

9-25-03

09-27-2006



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To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below

FINANCE SECTION

1. Name of conveying party(ies)

Altitud AB

2. Name and address of receiving party(ies)

Name: ADC Telecommunications, Inc.

Internal Address:

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) December 19, 2003

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other

Street Address: 13625 Technology Drive

City: Eden Prairie

State: MN

Country: USA Zip: 55344

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

09/949,597

6,665,457

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Iain A. McIntyre

Internal Address:

Carlson, Caspers, Vandenburg & Lindquist

Street Address:

225 South Sixth Street, Suite 3200

City: Minneapolis

State: MN Zip: 55402

Phone Number: 612.436.9610

Fax Number: 612.436.9605

Email Address: imcintyre@ccvl.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$40.00

☐ Authorized to be charged by credit card

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers

Expiration Date

b. Deposit Account Number 50-2880

Authorized User Name Iain A. McIntyre

9. Signature:

September 20, 2006

Date

09/26/2006 DBYRNE

00000069 502880

09949597

Signature

01 FC:8021

40.00 DA

Iain A. McIntyre

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT TO CORPORATION

WHEREAS, Altitun AB, hereafter referred to ASSIGNOR, a corporation having whose business address is PO Box 911, SE 175 29 Jarfalla, Sweden, having acquired the entire, right, title and interest in U.S. Patent Application Serial No. 09/949597, entitled WAVELENGTH TUNABLE ELECTRO-ABSORPTION MODULATOR AND TUNABLE LASER, hereafter referred to as PATENT PROPERTY, and

WHEREAS, ADC Telecommunications, Inc., hereafter referred to as ASSIGNEE, a business organized under the laws of Minnesota and whose place of business and business address is 13625 Technology Drive, Eden Prairie, Minnesota 55344, is desirous of acquiring the entire right, title and interest in said PATENT PROPERTY;

NOW, THEREFORE for good and valuable consideration, the receipt whereof is acknowledged, ASSIGNOR hereby assigns and transfers unto ASSIGNEE the full and exclusive right to said PATENT PROPERTY in the United States and the entire right, title and interest in and to all divisionals, continuations, continuations in part, reissues, reexaminations or renewals thereof, all Letters Patent which may be granted therefrom, and all reissues or extension of such patents, and in and to any and all applications which have been or shall be filed in any foreign countries for Letters Patent based on the PATENT PROPERTY including an assignment of all rights under the provision of international conventions and all Letters Patent of foreign countries which may be granted therefrom; ASSIGNOR hereby authorizes the Commissioner of Patents to issue any and all United States Letters Patent for the aforesaid invention to ASSIGNEE of the entire right, title and interest in and to the same, for the use of the ASSIGNEE, its successors and assigns.

ASSIGNOR hereby agrees that ASSIGNOR, their executors and legal representatives will make, execute and deliver (without charge but at the expense of the ASSIGNEE) any and all other instruments in writing including any and all further application papers, affidavits, assignments and other documents, and will communicate to said ASSIGNEE, its successors and representatives all facts known to ASSIGNOR relating to said invention and the history thereof and will testify in all legal proceedings and generally do all things which may be necessary or desirable to vest the ASSIGNEE, its successors or assigns the entire right, title and interest in and to the said PATENT PROPERTY, Letters Patent, rights, titles and benefits, privileges and advantages hereby sold, assigned and conveyed, or intended so to be.

ASSIGNOR represents and agrees with said ASSIGNEE, its successors and assignees, that no assignment grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by ASSIGNOR, and that full right to convey the same as herein expressed is possessed by ASSIGNOR.

IN TESTIMONY WHEREOF, a duly authorized officer of ASSIGNOR, has hereunto set his hand on the date indicated below.



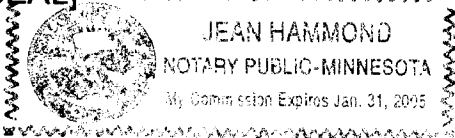
Bradley V. Crary
Director
Altitud AB

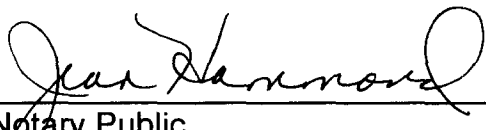
19 December, 2003
Date

State of Minnesota)
County of Hennepin)

On this 19 day of December, 2003, before me personally appeared Bradley V. Crary, to me known and known to me to be the person described in and who executed the foregoing instrument, and he duly acknowledged to me that he executed the same for the use and purposes therein set forth.

[SEAL]




Notary Public

-
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lundqvist

Title: TUNABLE ELECTRO-ABSORPTION MODULATOR AND TUNABLE LASER

Docket No.: 1010.8089U1

U.S. Patent No. 6,665,457

Filed: September 10, 2001

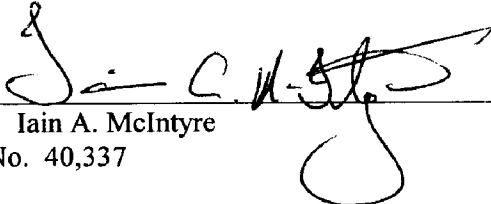
Serial No.: 09/949,597

Mail Stop Assignment Recordation Services
Commissioner for Patents
P.O.Box 1450
Alexandria, VA 22313-1450

We are transmitting herewith the attached:

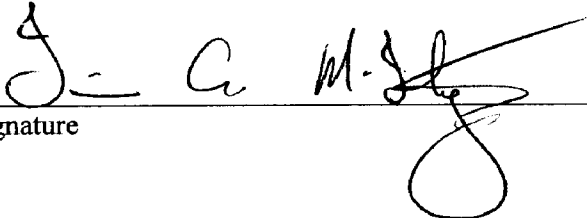
- ☒ A return postcard.
- ☒ An Assignment of the invention to ADC Telecommunications, Inc.
- ☒ A Recordation Form Cover Sheet.

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 50-2880.

By: 
Name: Iain A. McIntyre
Reg. No. 40,337

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Mail Stop Assignment Recordation Services, Commissioner for Patents, P.O.Box 1450, Alexandria, VA 22313-1450, on this 20th day of September, 2006

Iain A. McIntyre
Name


Signature