

9-14-06

Docket No.: 49422-0300

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09-20-2006

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

PATENTS ONLY



103309923

Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):
**Joshua I. Bergman; Berinder Brar; Amal Ikhlassi;
Gabor Nagy; Gerard J. Sullivan**

2. Name and address of receiving party(ies):

Name: **Rockwell Scientific Licensing, LLC**

Internal Address: **Mail Code A15**

Street Address: **P.O. Box 1085**

City: **Thousand Oaks** State: **CA** ZIP: **91358**

Additional names(s) of conveying party(ies) Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Execution Date: **September 13, 2006**

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **September 14, 2006**

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Ketan S. Vakil**

Internal Address: **Suite 1400**

Street Address: **600 Anton Boulevard**

City: **Costa Mesa** State: **CA** ZIP: **92626**

6. Total number of applications and patents involved: **1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

- Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- Authorized to be charged to deposit account

8. Deposit account number:

19-2814

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ketan S. Vakil

September 19, 2006

Name of Person Signing

Signature

5

Date

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450

PATENT

REEL: 018311 FRAME: 0879

112935 U.S. PTO
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40.00 DA

ASSIGNMENT

WHEREAS, We, Joshua I. Bergman, citizen of the United States, residing at 400 East Wilbur Road, Thousand Oaks, CA 91360, Berinder Brar citizen of the United States, residing at 3042 Charlotte Street, Newbury Park, CA 91320, Amal Ikhlasi, citizen of the United States, residing at 627 Calle Del Sur, Thousand Oaks, CA 91360, Gabor Nagy, citizen of the United States, residing at 1049 Camino Dos Rios, Thousand Oaks, CA 91360, and Gerard J. Sullivan, citizen of the United States, residing at 3879 Calle Loma Vista, Newbury Park, CA 91320, have invented certain new and useful improvements in a **EPITAXIAL NUCLEATION AND BUFFER SEQUENCE FOR VIA-COMPATIBLE InAs/AlGaSb HEMTS**, hereinafter referred to as the "INVENTION," for which we have executed application for Letters Patent of the United States, filed (authorization is given herewith to insert the application serial number and filing date when it becomes available) as U.S. Serial No. _____ on _____; and

WHEREAS, said INVENTION was made with United States Government support under contract number N66001-01-C-8032, awarded by the United States Department of Defense, for which the United States Government retained certain rights in said INVENTION,

WHEREAS, Rockwell Scientific Licensing, LLC, P.O. Box 1085, Mail Code A15, Thousand Oaks, CA 91358-0085, hereinafter referred to as "ASSIGNEE," is desirous of acquiring the entire and exclusive right, title and interest in and to said INVENTION, and any and all patent applications which may be filed thereon, and any and all Letters Patents which may be granted or issued therefore in the United States and throughout the world, including any and all divisions, continuations, continuations-in-part, reissues, reexaminations and extensions of any of the foregoing and any and all foreign counterparts, subject to the rights retained by the United States Government.

NOW, THEREFORE, in consideration of obligations voluntarily assumed by us and other good and valuable consideration, and for the further consideration of the sum of One Dollar (\$1.00) paid to us, receipt of which is hereby acknowledged, we do hereby sell, assign, transfer and set over unto said ASSIGNEE, its successors, legal representatives and assigns, the entire and exclusive right, title and interest in and to said INVENTION, patent applications and Letters Patents which may be granted or issued for said INVENTION in the

United States and throughout the world, including all divisions, continuations, continuations-in-part, reissues, reexaminations and extensions thereof, and all international priority rights associated therewith, all to be held by us had this assignment not been made; and we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and duly constituted authorities of foreign countries to issue any and all Letters Patents relating to the foregoing assigned rights, title and interest to said ASSIGNEE, its successors, legal representatives and assigns.

We hereby agree that we will at all times keep said ASSIGNEE advised of our whereabouts; and we will promptly, upon request of said ASSIGNEE, and without further compensation, do all lawful acts, including the execution of all necessary documents and the giving of testimony that, in the opinion of the ASSIGNEE, its successors and assigns, may be necessary or desirable for obtaining, sustaining, or reissuing United States and foreign Letters Patents relating to the foregoing assigned rights, and for perfecting, affirming, recording, and maintaining the title of said ASSIGNEE, its successors and assigns thereto; and that we will generally cooperate to the fullest extent in all matters pertaining to said INVENTION and Letters Patents and said ASSIGNEE'S title thereto.

IN WITNESS WHEREOF, I have executed this instrument at Thousand Oaks, California on the date indicated adjacent to my name.

