IDENTIFICATION OF APPLICATION(S) AND/OR PATENT(S) FOR ASSIGNMENT (DOCUMENT) RECORDAL (37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

- 1. This assignment is for the following patent application and/or issued patent:
 - National application: SN: 11/446,683, filed on June 5, 2006

TOTAL NUMBER OF APPLICATIONS AND/OR PATENTS AND TOTAL FEE

- 2. Α. The total number of applications and/or patents identified in this cover sheet is: 1.
 - В. The total fee is (37 C.F.R. § 1.21(h)):

 $1 \times \$40.00 = \40.00

C. Payment of fee is made by:

> Authorization is hereby made to charge the amount of \$40.00 to Deposit Account No. 19-0737.

NAME OF PARTY CONVEYING INTEREST $(37 \text{ C.F.R.} \S 3.31(a)(1))$

3. The party conveying interest is:

Jeehyun Kim

NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST (37 C.F.R. § 3.31 (a)(2))

4. The rights are being conveyed to:

Board of Regents, The University of Texas System 201 West Seventh Street Austin, TX 78701

DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED (37 C.F.R. § 3.31(a)(3))

5. The accompanying document intends to accomplish an assignment.

NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE SHOULD BE MAILED (37 C.F.R. § 3.31 (a)(5))

Assignment (Document) Cover Sheet-page 2 of 3

PATENT

Please address correspondence to:

Name: Ansel M. Schwartz

Address: Attorney at Law

201 N. Craig Street

Suite 304

Pittsburgh, PA 15213

Telephone No.: 412-621-9222

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 C.F.R. § 3.31(a)(7))

7. The attached assignment (document) was executed on July 17, 2006.

LANGUAGE OF ASSIGNMENT (DOCUMENT) TO BE RECORDED

8. The attached document is in the English language.

ORIGINAL DOCUMENT OR TRUE COPY SUBMITTED

Submitted herewith is the original document.

STATEMENT (37 C.F.R. § 3.31(a)(9)) AND SIGNATURE (37 C.F.R. § 3.31(a)(10))

10. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date:

Ansel M. Schwartz

Registration No. 30,587

Attorney at Law

201 N. Craig Street

Suite 304

Pittsburgh, PA 15213

412-621-9222

TOTAL NUMBER OF PAGES BEING SUBMITTED

11. The total number of pages being submitted, including documents is: 6.

ANSEL SCHWARTZ

TEL: 4126218640

P. 004

Practitioner's Docket No. UTX-1

PATENT

U.S. Rights and Foreign Rights For: U.S. Provisional Application For:

Inventors By:

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNORS of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS:

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Austin, TX 78712-0292
Nationality: Republic of China (Taiwan)

hereby sell, assign and transfer to

ASSIGNEE:

Board of Regents, The University of Texas System 201 West Seventh Street Austin, TX 78701 Nationality: United States

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled:

OCT USING SPECTRALLY RESOLVED BANDWIDTH

and which is found in (37 C.F.R. § 3.21) U.S. application no. 11/446,683 filed on June 5, 2006 and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue

Assignment of Invention—Multiple Inventors—page 2 of 3

N WITNESS WHEREOF, W	e have hereunto set hand and seal this day	of
Date:	Signature of Marc D. Feldman	
Date:	Signature of Thomas E. Milner	· •
Date:	Signature of Jung Hwan Oh	
Date:	Signature of Eunha Kim	
Date:	Signature of Karthik Kumar	
Date:	Signature of Chris Condit	
Date:	Signature of Robert Grant	
Date:	Signature of Nate Kemp	·
Date: 7-17-06	Signature of Jeehyun Kim	_
Date:	Signature of Shaochen Chen	
Date:	Signature of Li-Hsin Han	

Practitioner's Docket No. UTX-1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Assignment Recordation Services Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

ASSIGNMENT (DOCUMENT) COVER SHEET (37 C.F.R. § 3.31)

Attached please find an assignment (document) for recordal.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

RECORDED: 09/20/2006

MAILIN	G	
☐ deposited with the United States Postal Service in an envelope addressed t	o the Director of the United States Patent and Trader	mark Office,
P.O. Box 1450, Alexandria, VA 22313-1450.		
37 C.F.R. § 1.8(a)	37 C.F.R. § 1.10*	
with sufficient postage as first class mail.	☐ as "Express Mail Post Office to Addressee"	
. 5	Mailing Label No.	_ (mandatory)
TRANSMISS X facsimile transmitted to the Patent and Trademark Office, (571) 273-0140. Date: 9 18 06		

Assignment (Document) Cover Sheet-page 1 of 3

PATENT

^{*} Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.