

Docket No.: TWI-025

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103311430

To the Honorable Commissioner for Patent.

Please record the attached original documents or copy thereto:

**1. Name of conveying party(ies):**

Name: **Thomas E. WEST, Guangwei WU, and Donald P. DIETZ**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**2. Name and address of receiving party(ies):**

Name: **Thomas West, Inc.**

Street Address: **470 Mercury Drive**

City: **Sunnyvale** State/Country: **CA** ZIP: **94085**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**3. Nature of conveyance:**

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Execution Date: **14 September 2006**

**4. Application number(s) or patent number(s):**

If the document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s). **10/397,069**

B. Patent No(s).

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address of party to whom correspondence concerning document should be mailed:**

Name: **Larry Williams**

Street Address: **3645 Montgomery Dr.**

City: **Santa Rosa** State/Country: **CA** ZIP: **95405-5212**

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 3.41) \$ 40.00**

☒ Enclosed

☐ Authorized to be charged to deposit account

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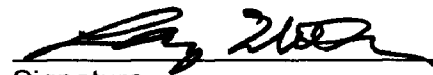
PATENT  
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8. Deposit account number:

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Name and Registration No. of Person Signing



Larry Williams, Reg. No. 39,428

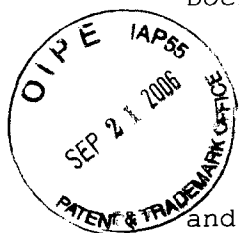
Signature

9/18/2006

Date

Total number of pages comprising cover sheet: 2

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## **INVENTION/PATENT APPLICATION ASSIGNMENT FROM INVENTORS TO COMPANY**

WHEREAS WE, Thomas E. West, Jr. of Portolla Valley, CA; Guangwei Wu of Sunnyvale, CA; and Donald P. Dietz of Mountain View, CA, respectively, have made a certain new and useful invention as set forth in an application for United States Letters Patent, entitled:

### **SMOOTH PADS FOR CMP AND POLISHING SUBSTRATES**

executed by us on the date of execution of this document, as shown below, and filed concurrently herewith;

OR

for which an application for United States Letters Patent was filed on March 24, 2003, and identified by United States Serial No. 10/397,069;

AND WHEREAS, Thomas West, Inc., a corporation of the State of California and having an address of 470 Mercury Drive, Sunnyvale, CA 94085, hereinafter referred to as assignee, is desirous of acquiring the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries that may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, We do hereby sell, assign, transfer and set over unto said assignee, its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries that may be issued for said invention;

UPON SAID CONSIDERATIONS, We hereby agree with the said assignee that We will not execute any writing or do any act whatsoever conflicting with these presents, and that We will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choices in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of assignor and assignee;

AND We request the Commissioner of Patents and Trademarks to issue

any Letters Patent of the United States that may be issued for said invention to said assignee, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

9/14/06  
Date 9/12/06  
Date 9/12/06  
Date

Thomas West  
Signature  
Signature  
Signature

STATE OF )  
COUNTY OF ) SS:

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_, before me personally appeared Thomas E. West, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

S E A L

Notary Public

My commission expires \_\_\_\_\_

STATE OF )  
COUNTY OF ) SS:

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_, before me personally appeared Guangwei Wu, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

S E A L

Notary Public

My commission expires \_\_\_\_\_

STATE OF \_\_\_\_\_ )  
 ) SS:  
COUNTY OF \_\_\_\_\_ )

On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_\_, before me personally appeared Donald P. Dietz, to me known to be the person named in and who executed the above instrument, and acknowledged to me that he/she executed the same for the uses and purposes therein set forth.

S E A L

\_\_\_\_\_  
Notary Public

My commission expires \_\_\_\_\_