

9/18/06

09-14-2006

Form PTO-1515
(Rev. 10/02)
OMB No. 0651-0027 (exp. 6/30/2005)



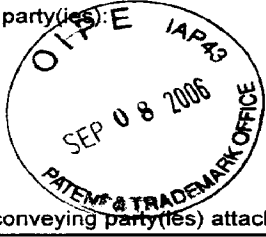
U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

103306826

Attorney Docket No. 520.46271X00

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
Noriko SASADA
Kazuhiko NAOE
Kazuhisa UOMI
Masanobu OKAYASU



2. Name and address of receiving party(ies)
Name: OPNEXT JAPAN, INC.

Street Address: 216, Totsuka-cho, Totsuka-ku,
Yokohama-shi, Kanagawa, Japan

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance/ Execution Date: September 4, 2006;
September 4, 2006; September 5, 2006; September 4,
2006.

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other .

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s): This document is being filed together with a new application.

A. Patent Application No.(s)

11/452,312

B. Patent Registration No.(s)

Additional number(s) attached Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: ANTONELLI, TERRY, STOUT & KRAUS, LLP

Internal Address:

1300 NORTH 17TH STREET – SUITE 1800

City: ARLINGTON State: VA Zip 22209

Phone Number: (703) 312-6600

Facsimile Number: (703) 312-6666

Email Address: email@antonelli.com

6. Total number of applications and patents involved

7. Total fee (37 CFR 3.41)..... \$40.00

- Authorized to be charged by credit card
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information:

a. Credit Card Last 4 numbers: 1040
Expiration date: 06/10

b. Deposit account number: 01-2135

Authorized User Name: Donald E. Stout

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Melvin Kraus, Reg#22,466

Name of Person Signing

Signature

09/08/2006

Date

Total number of pages including cover sheet, attachments, and document: 2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

09/17/2006 5:08:00 PM 11452312

02 FC:0021

48.00 OP

ASSIGNMENT
(譲渡証)

As a below named inventor, I hereby declare that:

IN CONSIDERATION of the sum of One Dollar (\$1.00) or the equivalent thereof, and other good and valuable consideration paid to me citizen of Japan by Opnext Japan, Inc. a corporation organized under the laws of Japan, located at 216, Totsuka-cho, Totsuka-ku, Yokohama-shi, Kanagawa, Japan, receipt of which is hereby acknowledged I do hereby sell and assign to said Opnext Japan, Inc. its successors and assigns, all my right, title and interest, in and for the United States of America, in and to

WAVELENGTH TUNABLE OPTICAL TRANSMITTER AND OPTICAL TRANSCEIVER

Invented by me (if only one is named below) or us (if plural inventors are named below) and described in the application for United States Letters Patent therefor, executed on even date herewith, and all United States Letters Patent which may be granted therefor, and all divisions, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by said Opnext Japan, Inc. its successors, assigns or other legal representatives, to the full end of term for which said Letters Patent may be granted as fully and entirely as the same would have been held and enjoyed by me or us if this assignment and sale had not been made;

And I hereby agree to sign and execute any further documents or instruments which may be necessary, lawful, and proper in the prosecution of the above-named application or in the preparation and prosecution of any continuing, continuation-in-part, substitute, divisional, renewal, reviewed or reissue applications or in any amendment, extension, or interference proceedings, or otherwise to secure the title thereto in said assignee;

And I do hereby authorize and request the Commissioner of Patents to issue said Letters Patent to said Opnext Japan, Inc.

Signed on the date(s) indicated aside our signatures:

INVENTOR(S) (発明者フルネームサイン)	Date Signed (署名日)
1) <u>Noriko Sasada</u> Noriko SASADA	<u>September 4, 2006</u>
2) <u>Kazuhiko Naoe</u> Kazuhiko NAOE	<u>Sep. 4, 2006</u>
3) <u>Kazuhisa Uomi</u> Kazuhisa UOMI	<u>Sep. 5, 2006</u>
4) <u>Masanobu Okayasu</u> Masanobu OKAYASU	<u>September 4, 2006</u>
5) <u>Masanobu Okayasu</u>	<u>September 4, 2006</u>
6) _____	_____
7) _____	_____
8) _____	_____
9) _____	_____
10) _____	_____