Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Trung T. Doan	09/15/2003
Jeffrey Schmidt	03/13/2006
Douglas R. McAllister	03/14/2006
Stacy Meyer	03/13/2006

RECEIVING PARTY DATA

Name:	Applied Materials, Inc.	
Street Address:	3050 Bowers Avenue	
City:	Santa Clara	
State/Country:	CALIFORNIA	
Postal Code:	95054	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	11233154

CORRESPONDENCE DATA

Fax Number: (877)769-7945

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: (650) 839-5138
Email: zanocco@fr.com
Correspondent Name: Jennifer A. Zanocco

Address Line 1: FISH & RICHARDSON P.C.

Address Line 2: P.O.BOX 1022

Address Line 4: MINNEAPOLIS, MINNESOTA 55440-1022

ATTORNEY DOCKET NUMBER:	05542-571001
NAME OF SUBMITTER:	Carlos A. Brasil

PATENT REEL: 018267 FRAME: 0206

500152636

840.00

Total Attachments: 10
source=05542-571001#page1.tif
source=05542-571001#page3.tif
source=05542-571001#page4.tif
source=05542-571001#page5.tif
source=05542-571001#page6.tif
source=05542-571001#page7.tif
source=05542-571001#page8.tif
source=05542-571001#page8.tif
source=05542-571001#page9.tif
source=05542-571001#page9.tif

PATENT REEL: 018267 FRAME: 0207

Substitute Form PTO-1595 Attorney Docket No.: 05542-571001 Client's Ref. No.: 009055/CPS

RECORDATION FORM COVER SHEET PATENTS ONLY

Commissioner for Patents: Please record the attached original document(s) or copy(ies).		
Name of conveying party(ies):	2. Name and address of receiving party(ies):	
Trung T. Doan	Applied Materials, Inc.	
Jeffrey Schmidt	3050 Bowers Avenue	
Douglas R. McAllister	Santa Clara, California 95054	
Stacy Meyer		
Additional name(s) attached? ☐ Yes ☒ No		
3. Nature of conveyance:		
☑ Assignment		
☐ Merger☐ Security Agreement		
☐ Change of Name		
☐ Other:		
Execution Date: 09/15/2003; 03/13/2006;	Additional names/addresses attached? ☐ Yes ☒ No	
03/14/2006; 03/13/2006		
4. Application number(s) or patent number(s):		
If this document is being filed with a new application, the execution	date of the application is:	
A. Patent Application No(s).:	B: Patent No(s).:	
11/233,154		
Additional numbers at	tached? ☐ Yes ເ No	
5. Name/address of party to whom correspondence concerning	6. Total number of applications/patents involved: 1	
document should be mailed:	o. Total number of applications patents involved.	
Customer No. 26185	7. Total fee (37 CFR §3.41): \$40	
Fish & Richardson P.C.	☐ Enclosed	
P.O. Box 1022	Authorized to charge Deposit Account.	
Minneapolis, MN 55440-1022	8. Deposit Account No.: 06-1050	
	Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.	
DO NOT USE	THIS SPACE	
9. Statement and Signature: To the best of my knowledge and	belief, the foregoing information is true and correct and	
any attached copy is a true copy of the original document.		
	, 7	
Jennifer A. Zanocco		
Reg. No. 54,563	9.18.00	
Name of Person Signing Signature	Date	
<u> </u>		
Total	number of pages including coversheet, attachments and document: 10	

50372101.doc

ASSIGNMENT FOR APPLICATION FOR PATENT

WHEREAS:

Names and Addresses of Inventors:

Trung T. Doan
101 Lasuen Court
Los Gatos, CA 95032

Jeffrey Schmidt
794 Golden Creek Terrace
San Jose, CA 95111

Douglas R. McAllister	
4621 Cochise Court	
Pleasanton, CA 94588	
Stacy Meyer	
5805 Corumba Court	
San Jose, CA 95120	

(hereinafter referred to as Assignors), have invented a certain invention entitled:

ATTACHING COMPONENTS OF A CARRIER HEAD for which application for Letters Patent in the United States was filed on September 21, 2005,

under Serial No. 11/233,154; and

WHEREAS, Applied Materials, Inc., a corporation of the State of Delaware, having a place of business at 3050 Bowers Avenue, Santa Clara, California 95054 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said application (hereinafter referred to as the Application), and the invention disclosed therein (hereinafter referred to as the Invention), and in and to all embodiments of the Invention, heretofore conceived, made or discovered by said Assignors, and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter referred to as the Patents) thereon granted in any and all countries and groups of countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Assignors to have been received in full from said Assignee:

- 1. Said Assignors hereby sell, assign, transfer and convey to Assignee the full and exclusive right. title and interest (a) in and to said Application and said Invention; (b) in and to all rights to apply for patents on said Invention in any and all countries pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all Applications filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a division, substitution, or continuation of said Application; and (d) in and to each and every reissue or extension of any of said Patents.
- 2. Said Assignors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest to said Invention herein conveyed in any and all countries and groups of countries. Such cooperation by said Assignors shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said Invention; (d) for filing and prosecuting applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefor and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.

PATENT REEL: 018267 FRAME: 0209

- 3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives and assigns.
- 4. Said Assignors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignors have executed and delivered this instrument to said Assignee on the dates indicated below.

Date:		•
		TRUNG T. DOAN
Date:	03-13-06	Janit -
		JEFFREY SCHMIDT
Date:		
		DOUGLAS R. MCALLISTER
Date:		
		STACY MEYER

50294075.doc

PATENT

- 3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives and assigns.
- 4. Said Assignors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignors have executed and delivered this instrument to said Assignee on the dates indicated below.

