaths and declarations, and generally do everything possible to aid said Assignee to obtain and enforce proper patent protection for said invention in all countries.

I hereby grant to any attorney member of the following law firm the power to insert on this Assignment the Serial Number and filing date of said application when known.

SERIAL NO.:

FILING DATE:

Frishauf, Holtz, Goodman & Chick, P.C., 220 Fifth Avenue – 16<sup>th</sup> Floor, New York, NY 10001-7708.

**INVENTOR: SIGNATURE****DATE****ADDRESS**

Sign: <i>Kazuaki Kozasa</i>	Date: <i>June/16/2006</i>	Address: c/o KOMATSU ELECTRONIC METALS CO., LTD., 25-1, Shinomiya 3-chome, Hiratsuka-shi, Kanagawa 254-0014, Japan
Type: <b>Kazuaki KOZASA</b>	Witness: <i>[Signature]</i>	
Sign: <i>Motoharu Yamada</i>	Date: <i>June/27/2006</i>	Address: c/o KOMATSU ELECTRONIC METALS CO., LTD., 25-1, Shinomiya 3-chome, Hiratsuka-shi, Kanagawa 254-0014, Japan
Type: <b>Motoharu YAMADA</b>	Witness: <i>[Signature]</i>	
Sign: <i>Yasuhiro Tomita</i>	Date: <i>June/20/2006</i>	Address: c/o KOMATSU ELECTRONIC METALS CO., LTD., 25-1, Shinomiya 3-chome, Hiratsuka-shi, Kanagawa 254-0014, Japan
Type: <b>Yasuhiro TOMITA</b>	Witness: <i>[Signature]</i>	

NOTES: MUST BE DATED.

WITNESS DESIRABLE.

LEGALIZATION NOT REQUIRED

RECORDING OFFICER:

After recording, please return to: **Customer No. 01933**  
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**PATENT**

**REEL: 018251 FRAME: 0384**

# ASSIGNMENT

## INVENTOR: SIGNATURE

## DATE

## POST OFFICE ADDRESS

Sign: <i>Hiromi Wakabayashi</i>	Date: <i>June 120 6001</i>	Address: c/o KOMATSU ELECTRONIC METALS CO., LTD., 25-1, Shinomiya 3-chome, Hiratsuka-shi, Kanagawa 254-0014, Japan
Type: Hiromi WAKABAYASHI	Witness: <i>[Signature]</i>	
Sign:	Date:	Address:
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RECORDED: 08/30/2006

REEL: 018251 FRAME: 0385