

**IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S)
FOR ASSIGNMENT (DOCUMENT) RECORDAL
(37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))**

1. This assignment is for the following patent application and/or issued patent:

a. National application: SN: 11/446,683, filed on June 5, 2006

**TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS
AND TOTAL FEE**

2. A. The total number of applications and/or patents identified in this cover sheet is: 1.

B. The total fee is (37 C.F.R. § 1.21(h)):

$$1 \times \$40.00 = \$40.00$$

C. Payment of fee is made by:

Authorization is hereby made to charge the amount of \$40.00 to Deposit Account No. 19-0737.

**NAME OF PARTY CONVEYING INTEREST
(37 C.F.R. § 3.31(a)(1))**

3. The party conveying interest is:

Shaochen Chen

**NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST
(37 C.F.R. § 3.31 (a)(2))**

4. The rights are being conveyed to:

Board of Regents, The University of Texas System
201 West Seventh Street
Austin, TX 78701

**DESCRIPTION OF INTEREST CONVEYED OR
TRANSACTION RECORDED (37 C.F.R. § 3.31(a)(3))**

5. The accompanying document intends to accomplish an assignment.

**NAME AND ADDRESS OF PARTY TO WHOM
CORRESPONDENCE SHOULD BE MAILED (37 C.F.R. § 3.31 (a)(5))**

6. Please address correspondence to:

Name: Ansel M. Schwartz
Address: Attorney at Law
201 N. Craig Street
Suite 304
Pittsburgh, PA 15213
Telephone No.: 412-621-9222

DATE ASSIGNMENT (DOCUMENT) EXECUTED
(37 C.F.R. § 3.31(a)(7))

7. The attached assignment (document) was executed on July 18, 2006.

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

8. The attached document is in the English language.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

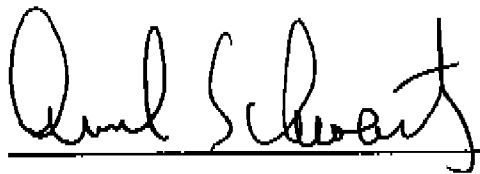
9. Submitted herewith is the original document.

STATEMENT (37 C.F.R. § 3.31(a)(9)) AND
SIGNATURE (37 C.F.R. § 3.31(a)(10))

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: _____

9/18/06



Ansel M. Schwartz
Registration No. 30,587
Attorney at Law
201 N. Craig Street
Suite 304
Pittsburgh, PA 15213
412-621-9222

TOTAL NUMBER OF PAGES BEING SUBMITTED

11. The total number of pages being submitted, **including documents** is: 6.

Practitioner's Docket No. UTX-1**PATENT**

For: U.S. Rights and Foreign Rights
For: U.S. Provisional Application
By: Inventors

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS:

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Li-Hsin Han
The University of Texas at Austin, Dept. of Mechanical
Engineering
1 University Station, C2200
Austin, TX 78712-0292
Nationality: Republic of China (Taiwan)

hereby sell, assign and transfer to

ASSIGNEE:

Board of Regents, The University of Texas System
201 West Seventh Street
Austin, TX 78701
Nationality: United States

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

OCT USING SPECTRALLY RESOLVED BANDWIDTH

and which is found in (37 C.F.R. § 3.21) U.S. application no. 11/446,683 filed on June 5, 2006 and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue

and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this _____ day of

Date:

Signature of Marc D. Feldman

Date:

Signature of Thomas E. Milner

Date:

Signature of Jung Hwan Oh

Date:

Signature of Eunha Kim

Date:

Signature of Karthik Kumar

Date:

Signature of Chris Condit

Date:

Signature of Robert Grant

Date:

Signature of Nate Kemp

Date:

Signature of Jeehyun Kim

Date:

2/18/2006



Signature of Shaochen Chen

Date:

Signature of Li-Hsin Han

Practitioner's Docket No. UTX-1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Assignment Recordation Services
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

ASSIGNMENT (DOCUMENT) COVER SHEET (37 C.F.R. § 3.31)

Attached please find an assignment (document) for recordal.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is *mandatory*;
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☐ deposited with the United States Postal Service in an envelope addressed to the Director of the United States Patent and Trademark Office,
P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

☐ with sufficient postage as first class mail.

37 C.F.R. § 1.10*

☐ as "Express Mail Post Office to Addressee"

Mailing Label No. _____ (mandatory)

TRANSMISSION

X facsimile transmitted to the Patent and Trademark Office, (571) 273-0140.

Tracy L. Klaas
Signature

Date: 9/18/06Tracy L. Klaas

(type or print name of person certifying)

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.