Date:		
_		TRUNG T. DOAN
Date: _		·
		JEFFREY SCHMIDT
Date: _	3-14-06	& R Achi
		DOUGLAS R. MCALLISTER
Date: _	3/13/06	STACY MEYER
		STACY MEYER

50294075.doc

PATENT

Revised 9/00

EMPLOYEE AGREEMENT



NAME Trung	DOAN	DATE 9/15/03
DEPARTMENT		

In consideration of my employment and the compensation paid to me for my services during the term of my employment with Applied Materials, Inc. or its subsidiaries and affiliates (collectively "APPLIED"), I (Employee) agree with APPLIED as follows:

1. **CONFIDENTIAL INFORMATION**

2. <u>INVENTIONS, PATENTS, TRADE SECRETS AND COPYRIGHTS</u>

- A. I agree that all inventions, copyrightable works and confidential information (including but not limited to new contributions, improvements, ideas or discoveries, whether patentable or not and computer source code and documentation) produced, conceived, made or first actually reduced to practice by me solely or jointly with others during the period of my employment with APPLIED (the foregoing are subsequently referred to as Creative Works), are hereby assigned to Applied Materials, Inc. and shall be the exclusive property of Applied Materials, Inc. I agree that I will:
- (i) promptly disclose in detail all Creative Works to APPLIED;
- (ii) at the request of APPLIED, sign and provide any and all documents, testimony or any other assistance that is reasonably necessary to assign, file, register or otherwise secure to Applied Materials, Inc. exclusive rights to Creative Works in the United States and all other countries;
- (iii) accept the wages provided for my services as my sole compensation for the assignment to Applied Materials, Inc. of all rights to Creative Works and other rights granted to Applied Materials, Inc. under this Agreement. In case any invention is described in a patent application or is disclosed to third parties by me within one (1) year after terminating my employment with APPLIED, it is to be presumed that the invention was conceived or made during the period of my employment for APPLIED, and the invention will be assigned to Applied Materials, Inc. as provided by this Agreement, provided it relates to my work with APPLIED. Any Creative Works made by me prior to any association by me with APPLIED and without the confidential information and/or resources of APPLIED shall not be subject to the assignment provision of this Agreement;
- (iv) waive any and all "moral rights" which I may have in such Creative Works, and to assign all such "moral rights" to Applied Materials, Inc. "Moral Rights" mean any rights to claim authorship of a Creative Work, to object to or prevent the modification of any Creative Work, or to withdraw from circulation or control the publication or distribution of any Creative Work, and any similar right, existing under judicial or statutory law of any country in the world, or under any treaty, regardless of whether or not such right is denominated or generally referred to as a "moral right."
- B. (Applicable only to employees based in California.) I understand that the provisions of this agreement do not apply to any invention which qualifies fully to be excluded pursuant to the provisions of California Labor Code Section 2870 (printed on the last page of this Agreement). I will advise APPLIED promptly in writing of any inventions I believe meet such criteria.



3. <u>NON-SOLICITATION OF EMPLOYEES</u>

4. <u>CONFLICT OF INTEREST</u>

5. <u>AT-WILL EMPLOYMENT STATUS</u>

Initials 4

6. <u>ARBITRATION</u>

Initials 🌆

7. <u>GENERAL PROVISIONS</u>

	APPLIED MATERIALS	
EMPLONÉE SIGNATURE	By:HUMAN RESOURCES REPRESENTATIVE	
TRUNG T. DOAN		
HOME ADDRESS		
CITY, STATE, ZIP		

SECTION 2870, CHAPTER 2, ARTICLE 3.5 OF THE CALIFORNIA LABOR CODE reads as follows:

- (a) Any provision in an employment agreement which provides that an employee shall assign, or offer to assign, any of his or her rights in an invention to his or her employer shall not apply to an invention that the employee developed entirely on his or her own time without using the employer's equipment, supplies, facilities, or trade secret information except for those inventions that either:
- (1) Relate at the time of conception or reduction to practice of the invention to the employer's business, or actual or demonstrably anticipated research or development of the employer; or
 - (2) Result from any work performed by the employee for the employer.
- (b) To the extent a provision in an employment agreement purports to require an employee to assign an invention otherwise excluded from being required to be assigned under subdivision (a), the provision is against the public policy of this state and is unenforceable.

Initials M

EMPLOYEE AGREEMENT

7. GENERAL PROVISIONS

Mel	Marin
EMPLOYEE.	SIGNATURE
	_

TRUNG T. DOAN

HOME ADDRESS

CITY, STATE, ZIP

APPLIED MATERIALS

HUMAN RESOURCES REPRESENTATIVE

SECTION 2870, CHAPTER 2, ARTICLE 3.5 OF THE CALIFORNIA LABOR CODE reads as follows:

- (a) Any provision in an employment agreement which provides that an employee shall assign, or offer to assign, any of his or her rights in an invention to his or her employer shall not apply to an invention that the employee developed entirely on his or her own time without using the employer's equipment, supplies, facilities, or trade secret information except for those inventions that either:
- (1) Relate at the time of conception or reduction to practice of the invention to the employer's business, or actual or demonstrably anticipated research or development of the employer; or
 - (2) Result from any work performed by the employee for the employer.
- (b) To the extent a provision in an employment agreement purports to require an employee to assign an invention otherwise excluded from being required to be assigned under subdivision (a), the provision is against the public policy of this state and is unenforceable.

Initials M

5

APPLIED MATERIALS CONFIDENTIAL/HR

PATENT

RECORDED: 09/18/2006 REEL: 018267 FRAME: 0217