

Form PTO-1595 (Rev. 07/05)  
OMB No. 0651-0027 (exp. 8/30/2008)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

Robert E. Lewis  
Aimee Schreiner  
Diane Costanzo

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) 18 SEP 2006, 18 SEP 2006, 12 SEP 2006

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other

### 2. Name and address of receiving party(ies)

Name: Board of Regents of the University of Nebraska

Internal Address: Varner Hall

Street Address: 3835 Holdredge Street

City: Lincoln

State: NE

Country: USA Zip: 68583-0746

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

A. Patent Application No.(s)  
11/431,285

☐ This document is being filed together with a new application.  
B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Lora Frecka

Internal Address: UNMC Intellectual Property Office

Street Address: 986099 Nebraska Medical Center

City: Omaha

State: NE Zip: 68198-6099

Phone Number: 402-559-2170

Fax Number: 402-559-2182

Email Address: Lfrecka@unmc.edu

### 6. Total number of applications and patents involved: 1

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

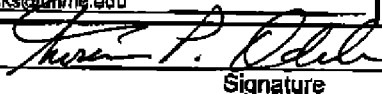
### 8. Payment Information

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_

b. Deposit Account Number 50-2097

Authorized User Name Turan Odabasi

### 9. Signature:

  
Signature

October 2, 2006

Date

Turan Odabasi

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

PATENT

REEL: 018344 FRAME: 0240

50/70 700289875

### ASSIGNMENT

This Assignment is made by Robert E. Lewis, residing at 13959 Sahler Street, Omaha, NE 68164; Diane Costanzo, residing at 2506 So. 35<sup>th</sup> Ave., Omaha, NE 68164, and Aimee Schreiner, residing at 2107 Quartz Dr. Papillion, NE 68406, to the BOARD OF REGENTS OF THE UNIVERSITY OF NEBRASKA, Assignee, a public body corporate of the State of Nebraska having offices at Varner Hall, 3835 Holdrege Street, Lincoln, Nebraska, 68583-0745.

WHEREAS, Assignors are joint inventors of new and useful improvements in "KSR2 Knockout Mice and Methods of Use Thereof" for which an application for United States Letters Patent was filed on May 10, 2006, in the United States Patent and Trademark Office, bearing Serial Number 11/431,285, and identified as UNMC Docket No. 63250.

WHEREAS, Assignors believe that Assignors are original joint inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entirety of Assignors' rights, titles and interests in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors sell, assign, and transfer to Assignee, the entirety of Assignors' rights, titles, and interests in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignors agree that, upon request and without further compensation, but at no expense to the Assignors, Assignors and Assignors' legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignors represent and warrant that Assignors have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Director of the United States Patent and Trademark Office and all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed divisional, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as an assignee of the entirety of Assignors' interest in said invention.

IN WITNESS WHEREOF, Assignor(s) has/have executed this Assignment on the date(s) provided.

PATENT

REEL: 018344 FRAME: 0241

Assignor: Robert E. Lewis

Robert E. Lewis 9/18/06  
Signature Date

State of Nebraska )

County of Douglas )

On this 18<sup>th</sup> day of Sept 2006, before me personally appeared Robert E. Lewis to me known and known to me to be the person of that name, whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, execute the instrument.

WITNESS by hand and official seal.

Kathryn M. Cleaves  
(Signature of Notary)



Assignor: Diane Costanzo

Diane Costanzo 9/18/06  
Signature Date

State of Nebraska )

County of Douglas )

On this 18<sup>th</sup> day of Sept. 2006, before me personally appeared Diane Costanzo to me known and known to me to be the person of that name, whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, execute the instrument.

WITNESS by hand and official seal.

Kathryn M. Cleaves  
(Signature of Notary)



PATENT

REEL: 018344 FRAME: 0242

Assignor: Aimee Schreiner

Aimee B. Schreiner 9-12-06  
Signature Date

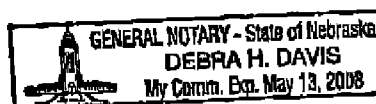
State of Nebraska )

County of ~~Douglas~~ Sarpy )

On this 12<sup>th</sup> day of September 2006, before me personally appeared Aimee Schreiner to me known and known to me to be the person of that name, whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, execute the instrument.

WITNESS by hand and official seal.

Debra H. Davis  
(Signature of Notary)



PATENT

RECORDED: 10/02/2006

REEL: 018344 FRAME: 0243