

9-12-06

09-18-2006



103308139

112919 U.S. PTO
11/519044



091206

Form PTO-1595
1-31-92

U.S. Department of Commerce
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET

PATENTS ONLY

Our Ref: 839-1809

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.	
1. Name of conveying party(ies): 1 Shorya Awtar 2 William Edward Adis 3 Mark William Kowalczyk 4 Norman Arnold Turnquist Additional name/s of conveying party/ies attached? <input type="checkbox"/>	2. Name and address of receiving party(ies): Name: General Electric Company Internal Address: _____ Street Address: One River Road _____ City: Schenectady State/Country: New York Zip: 12345 Additional name/s & address/es attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Assignment <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: (1) 9/8/2006 (2)-(4) 9/11/2006	4. Application number(s) or patent number(s): <input checked="" type="checkbox"/> This application is being filed together with a new application. A. Patent Application No(s). (1) To Be Assigned (2) (3) B. Patent No(s). (1) (2) (3) Additional numbers attached <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Alan M. Kagen Internal Address: _____ Street Address: Nixon & Vanderhye P.C. 901 North Glebe Road 11th Floor City: Arlington State: VA Zip: 22203	6. Total number of applications & patents involved: 1 7. Total fee (37 CFR 3.41) \$ 40.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account #14-1140 8. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper thereafter filed in this application by this firm) to our Account No. 14-1140.
DO NOT USE THIS SPACE	
9. Statements and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. _____ Name of Person Signing Reg. No. 36,178 _____ Signature _____ Date September 12, 2006 Total number of pages including original cover sheet, attachments, and document: [6]	

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

09/13/2006 HGUTEMAI 00000094 11519044

04 FC:8021

40.00 OP

1115683

ASSIGNMENT

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which we acknowledge receipt, we, Shorya Awtar, William Edward Adis, Mark William Kowalczyk, and Norman Arnold Turnquist, of 40 Squire Lane, Clifton Park, New York 12065; 19 Praise Lane, Scotia, New York 12302; 1997 County Highway 107, Amsterdam, New York 12010; and 1432 Corbin Hill Road, Sloansville, New York 12160, hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns our entire respective right(s), title(s) and interest(s) in and to the invention and improvements invented and originated by us and described in the application for United States Patent currently entitled SHAFT SEAL USING SHINGLE MEMBERS and **GE Disclosure Letter 185421**

☒ signed as of even date,

☐ signed as of _____, or

☐ filed on _____ having Serial Number _____

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

Shorya Awtar

Shorya Awtar

Sept. 08, 2006

Date

Witnessed by:

Name:

J. Lunn ✓

Date:

09/08/2006

Signature of witness

Edip Sevincer

Printed name of witness

Name:

P. Omprakash

Date:

09/08/2006

Signature of witness

Omprakash Samudra

Printed name of witness

STATE OF _____)

ss):

COUNTY OF _____)

This _____ day of _____, 2006, before me personally came the above-named, Shorya Awtar, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Notary Public

My Commission Expires: _____

William Edward Adis

William Edward Adis

Sept 11, 2006

Date

Witnessed by:

Name: [Signature] Date: 9/11/2006

Signature of witness

DAVID Schumacher

Printed name of witness

Name: [Signature] Date: 9/11/2006

Signature of witness

Printed name of witness

STATE OF _____)

ss):

COUNTY OF _____)

This _____ day of _____, 2006, before me personally came the above-named, William Edward Adis, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Notary Public

My Commission Expires: _____

Mark William Kowalczyk
 Mark William Kowalczyk

SEPT. 11, 2006
 Date

Witnessed by:

Name: David O. Fitts Date: 9/11/06

Signature of witness

David O. Fitts
 Printed name of witness

Name: George E. Hilt Jr Date: 11 SEPT 06

Signature of witness

GEORGE E. HILT JR
 Printed name of witness

STATE OF _____)

ss):

COUNTY OF _____)

This _____ day of _____, 2006, before me personally came the above-named, Mark William Kowalczyk, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

 Notary Public

My Commission Expires: _____

Norman Arnold Turnquist
Norman Arnold Turnquist

Sept 11, 2006

Date

Witnessed by:

Name: David D. Anderson

Date: 9-11-06

Signature of witness

David D. Anderson

Printed name of witness

Name: Murat S. Ozmusul

Date: 9-11-06

Signature of witness

Murat S. Ozmusul

Printed name of witness

STATE OF _____)

ss):

COUNTY OF _____)

This _____ day of _____, 2006, before me personally came the above-named, Norman Arnold Turnquist, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Notary Public

My Commission Expires: _____