Dated: Sept 13, 2006

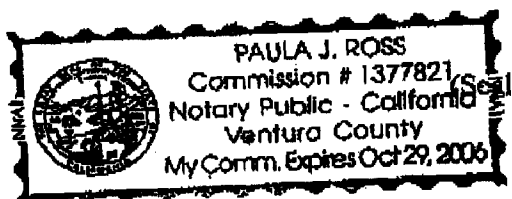
By: Joshua I. Bergman
Joshua I. Bergman

STATE OF California)
COUNTY OF Ventura)^{SS}

On September 13, 2006 before me, Paula J. Ross, Notary Public, personally appeared Joshua I. Bergman, personally known to me - or ~~proved to me on the basis of satisfactory evidence~~ to be the person(s) whose name(s) is/~~are~~ subscribed to this instrument and acknowledge to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(ies), and that by his/~~her~~/their signature(s) on the instrument to be the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Paula J. Ross
Notary's Signature



IN WITNESS WHEREOF, I have executed this instrument at Thousand Oaks, California on the date indicated adjacent to my name.

Dated: Sept 13, 2006

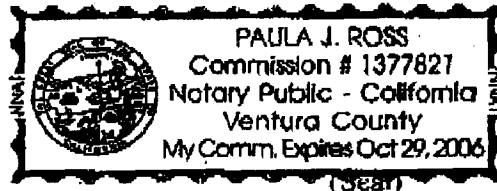
By: Berinder Brar
Berinder Brar

STATE OF California)
COUNTY OF Ventura) ss

On September 13, 2006 before me, PAULA J. ROSS, Notary Public, personally appeared BERINDER BRAR, personally known to me - or ~~proved to me on the basis of satisfactory evidence to be the person(s)~~ whose name(s) is/~~are~~ subscribed to this instrument and acknowledge to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(~~ies~~), and that by his/~~her~~/their signature(s) on the instrument to be the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Paula J. Ross
Notary's Signature



IN WITNESS WHEREOF, I have executed this instrument at Thousand Oaks, California on the date indicated adjacent to my name.

Dated: Sept 13, 2006

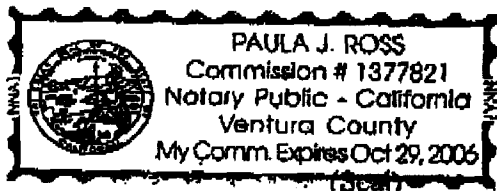
By: Amal Ikhlassi
Amal Ikhlassi

STATE OF California)
COUNTY OF Ventura) ss

On September 13, 2006 before me, PAULA J. ROSS, Notary Public, personally appeared AMAL IKHLASSI, personally known to me - or ~~proved to me on the basis of satisfactory evidence to be the person(s)~~ whose name(s) is/~~are~~ subscribed to this instrument and acknowledge to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(~~ies~~), and that by his/~~her~~/their signature(s) on the instrument to be the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Paula J. Ross
Notary's Signature



IN WITNESS WHEREOF, I have executed this instrument at Thousand Oaks, California on the date indicated adjacent to my name.

Dated: September 13, 2006

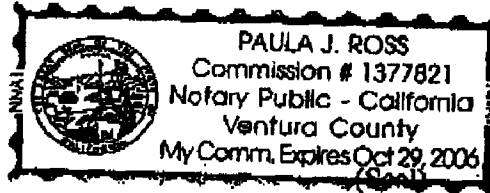
By: Gabor Nagy
Gabor Nagy

STATE OF California)
COUNTY OF Ventura) ss

On September 13, 2006 before me, PAULA J. ROSS, personally appeared GABOR NAGY, personally known to me - or ~~proved to me on the basis of satisfactory evidence~~ to be the person(s) whose name(s) is/~~are~~ subscribed to this instrument and acknowledge to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(~~ies~~), and that by his/~~her/their~~ signature(s) on the instrument to be the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Paula J. Ross
Notary's Signature



IN WITNESS WHEREOF, I have executed this instrument at Thousand Oaks, California on the date indicated adjacent to my name.

Dated: 13 Sept., 2006

By: Gerard J. Sullivan
Gerard J. Sullivan

STATE OF California)
COUNTY OF Ventura) ss

On Sept. 13, 2006 before me, PAULA J. ROSS, Notary Public, personally appeared GERARD J. SULLIVAN, personally known to me - or ~~proved to me on the basis of satisfactory evidence~~ to be the person(s) whose name(s) is/~~are~~ subscribed to this instrument and acknowledge to me that he/~~she/they~~ executed the same in his/~~her/their~~ authorized capacity(~~ies~~), and that by his/~~her/their~~ signature(s) on the instrument to be the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal

Paula J. Ross
Notary's Signature

