

RECORDATION FORM COVER SHEET
PATENTS ONLY

Atty Ref/Docket No.: 37000UT9812

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

New Mexico State University Technology Transfer Corporation

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment☐ Merger☐ Security Agreement ☒ Change of Name☐ OtherExecution Date: March 12, 2004

2. Name and address of receiving party(ies):

Name: Arrowhead Center, Inc.

Street Address: MSC 3IPO - Box 30001

City: Las Cruces State: New Mexico Zip: 88003-8001Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

10/666,412

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Deborah A. Peacock

Address:

Peacock Myers, P.C.

P.O. Box 26927

Albuquerque, New Mexico 87125-6927

6. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account8. Please charge any additional fees or credit any over payments to our Deposit Account No.: 13-4213

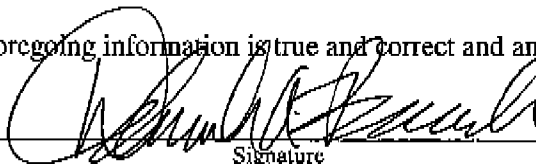
DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Deborah A. Peacock/Reg. No. 31,649

Name of Person Signing



Signature

September 18, 2006

Date

Total number of pages including cover sheet: 5

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks

Mail Stop Assignment Recordation Services

P.O.Box 1450

Alexandria, VA 22313-1450

700287502

PATENT

REEL: 018277 FRAME: 0793

CH \$40.00 134213 10666412

Practitioner's Docket No. 37000-UT-0206

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☒ In re application of: Joseph Wang, et al.
Application No.: 10 / 666,412 Group No.: 1641
Filed: September 18, 2003 Examiner: Pensee T. Do
For: ELECTROACTIVE MICROSPHERES AND METHODS

Issued:

☐ Patent No.: _____

CHANGE OF NAME OF ASSIGNEE

**NOTE: Insert name(s) of inventor(s) and title also for patent. Where change is with respect to a maintenance fee payment, also insert application number and filing date and add Mail Stop M Correspondence.*

NOTE (HAND CARRIED): "Assignments may be hand carried to the Office of Public Records Customer Service Window on the 2nd floor of the South Tower building, 2900 Crystal Drive, Arlington VA 22202." See "Updated Lists of Exceptions to the Centralized Delivery and Facsimile Transmission Policy for Patent Related Correspondence," September 19, 2005; 1299 OG 98, October 18, 2005, Exceptions for Certain Hand Carried Correspondence, item 3.

*NOTE (FACSIMILE): "Assignments or other documents affecting title: 571 273 0140 facsimile number
"Note: Customers may submit documents directly into the automated Patent and Trademark Assignment System and receive the resulting recordation notice at their facsimile machine. (Assignment documents submitted through the Electronic Patent Assignment System also permits the recordation notice to be faxed to customers.) Credit card payments to record assignment documents are now accepted, and use of the Credit Card form (PTO 2038) is required for the credit card information to be separated from the assignment records. Only documents with an identified patent application or patent number, a single cover sheet to record a single type of transaction, and the fee paid by an authorization to charge a USPTO deposit account or credit card may be submitted via facsimile. Please refer to the USPTO Web Site, at <http://www.uspto.gov/web/offices/ac/ido/opr/ptasfax.pdf> for more information regarding the submission of assignment documents via facsimile.
"Assignment Branch telephone number for assistance: 571 272 3350"
See "Updated Lists of Exceptions to the Centralized Delivery and Facsimile Transmission Policy for Patent Related Correspondence," September 19, 2005; 1299 OG 98, October 18, 2005, Exceptions for Certain Facsimile Transmitted Correspondence, item 5 .*

1. Particulars of assignment

An assignment has been recorded in the Patent and Trademark Office for this invention on December 22, 2003

Reel 014811

Frame 0724-0728

NOTE: When requesting correction or updating of a previously recorded assignment document, providing the reel and frame number of that document will greatly expedite the recording process. Helpful Hints published September 30, 1986, 1070 O.G. 47 to 59 at 53.

(if available, the PTO finds it useful if a copy of the assignment is also sent)

☐ A copy of the recorded assignment is attached.

2. Old name of assignee

The old name of the assignee shown on the above assignment is
New Mexico State University Technology Transfer Corporation

(type or print old name of Assignee)

(Change of Name of Assignee [16-11]—page 1 of 2)

PATENT

REEL: 018277 FRAME: 0794

3. New name of assignee

The new name of the assignee is
Arrowhead Center, Inc.

(type or print new name of Assignee)

4. Proof of change of name

Proof of change of name is established by the attached

☐ certificate of the Secretary of State of _____,
showing the name change. *(type name of state)*

☒ certificate of name change from the following authority:
New Mexico Office of the Public Regulation Commission
(type or print name of authority)

(check, if applicable)

☐ Because the certificate is not in the English language, it is accompanied
by a verified translation signed by the translator.

5. Change of patent maintenance fee address

(complete, if applicable)

☐ A change of address to which correspondence is to be sent regarding patent
maintenance fees is being sent separately.

6. Payment of fee

NOTE: See 37 C.F.R. § 1.21(h).

Please refer to the "ASSIGNMENT (DOCUMENT) COVER SHEET," to which this paper
is attached.

Reg. No. 31,649

Tel. No. (505) 998-1501

Customer No.: 05179



SIGNATURE OF PRACTITIONER

Deborah A. Peacock

(type or print name of practitioner)

PEACOCK MYERS, P.C.

P.O. Box 26927

P.O. Address

Albuquerque, New Mexico 87125-6927

(Change of Name of Assignee [16-11]—page 2 of 2)

1-04-1995 9:57PM

FROM

P. 5

09/10/2004 11:13

5056463012

BRUCE KITE

PAGE 05



OFFICE OF THE
PUBLIC REGULATION COMMISSION

CERTIFICATE OF AMENDMENT

OF

ARROWHEAD CENTER, INC.

3277027

The Public Regulation Commission certifies that duplicate originals of the Articles of Amendment attached hereto, duly signed and verified pursuant to the provisions of the NONPROFIT CORPORATION ACT (53-8-1 to 53-8-99 NMSA 1978) have been received by it and are found to conform to law.

Accordingly, by virtue of the authority vested in it by law, the Public Regulation Commission issues this Certificate of Amendment and attaches hereto a duplicate original of the Articles of Amendment.

Dated: MARCH 12, 2004

In testimony whereof, the Public Regulation of the State of New Mexico has caused this certificate to be signed by its Chairman and the seal of said Commission to affixed at the City of Santa Fe.

Chairman

Secretary

PATENT

REEL: 018277 FRAME: 0796

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BRUCE KITE

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Amended Article I:

The name of the corporation shall be Arrowhead Center, Inc.

Amended Article VIII:

The business of the corporation shall be managed by a Board of Directors consisting of three or more voting directors as may be provided in the Bylaws. The entire Board of Directors shall be appointed by the Regents of New Mexico State University. No person may be appointed as a director if that person is a "disqualified person" as defined in Section 4946 of the Internal Revenue Code.

